

Algebraic topology and Concurrency

Traces spaces and applications

Martin Raussen

Department of Mathematical Sciences
Aalborg University - Denmark

Universität Bremen, Fachbereich Mathematik
November 25th, 2008



Outline

- 1 Directed algebraic topology
 - Motivations – mainly from Computer Science
 - Directed topology: Algebraic topology with a twist
- 2 Trace spaces and their organization
 - Trace spaces: definition, properties, applications
 - A categorical framework (with examples and applications)

Main Collaborators:

- Lisbeth Fajstrup (Aalborg), Éric Goubault, Emmanuel Haucourt (CEA, France)

Conference: Algebraic Topological Methods in Computer Science III, July 2008, Paris

Outline

- 1 Directed algebraic topology
 - Motivations – mainly from Computer Science
 - Directed topology: Algebraic topology with a twist
- 2 Trace spaces and their organization
 - Trace spaces: definition, properties, applications
 - A categorical framework (with examples and applications)

Main Collaborators:

- Lisbeth Fajstrup (Aalborg), Éric Goubault, Emmanuel Haucourt (CEA, France)

Conference: Algebraic Topological Methods in Computer Science III, July 2008, Paris

Outline

- 1 Directed algebraic topology
 - Motivations – mainly from Computer Science
 - Directed topology: Algebraic topology with a twist
- 2 Trace spaces and their organization
 - Trace spaces: definition, properties, applications
 - A categorical framework (with examples and applications)

Main Collaborators:

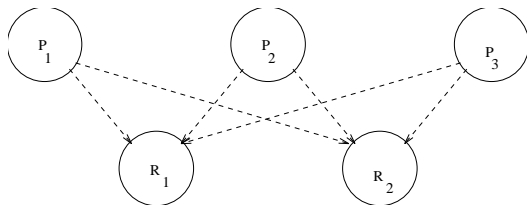
- Lisbeth Fajstrup (Aalborg), Éric Goubault, Emmanuel Haucourt (CEA, France)

Conference: Algebraic Topological Methods in Computer Science III, July 2008, Paris

Motivation: Concurrency

Mutual exclusion

Mutual exclusion occurs, when n processes P_i compete for m resources R_j .



Only k processes can be served at any given time.

Semaphores!

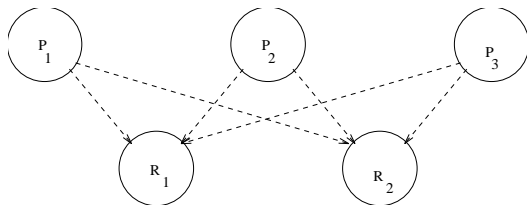
Semantics: A processor has to lock a resource and to relinquish the lock later on!

Description/abstraction $P_i : \dots PR_j \dots VR_i \dots$ (E. W. Dijkstra)

Motivation: Concurrency

Mutual exclusion

Mutual exclusion occurs, when n processes P_i compete for m resources R_j .



Only k processes can be served at any given time.

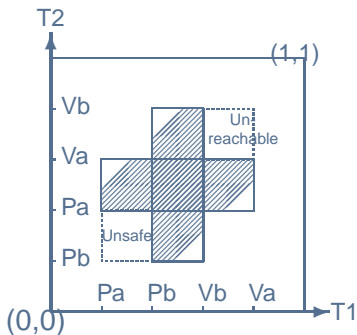
Semaphores!

Semantics: A processor has to lock a resource and to relinquish the lock later on!

Description/abstraction $P_i : \dots PR_j \dots VR_j \dots$ (E.W. Dijkstra)

Schedules in "progress graphs"

The Swiss flag example



PV-diagram from

$P_1 : P_a P_b V_b V_a$

$P_2 : P_b P_a V_a V_b$

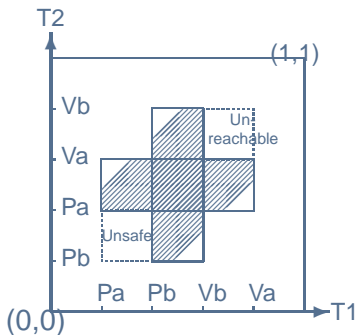
Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded).

Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to **equivalent** executions.

Deadlocks, **unsafe** and **unreachable** regions may occur.

Schedules in "progress graphs"

The Swiss flag example



PV-diagram from

$P_1 : P_a P_b V_b V_a$

$P_2 : P_b P_a V_a V_b$

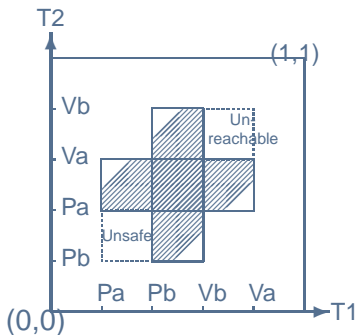
Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded).

Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to **equivalent** executions.

Deadlocks, unsafe and unreachable regions may occur.

Schedules in "progress graphs"

The Swiss flag example



PV-diagram from

$$P_1 : P_a P_b V_b V_a$$

$$P_2 : P_b P_a V_a V_b$$

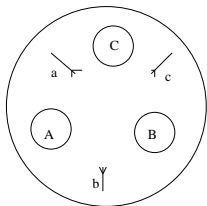
Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded).

Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to **equivalent** executions.

Deadlocks, unsafe and unreachable regions may occur.

Higher dimensional automata (HDA) 1

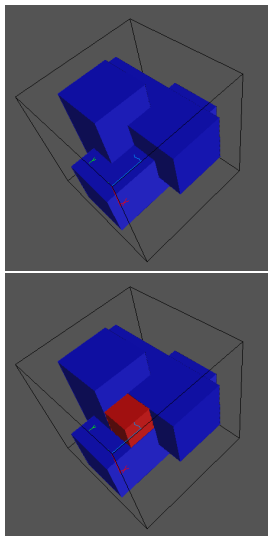
Example: Dining philosophers; dimension 3 and beyond



$$A = Pa \cdot Pb \cdot Va \cdot Vb$$

$$B = Pb \cdot Pc \cdot Vb \cdot Vc$$

$$C = Pc \cdot Pa \cdot Vc \cdot Va$$



Higher dimensional complex with a forbidden region consisting of isothetic hypercubes and an **unsafe** region.

Higher dimensional automata (HDA) 2

seen as (geometric realizations of) pre-cubical sets

Vaughan Pratt, Rob van Glabbeek, Eric Goubault...

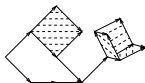
2 processes, 1 processor



2 processes, 3 processors

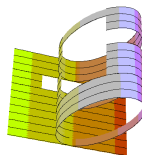


3 processes, 3 processors



cubical complex

bicomplex



Squares/cubes/hypercubes are filled in iff actions on boundary are **independent**.

Higher dimensional automata are **pre-cubical sets**:

- like simplicial sets, but modelled on (hyper)cubes instead of simplices; glueing by **face maps**
- additionally: **preferred directions** – not all paths allowable

Higher dimensional automata (HDA) 2

seen as (geometric realizations of) pre-cubical sets

Vaughan Pratt, Rob van Glabbeek, Eric Goubault...

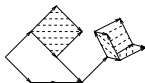
2 processes, 1 processor



2 processes, 3 processors

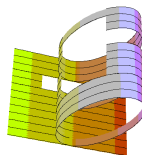


3 processes, 3 processors



cubical complex

bicomplex



Squares/cubes/hypercubes are filled in iff actions on boundary are **independent**.

Higher dimensional automata are **pre-cubical sets**:

- like simplicial sets, but modelled on (hyper)cubes instead of simplices; glueing by **face maps**
- additionally: **preferred directions** – not all paths allowable.

Transition systems

The “traditional” method to handle concurrency

Every single program corresponds to a (labelled) **graph** (with trivial **idle** loops at every vertex). Form the product of these graphs **as a graph**:

Vertices Sequences of vertices from the original graphs

Edges Sequences of (labelled) edges (including idle loops) from the original graphs

“Successive edges” are connected by a **diamond** – in general a hypercube. Need to handle **independence relations** algebraically.

Alternative: **Petri nets** – places, transitions, directed arcs, tokens...

Transition systems

The “traditional” method to handle concurrency

Every single program corresponds to a (labelled) **graph** (with trivial **idle** loops at every vertex). Form the product of these graphs **as a graph**:

Vertices Sequences of vertices from the original graphs

Edges Sequences of (labelled) edges (including idle loops) from the original graphs

“Successive edges” are connected by a **diamond** – in general a hypercube. Need to handle **independence relations** algebraically.

Alternative: **Petri nets** – places, transitions, directed arcs, tokens...

Transition systems

The “traditional” method to handle concurrency

Every single program corresponds to a (labelled) **graph** (with trivial **idle** loops at every vertex). Form the product of these graphs **as a graph**:

Vertices Sequences of vertices from the original graphs

Edges Sequences of (labelled) edges (including idle loops) from the original graphs

“Successive edges” are connected by a **diamond** – in general a hypercube. Need to handle **independence relations** algebraically.

Alternative: **Petri nets** – places, transitions, directed arcs, tokens...

Transition systems

The “traditional” method to handle concurrency

Every single program corresponds to a (labelled) **graph** (with trivial **idle** loops at every vertex). Form the product of these graphs **as a graph**:

Vertices Sequences of vertices from the original graphs

Edges Sequences of (labelled) edges (including idle loops) from the original graphs

“Successive edges” are connected by a **diamond** – in general a hypercube. Need to handle **independence relations** algebraically.

Alternative: **Petri nets** – places, transitions, directed arcs, tokens...

Discrete versus continuous models

How to handle the state-space explosion problem?

Discrete models for concurrency (transition graph models) suffer a severe problem if the number of processors and/or the length of programs grows: The number of states (and the number of possible schedules) grows exponentially: This is known as the **state space explosion problem**.

You need clever ways to find out which of the schedules yield **equivalent** results – e.g., to **check for correctness** – for general reasons. Then check only one per equivalence class.

Alternative: **Infinite continuous** models allowing for well-known equivalence relations on paths (**homotopy** = 1-parameter deformations) – but with an important twist!

Analogy: Continuous physics as an approximation to (discrete) quantum physics.

Discrete versus continuous models

How to handle the state-space explosion problem?

Discrete models for concurrency (transition graph models) suffer a severe problem if the number of processors and/or the length of programs grows: The number of states (and the number of possible schedules) grows exponentially:

This is known as the **state space explosion problem**.

You need clever ways to find out which of the schedules yield **equivalent** results – e.g., to **check for correctness** – for general reasons. Then check only one per equivalence class.

Alternative: **Infinite continuous** models allowing for well-known equivalence relations on paths (**homotopy** = 1-parameter deformations) – but with an important twist!

Analogy: Continuous physics as an approximation to (discrete) quantum physics.

Discrete versus continuous models

How to handle the state-space explosion problem?

Discrete models for concurrency (transition graph models) suffer a severe problem if the number of processors and/or the length of programs grows: The number of states (and the number of possible schedules) grows exponentially: This is known as the **state space explosion problem**.

You need clever ways to find out which of the schedules yield **equivalent** results – e.g., to **check for correctness** – for general reasons. Then check only one per equivalence class.

Alternative: **Infinite continuous** models allowing for well-known equivalence relations on paths (**homotopy** = 1-parameter deformations) – but with an important twist!

Analogy: Continuous physics as an approximation to (discrete) quantum physics.

Concepts from algebraic topology 1

Homotopy

Top: the category of topological spaces and continuous maps.

$I = [0, 1]$ the unit interval.

Definition

- A continuous map $H : X \times I \rightarrow Y$ (one-parameter deformation) is called a **homotopy**.
- Continuous maps $f, g : X \rightarrow Y$ are called **homotopic** to each other ($f \simeq g$) if there is a homotopy H with $H(x, 0) = f(x)$, $H(x, 1) = g(x)$, $x \in X$.
- $[X, Y]$ the set of homotopy classes of continuous maps from X to Y .
- A continuous map $f : X \rightarrow Y$ is called a **homotopy equivalence** if it has a “homotopy inverse” $g : Y \rightarrow X$ such that $g \circ f \simeq id_X$, $f \circ g \simeq id_Y$.

Concepts from algebraic topology 2

The fundamental group. Higher homotopy groups

Definition

- Variation: **pointed** continuous maps $f : (X, *) \rightarrow (Y, *)$ and pointed homotopies $H : (X \times I, * \times I) \rightarrow (Y, *)$.
- **Loops** in Y as the special case $X = S^1$ (unit circle).
- **Fundamental group** $\pi_1(Y, y) = [(S^1, *), (Y, y)]$ with product arising from concatenation and inverse from reversal.
- **Higher homotopy groups** $\pi_i(Y, y) = [(S^i, *), (Y, y)]$ – product from the pinch coproduct on S^k ; abelian for $k > 1$.
- A continuous map $f : X \rightarrow Y$ induces group homomorphisms $f_{\#} : \pi_i(X, x) \rightarrow \pi_i(Y, f(x))$ (isomorphisms for a homotopy equivalence).
- The map f is called **k -connected** if it induces isomorphisms on π_i , $i \leq k$ and a surjection on π_{k+1} .

Concepts from algebraic topology 2

The fundamental group. Higher homotopy groups

Definition

- Variation: **pointed** continuous maps $f : (X, *) \rightarrow (Y, *)$ and pointed homotopies $H : (X \times I, * \times I) \rightarrow (Y, *)$.
- **Loops** in Y as the special case $X = S^1$ (unit circle).
- **Fundamental group** $\pi_1(Y, y) = [(S^1, *), (Y, y)]$ with product arising from concatenation and inverse from reversal.
- **Higher homotopy groups** $\pi_i(Y, y) = [(S^i, *), (Y, y)]$ – product from the pinch coproduct on S^k ; abelian for $k > 1$.
- A continuous map $f : X \rightarrow Y$ induces group homomorphisms $f_{\#} : \pi_i(X, x) \rightarrow \pi_i(Y, f(x))$ (isomorphisms for a homotopy equivalence).
- The map f is called **k -connected** if it induces isomorphisms on π_i , $i \leq k$ and a surjection on π_{k+1} .

A framework for **directed** topology

Directed paths as part of the structure: **d**-spaces, M. Grandis (03)

X a topological space. $\vec{P}(X) \subseteq X^I = \{p : I = [0, 1] \rightarrow X \text{ cont.}\}$

a set of **d**-paths ("directed" paths \leftrightarrow executions) satisfying

- $\{\text{constant paths}\} \subseteq \vec{P}(X)$
- $\varphi \in \vec{P}(X)(x, y), \psi \in \vec{P}(X)(y, z) \Rightarrow \varphi * \psi \in \vec{P}(X)(x, z)$
- $\varphi \in \vec{P}(X), \alpha \in I'$ a **nondecreasing** reparametrization $\Rightarrow \varphi \circ \alpha \in \vec{P}(X)$

The pair $(X, \vec{P}(X))$ is called a **d-space**.

Observe: $\vec{P}(X)$ is in general **not** closed under **reversal**:

$$\alpha(t) = 1 - t, \varphi \in \vec{P}(X) \not\Rightarrow \varphi \circ \alpha \in \vec{P}(X)!$$

Examples:

- An HDA with directed execution paths.
- A space-time(relativity) with **time-like** or **causal** curves.

A framework for **d**irected topology

Directed paths as part of the structure: **d**-spaces, M. Grandis (03)

X a topological space. $\vec{P}(X) \subseteq X^I = \{p : I = [0, 1] \rightarrow X \text{ cont.}\}$

a set of **d**-paths ("directed" paths \leftrightarrow executions) satisfying

- $\{\text{constant paths}\} \subseteq \vec{P}(X)$
- $\varphi \in \vec{P}(X)(x, y), \psi \in \vec{P}(X)(y, z) \Rightarrow \varphi * \psi \in \vec{P}(X)(x, z)$
- $\varphi \in \vec{P}(X), \alpha \in I'$ a **nondecreasing** reparametrization $\Rightarrow \varphi \circ \alpha \in \vec{P}(X)$

The pair $(X, \vec{P}(X))$ is called a **d**-space.

Observe: $\vec{P}(X)$ is in general **not** closed under **reversal**:

$$\alpha(t) = 1 - t, \varphi \in \vec{P}(X) \not\Rightarrow \varphi \circ \alpha \in \vec{P}(X)!$$

Examples:

- An HDA with directed execution paths.
- A space-time(relativity) with **time-like** or **causal** curves.

A framework for **directed** topology

Directed paths as part of the structure: **d**-spaces, M. Grandis (03)

X a topological space. $\vec{P}(X) \subseteq X^I = \{p : I = [0, 1] \rightarrow X \text{ cont.}\}$

a set of **d**-paths ("directed" paths \leftrightarrow executions) satisfying

- $\{\text{constant paths}\} \subseteq \vec{P}(X)$
- $\varphi \in \vec{P}(X)(x, y), \psi \in \vec{P}(X)(y, z) \Rightarrow \varphi * \psi \in \vec{P}(X)(x, z)$
- $\varphi \in \vec{P}(X), \alpha \in I'$ a **nondecreasing** reparametrization $\Rightarrow \varphi \circ \alpha \in \vec{P}(X)$

The pair $(X, \vec{P}(X))$ is called a **d-space**.

Observe: $\vec{P}(X)$ is in general **not** closed under **reversal**:

$$\alpha(t) = 1 - t, \varphi \in \vec{P}(X) \not\Rightarrow \varphi \circ \alpha \in \vec{P}(X)!$$

Examples:

- An HDA with directed execution paths.
- A space-time(relativity) with **time-like** or **causal** curves.

d-maps, dihomotopy

A **d-map** $f : X \rightarrow Y$ is a continuous map satisfying

- $f(\vec{P}(X)) \subseteq \vec{P}(Y)$.

Let $\vec{P}(I) = \{\sigma \in I' \mid \sigma \text{ nondecreasing reparametrization}\}$,
and $\vec{I} = (I, \vec{P}(I))$. Then

- $\vec{P}(X) =$ set of d-maps from \vec{I} to X .

A **dihomotopy** $H : X \times I \rightarrow Y$ is a continuous map such that

- every H_t a d-map

i.e., a 1-parameter deformation of d-maps.

d-maps, dihomotopy

A **d-map** $f : X \rightarrow Y$ is a continuous map satisfying

- $f(\vec{P}(X)) \subseteq \vec{P}(Y)$.

Let $\vec{P}(I) = \{\sigma \in I' \mid \sigma \text{ nondecreasing reparametrization}\}$,
and $\vec{I} = (I, \vec{P}(I))$. Then

- $\vec{P}(X) = \text{set of d-maps from } \vec{I} \text{ to } X$.

A **dihomotopy** $H : X \times I \rightarrow Y$ is a continuous map such that

- every H_t a d-map

i.e., a 1-parameter deformation of d-maps.

d-maps, dihomotopy

A **d-map** $f : X \rightarrow Y$ is a continuous map satisfying

- $f(\vec{P}(X)) \subseteq \vec{P}(Y)$.

Let $\vec{P}(I) = \{\sigma \in I' \mid \sigma \text{ nondecreasing reparametrization}\}$,
and $\vec{I} = (I, \vec{P}(I))$. Then

- $\vec{P}(X) =$ set of d-maps from \vec{I} to X .

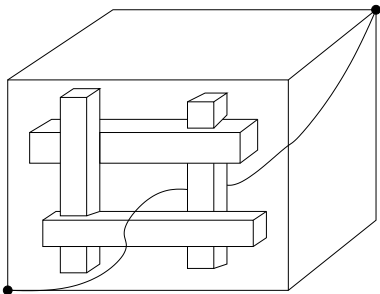
A **dihomotopy** $H : X \times I \rightarrow Y$ is a continuous map such that

- every H_t a d-map

i.e., a 1-parameter deformation of d-maps.

Dihomotopy is finer than homotopy with fixed endpoints

Example: Two L-shaped wedges as the forbidden region



All dipaths from minimum to maximum are homotopic.
A dipath through the “hole” is **not di**homotopic to a dipath on the boundary.

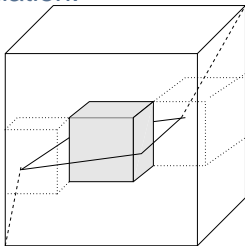
The twist has a price

Neither homogeneity nor cancellation nor group structure

Ordinary topology:

Path space = loop space (within each path component).

A loop space is an H -space with concatenation, inversion, cancellation.



“Birth and death” of
d-homotopy classes

Directed topology:

Loops do not tell much;
concatenation ok, cancellation not!

Replace group structure by category structures!

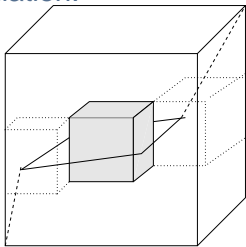
The twist has a price

Neither homogeneity nor cancellation nor group structure

Ordinary topology:

Path space = loop space (within each path component).

A loop space is an H -space with concatenation, inversion, cancellation.



“Birth and death” of
d-homotopy classes

Directed topology:

Loops do not tell much;
concatenation **ok**, cancellation **not!**

Replace group structure by **category**
structures!

D-paths, traces and trace categories

Getting rid of reparametrizations – like in differential geometry of curves

X a (saturated) **d-space**.

$\varphi, \psi \in \vec{P}(X)(x, y)$ are called **reparametrization equivalent** if there are $\alpha, \beta \in \vec{P}(I)$ such that $\varphi \circ \alpha = \psi \circ \beta$ (“same oriented trace”).

Theorem

(Fahrenberg-R., 07): Reparametrization equivalence is an equivalence relation (transitivity!).

$\vec{T}(X)(x, y) = \vec{P}(X)(x, y) / \simeq$ makes $\vec{T}(X)$ into the (topologically enriched) **trace category** – composition **associative**.

A d-map $f : X \rightarrow Y$ induces a **functor** $\vec{T}(f) : \vec{T}(X) \rightarrow \vec{T}(Y)$.

D-paths, traces and trace categories

Getting rid of reparametrizations – like in differential geometry of curves

X a (saturated) **d-space**.

$\varphi, \psi \in \vec{P}(X)(x, y)$ are called **reparametrization equivalent** if there are $\alpha, \beta \in \vec{P}(I)$ such that $\varphi \circ \alpha = \psi \circ \beta$ (“same oriented trace”).

Theorem

(Fahrenberg-R., 07): Reparametrization equivalence is an equivalence relation (transitivity!).

$\vec{T}(X)(x, y) = \vec{P}(X)(x, y) / \simeq$ makes $\vec{T}(X)$ into the (topologically enriched) **trace category** – composition **associative**.

A d-map $f : X \rightarrow Y$ induces a **functor** $\vec{T}(f) : \vec{T}(X) \rightarrow \vec{T}(Y)$.

Two main objectives

- Investigation/calculation of the **homotopy type** of trace spaces $\vec{T}(X)(x, y)$ for relevant d-spaces X
- Investigation of **topology change** under variation of end points:

$$\vec{T}(X)(x', y) \xrightarrow{\sigma_{x'/x}^*} \vec{T}(X)(x, y) \xrightarrow{\sigma_{y/y'}^*} \vec{T}(X)(x, y')$$

Categorical organization, leading to **components** of end points – **without topology change**

Application: Enough to check **one** d-path among all paths through the same components!

Two main objectives

- Investigation/calculation of the **homotopy type** of trace spaces $\vec{T}(X)(x, y)$ for relevant d-spaces X
- Investigation of **topology change** under variation of end points:

$$\vec{T}(X)(x', y) \xleftarrow{\sigma_{x'x}^*} \vec{T}(X)(x, y) \xrightarrow{\sigma_{yy'}^*} \vec{T}(X)(x, y')$$

Categorical organization, leading to **components** of end points – **without topology change**

Application: Enough to check **one** d-path among all paths through the same components!

Two main objectives

- Investigation/calculation of the **homotopy type** of trace spaces $\vec{T}(X)(x, y)$ for relevant d-spaces X
- Investigation of **topology change** under variation of end points:

$$\vec{T}(X)(x', y) \xleftarrow{\sigma_{x'x}^*} \vec{T}(X)(x, y) \xrightarrow{\sigma_{yy'}^*} \vec{T}(X)(x, y')$$

Categorical organization, leading to **components** of end points – **without topology change**

Application: Enough to check **one** d-path among all paths through the same components!

Aim: Decomposition of trace spaces

Method: Investigation of concatenation maps

Let $L \subset X$ denote a (properly chosen) subspace “between”
 $X_0, X_1 \subset X$.

Investigate the concatenation map

$$c_L : \vec{T}(X_0)(x_0, L) \times_L \vec{T}(X_1)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1), (p_0, p_1) \mapsto p_0 * p_1$$

onto? fibres? Topology of the pieces?

Generalization: L_{ij} a sequence of (properly chosen) subspaces
between subdivision X_1, \dots, X_n . Investigate the concatenation
map on

$$\vec{T}(X_0)(x_0, L_{01}) \times_{L_{12}} \cdots \times_{L_{j-1,j}} \vec{T}(X_j)(L_{j-1,j}, L_{j,j+1}) \times_{L_{j,j+1}} \cdots \times_{L_{n-1,n}} \vec{T}(X_n)(L_{n-1,n}, x_1).$$

onto? fibres? Topology of the pieces?

Aim: Decomposition of trace spaces

Method: Investigation of concatenation maps

Let $L \subset X$ denote a (properly chosen) subspace “between”
 $X_0, X_1 \subset X$.

Investigate the concatenation map

$$c_L : \vec{T}(X_0)(x_0, L) \times_L \vec{T}(X_1)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1), (p_0, p_1) \mapsto p_0 * p_1$$

onto? fibres? Topology of the pieces?

Generalization: L_{ij} a sequence of (properly chosen) subspaces
 between subdivision X_1, \dots, X_n . Investigate the concatenation
 map on

$$\vec{T}(X_0)(x_0, L_{01}) \times_{L_{12}} \cdots \times_{L_{j-1,j}} \vec{T}(X_j)(L_{j-1,j}, L_{j,j+1}) \times_{L_{j,j+1}} \cdots \times_{L_{n-1,n}} \vec{T}(X_n)(L_{n-1,n}, x_1).$$

onto? fibres? Topology of the pieces?

Tool: The Vietoris-Begle mapping theorem

Stephen Smale's version for homotopy groups

What does a surjective map $p : X \rightarrow Y$ with highly connected fibres $p^{-1}(y), y \in Y$, tell about invariants of X, Y ?

The **Vietoris-Begle mapping theorem** compares the Alexander-Spanier cohomology groups of X, Y .

Stephen Smale, *A Vietoris Mapping Theorem for Homotopy*, Proc. Amer. Math. Soc. **8** (1957), no. 3, 604 – 610.

Theorem

Let $f : X \rightarrow Y$ denote a proper surjective map between connected locally compact separable metric spaces. Let moreover X be locally n -connected, and for each $y \in Y$, let $f^{-1}(y)$ be locally $(n - 1)$ -connected and $(n - 1)$ -connected.

- 1 *Y is locally n -connected, and*
- 2 *$f_{\#} : \pi_r(X) \rightarrow \pi_r(Y)$ is an isomorphism for all $0 \leq r \leq n - 1$ and onto for $r = n$*

Tool: The Vietoris-Begle mapping theorem

Stephen Smale's version for homotopy groups

What does a surjective map $p : X \rightarrow Y$ with highly connected fibres $p^{-1}(y), y \in Y$, tell about invariants of X, Y ?

The **Vietoris-Begle mapping theorem** compares the Alexander-Spanier cohomology groups of X, Y .

Stephen Smale, *A Vietoris Mapping Theorem for Homotopy*, Proc. Amer. Math. Soc. **8** (1957), no. 3, 604 – 610:

Theorem

Let $f : X \rightarrow Y$ denote a proper surjective map between connected locally compact separable metric spaces. Let moreover X be locally n -connected, and for each $y \in Y$, let $f^{-1}(y)$ be locally $(n - 1)$ -connected and $(n - 1)$ -connected.

- ① *Y is locally n -connected, and*
- ② *$f_{\#} : \pi_r(X) \rightarrow \pi_r(Y)$ is **an isomorphism for all $0 \leq r \leq n - 1$ and onto for $r = n$.***

An important special case

All fibres contractible and locally contractible

Corollary

Let $f : X \rightarrow Y$ denote a proper surjective map between locally compact separable metric spaces. Let moreover X be locally contractible, and for each $y \in Y$, let $f^{-1}(y)$ be contractible and locally contractible. Then

- 1 Y is locally contractible, and
- 2 f is a **weak homotopy equivalence**, i.e., $f_{\#} : \pi_i(X) \rightarrow \pi_i(Y)$ is an isomorphism for **all** i .

Trace spaces in a pre-cubical complex X

Arc length and arc length parametrization

A **pre-cubical complex** X is glued together out of a set of hypercubes \square^n along their boundaries – similar to pre-simplicial sets/complexes. Every hypercube defines d-paths $\vec{P}(\square^n)$. Concatenations of these gives rise to $\vec{P}(X)$.

l^1 “arc length” parametrization for d-paths in a pre-cubical complex – glued out of hypercubes of various dimensions: On each hypercube, arc length is the l^1 -distance of end-points.

Additive continuation \rightsquigarrow

Subspace of arc-length parametrized d-paths $\vec{P}_n(X) \subseteq \vec{P}(X)$

Dihomotopic paths in $\vec{P}_n(X)(x, y)$ have the **same arc length!**

Trace spaces in a pre-cubical complex X

Arc length and arc length parametrization

A **pre-cubical complex** X is glued together out of a set of hypercubes \square^n along their boundaries – similar to pre-simplicial sets/complexes. Every hypercube defines d-paths $\vec{P}(\square^n)$.

Concatenations of these gives rise to $\vec{P}(X)$.

l^1 “**arc length**” **parametrization** for d-paths in a pre-cubical complex – glued out of hypercubes of various dimensions: On each hypercube, arc length is the l^1 -distance of end-points.

Additive continuation \rightsquigarrow

Subspace of arc-length parametrized d-paths $\vec{P}_n(X) \subset \vec{P}(X)$.

Dihomotopic paths in $\vec{P}_n(X)(x, y)$ have the **same arc length!**

Topology of trace spaces in a pre-cubical complex X

MR, Trace spaces in a pre-cubical complex, Aalborg preprint

The spaces $\vec{P}_n(X)$ and $\vec{T}(X)$ are **homeomorphic**,
 $\vec{P}(X)$ is **homotopy equivalent** to both.

Theorem

X a pre-cubical set; $x, y \in X$. Then $\vec{T}(X)(x, y)$

- is *metrizable, locally contractible and locally compact*.
- has the *homotopy type of a CW-complex* – (using Milnor 1959).

↔ Vietoris theorem applicable!

Topology of trace spaces in a pre-cubical complex X

MR, Trace spaces in a pre-cubical complex, Aalborg preprint

The spaces $\vec{P}_n(X)$ and $\vec{T}(X)$ are **homeomorphic**,
 $\vec{P}(X)$ is **homotopy equivalent** to both.

Theorem

X a pre-cubical set; $x, y \in X$. Then $\vec{T}(X)(x, y)$

- is **metrizable, locally contractible and locally compact**.
- has the **homotopy type of a CW-complex** – (using Milnor 1959).

↔ Vietoris theorem applicable!

Applications to trace spaces I

A simple case as illustration

Definition

A subset $L \subseteq X$ of a d-space X is called

achronal if all $p \in \vec{P}(L) \subset \vec{P}(X)$ are constant.

order convex if $p^{-1}(L)$ is either an interval or empty for all $p \in \vec{P}(X)$;

unavoidable from $B \subset X$ to $C \subset X$ if $\vec{P}(X \setminus L)(B, C) = \emptyset$.

Theorem

Let X denote a d-space, $x_0, x_1 \in X$ and $L \subset X$ a subspace that is *achronal*, *order convex* and *unavoidable* from x_0 to x_1 .

Then the *concatenation map*

$c_L : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1), (p_0, p_1) \mapsto p_0 * p_1$

is a *homeomorphism*.

Applications to trace spaces I

A simple case as illustration

Definition

A subset $L \subseteq X$ of a d-space X is called

achronal if all $p \in \vec{P}(L) \subset \vec{P}(X)$ are constant.

order convex if $p^{-1}(L)$ is either an interval or empty for all $p \in \vec{P}(X)$;

unavoidable from $B \subset X$ to $C \subset X$ if $\vec{P}(X \setminus L)(B, C) = \emptyset$.

Theorem

Let X denote a d-space, $x_0, x_1 \in X$ and $L \subset X$ a subspace that is **achronal**, **order convex** and **unavoidable** from x_0 to x_1 .

Then the **concatenation map**

$c_L : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1), (p_0, p_1) \mapsto p_0 * p_1$

is a **homeomorphism**.

Applications to trace spaces I

Traces in pre-cubical complexes

Theorem

Let X be (the geometric realization of) a pre-cubical complex. Let $x_0, x_1 \in X$, $L \subset X$ a subcomplex that is **order convex** and **unavoidable** from x_0 to x_1 .

Then^a the **concatenation map**

$c_L : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1)$, $(p_0, p_1) \mapsto p_0 * p_1$
is a **homotopy equivalence**.

^aadd an extra technical condition

An important special case

Corollary

If, moreover, $\vec{T}(X_0)(x_0, l)$ and $\vec{T}(X_1)(l, x_1)$ are **contractible** and **locally contractible** for every $l \in L \cap [x_0, x_1]$, then $\vec{T}(X)(x_0, x_1)$ is **homotopy equivalent to $L \cap [x_0, x_1]$** .

Remark: Since pieces are trivial, the topology resides in the glueing. “Huge” trace space identified with “small” space $L \cap [x_0, x_1] \subset X$

Proof.

The fibre over $l \in L$ of the “mid point” map

$m : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow L \cap [x_0, x_1]$ is
 $m^{-1}(l) = \vec{T}(X)(x_0, l) \times \vec{T}(X)(l, x_1) - \text{contractible.}$ □

An important special case

Corollary

If, moreover, $\vec{T}(X_0)(x_0, l)$ and $\vec{T}(X_1)(l, x_1)$ are **contractible** and **locally contractible** for every $l \in L \cap [x_0, x_1]$, then $\vec{T}(X)(x_0, x_1)$ is **homotopy equivalent to $L \cap [x_0, x_1]$** .

Remark: Since pieces are trivial, the topology resides in the glueing. “Huge” trace space identified with “small” space $L \cap [x_0, x_1] \subset X$

Proof.

The fibre over $l \in L$ of the “mid point” map

$m : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow L \cap [x_0, x_1]$ is

$m^{-1}(l) = \vec{T}(X)(x_0, l) \times \vec{T}(X)(l, x_1) - \text{contractible.}$ □

First examples

Example

I^n the unit cube with boundary ∂I^n .

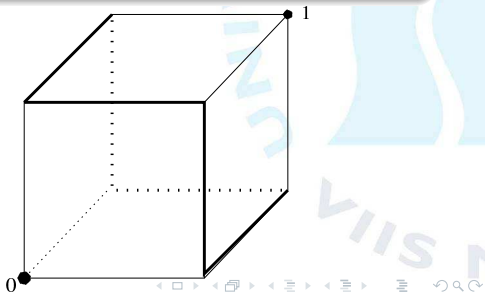
$$X = \partial I^n = \{\mathbf{x} \in I^n \mid \exists i : x_i = 0 \vee x_i = 1\} \simeq S^{n-1}$$

$$L = \partial_{\pm} I^n = \{\mathbf{x} \in I^n \mid \exists i, j : x_i = 0, x_j = 1\} \simeq S^{n-2}.$$

- $\vec{T}(I^n; \mathbf{x}, \mathbf{y})$ is contractible for all $\mathbf{x} \preceq \mathbf{y} \in I^n$;
- $\vec{T}(\partial I^n; \mathbf{0}, \mathbf{1})$ is homotopy equivalent to S^{n-2} .

Proof.

Choose $L = \partial_{\pm} I^n$. □



Key points in the proof of Theorem

- Topological conditions ok.
- Check that path components are mapped into each other by bijection.
- **Surjectivity** of c_L corresponds to **unavoidability**.
- **Order convexity** ensures that every fibre $c_L^{-1}(p)$ is an interval, hence **contractible**.
- The weak homotopy equivalence is a **homotopy equivalence** since domain and codomain of c_L have the homotopy type of a **CW-complex**.

Applications to trace spaces II: A generalisation

Definition

pieces and separating **layers**: $x_0, x_1 \in X$. $[x_0, x_1] = \bigcup_{i \in J} X_i$;
 $L_{ij} \subseteq X_i \cap X_j$ such that

L_{**} **order convex** $p \in \vec{P}(X_i) \Rightarrow$

$$\begin{cases} p^{-1}(L_{ji}) = [0, a] & \text{for some } a < 1 \quad (\emptyset \text{ if } a < 0) \\ p^{-1}(L_{ij}) = [b, 1] & \text{for some } 0 < b \quad (\emptyset \text{ if } b > 1) \end{cases}$$

L_{**} **unavoidable**: $\vec{P}(X_i \cup X_j \setminus L_{ij})(X_i \setminus L_{ij}, X_j \setminus L_{ij}) = \emptyset$.

$L_{ij} \leq L_{jk}$ if $\vec{P}(X_j)(L_{ij}, L_{jk}) \neq \emptyset$.

$S = (L_{i_k, i_{k+1}})_{0 \leq k \leq n}$ **admissible** from x_0 to x_1 if
 $x_0 \in X_{i_0}, x_1 \in X_{i_n}, L_{i_k, i_{k+1}} \leq L_{i_{k+1}, i_{k+2}}$.

$T_S(X)(x_0, x_1) = \vec{T}(X_{i_0})(x_0, L_{i_0, i_1}) \times_{L_{i_0, i_1}} \cdots \times_{L_{i_{k-1}, i_k}}$
 $\vec{T}(X_{i_k})(L_{i_{k-1}, i_k}, L_{i_k, i_{k+1}}) \times_{L_{i_k, i_{k+1}}} \cdots \times_{L_{i_{n-1}, i_n}} \vec{T}(X_{i_n})(L_{i_{n-1}, i_n}, x_1)$

Applications to trace spaces II: A generalisation

Definition

pieces and separating **layers**: $x_0, x_1 \in X$. $[x_0, x_1] = \bigcup_{i \in J} X_i$;
 $L_{ij} \subseteq X_i \cap X_j$ such that

L_{**} **order convex** $p \in \vec{P}(X_i) \Rightarrow$

$$\begin{cases} p^{-1}(L_{ji}) = [0, a] & \text{for some } a < 1 \quad (\emptyset \text{ if } a < 0) \\ p^{-1}(L_{ij}) = [b, 1] & \text{for some } 0 < b \quad (\emptyset \text{ if } b > 1) \end{cases}$$

L_{**} **unavoidable**: $\vec{P}(X_i \cup X_j \setminus L_{ij})(X_i \setminus L_{ij}, X_j \setminus L_{ij}) = \emptyset$.

$L_{ij} \leq L_{jk}$ if $\vec{P}(X_j)(L_{ij}, L_{jk}) \neq \emptyset$.

$S = (L_{i_k, i_{k+1}})_{0 \leq k \leq n}$ **admissible** from x_0 to x_1 if

$$x_0 \in X_{i_0}, x_1 \in X_{i_n}, L_{i_k, i_{k+1}} \leq L_{i_{k+1}, i_{k+2}}.$$

$$T_S(X)(x_0, x_1) = \vec{T}(X_{i_0})(x_0, L_{i_0, i_1}) \times_{L_{i_0, i_1}} \cdots \times_{L_{i_{k-1}, i_k}}$$

$$\vec{T}(X_{i_k})(L_{i_{k-1}, i_k}, L_{i_k, i_{k+1}}) \times_{L_{i_k, i_{k+1}}} \cdots \times_{L_{i_{n-1}, i_n}} \vec{T}(X_{i_n})(L_{i_{n-1}, i_n}, x_1)$$

Applications to trace spaces II: A generalisation

Definition

pieces and separating **layers**: $x_0, x_1 \in X$. $[x_0, x_1] = \bigcup_{i \in J} X_i$;
 $L_{ij} \subseteq X_i \cap X_j$ such that

L_{**} **order convex** $p \in \vec{P}(X_i) \Rightarrow$

$$\begin{cases} p^{-1}(L_{ji}) = [0, a] & \text{for some } a < 1 \quad (\emptyset \text{ if } a < 0) \\ p^{-1}(L_{ij}) = [b, 1] & \text{for some } 0 < b \quad (\emptyset \text{ if } b > 1) \end{cases}$$

L_{**} **unavoidable**: $\vec{P}(X_i \cup X_j \setminus L_{ij})(X_i \setminus L_{ij}, X_j \setminus L_{ij}) = \emptyset$.

$L_{ij} \leq L_{jk}$ if $\vec{P}(X_j)(L_{ij}, L_{jk}) \neq \emptyset$.

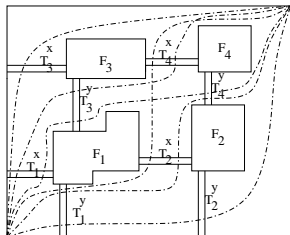
$S = (L_{i_k, i_{k+1}})_{0 \leq k \leq n}$ **admissible** from x_0 to x_1 if

$$x_0 \in X_{i_0}, x_1 \in X_{i_n}, L_{i_k, i_{k+1}} \leq L_{i_{k+1}, i_{k+2}}.$$

$$T_S(X)(x_0, x_1) = \vec{T}(X_{i_0})(x_0, L_{i_0, i_1}) \times_{L_{i_0, i_1}} \cdots \times_{L_{i_{k-1}, i_k}}$$

$$\vec{T}(X_{i_k})(L_{i_{k-1}, i_k}, L_{i_k, i_{k+1}}) \times_{L_{i_k, i_{k+1}}} \cdots \times_{L_{i_{n-1}, i_n}} \vec{T}(X_{i_n})(L_{i_{n-1}, i_n}, x_1)$$

Decomposition of d-path spaces



Theorem

The concatenation map
 $c : \bigcup_S \vec{T}_S(X)(x_0, x_1) \rightarrow \vec{T}(X)(x_0, x_1)$ is

- ① a **homeomorphism** if L_{ij} achronal.
- ② a **homotopy equivalence** if L_{**} order convex collection of subcomplexes of a pre-cubical complex X .

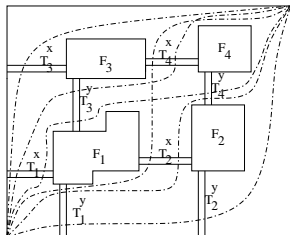
Proof.

Case (2): Apply Smale's Vietoris theorem.

Surjectivity: Every d-path can be decomposed along an admissible sequence (unavoidability)

Fibres are product of intervals, contractible!

Decomposition of d-path spaces



Theorem

The concatenation map
 $c : \bigcup_S \vec{T}_S(X)(x_0, x_1) \rightarrow \vec{T}(X)(x_0, x_1)$ is

- 1 a **homeomorphism** if L_{ij} achronal.
- 2 a **homotopy equivalence** if L_{**} order convex collection of subcomplexes of a pre-cubical complex X .

Proof.

Case (2): Apply Smale's Vietoris theorem.

Surjectivity: Every d-path can be decomposed along an admissible sequence (unavoidability)

Fibres are product of intervals, contractible!

An important special case II

Reachability. For a given collection of pieces and layers $\mathcal{L} = \bigcup L_{ij}$ in X that is unavoidable from x_0 to x_1 , let $R^{\mathcal{L}}(X)(x_0, x_1) = \{(x_{i_0 j_0}, \dots, x_{i_n j_n}) \in L_{i_0 j_0} \times \dots \times L_{i_n j_n} \mid \vec{P}(X_{i_k})(x_{i_k j_k}, x_{i_{k+1} j_{k+1}}) \neq \emptyset, n \geq 0\}$ denote the space of **mutually reachable** points in the given layers.

Corollary

If, moreover, all path spaces

*$\vec{T}(X_{i_k})(x_{i_{k-1}}, x_{i_k}), x_{i_{k-1}} \in L_{i_{k-1} i_k}, x_{i_k} \in L_{i_k i_{k+1}}$ are contractible and locally contractible (resp. highly connected), then $\vec{T}(X)(x_0, x_1)$ is **homotopy equivalent** to $R^{\mathcal{L}}(X)(x_0, x_1)$ (resp. induces iso on a range of homotopy groups)*

Again: Topologically trivial pieces \rightsquigarrow All topology resides in glueing information. Huge trace space replaced by a much smaller space!

An important special case II

Reachability. For a given collection of pieces and layers $\mathcal{L} = \bigcup L_{ij}$ in X that is unavoidable from x_0 to x_1 , let $R^{\mathcal{L}}(X)(x_0, x_1) = \{(x_{i_0j_0}, \dots, x_{i_nj_n}) \in L_{i_0j_0} \times \dots \times L_{i_nj_n} \mid \vec{P}(X_{i_k})(x_{i_kj_k}, x_{i_{k+1}j_{k+1}}) \neq \emptyset, n \geq 0\}$ denote the space of **mutually reachable** points in the given layers.

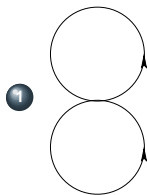
Corollary

If, moreover, all path spaces

*$\vec{T}(X_{i_k})(x_{i_{k-1}}, x_{i_k}), x_{i_{k-1}} \in L_{i_{k-1}i_k}, x_{i_k} \in L_{i_ki_{k+1}}$ are contractible and locally contractible (resp. highly connected), then $\vec{T}(X)(x_0, x_1)$ is **homotopy equivalent** to $R^{\mathcal{L}}(X)(x_0, x_1)$ (resp. induces iso on a range of homotopy groups)*

Again: Topologically trivial pieces \rightsquigarrow All topology resides in glueing information. Huge trace space replaced by a much smaller space!

Examples

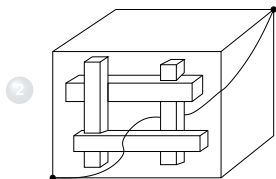


A wedge of two directed circles

$$X = \vec{S}^1 \vee_{x_0} \vec{S}^1:$$

$$\vec{T}(X)(x_0, x_0) \simeq \{1, 2\}^*.$$

(Choose $L_i = \{x_i\}$, $i = 1, 2$ with $x_i \neq x_0$ on the two branches).

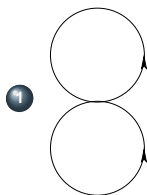


$Y =$ cube with two wedges deleted:

$$\vec{T}(Y)(\mathbf{0}, \mathbf{1}) \simeq * \sqcup (S^1 \vee S^1).$$

(L_i two vertical cuts through the wedges; product is homotopy equivalent to torus; reachability \sim two components, one of which is contractible, the other a thickening of $S^1 \vee S^1 \subset S^1 \times S^1$.)

Examples

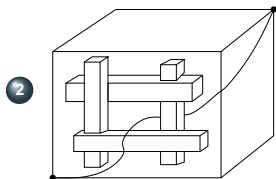


A wedge of two directed circles

$$X = \vec{S}^1 \vee_{x_0} \vec{S}^1:$$

$$\vec{T}(X)(x_0, x_0) \simeq \{1, 2\}^*.$$

(Choose $L_i = \{x_i\}$, $i = 1, 2$ with $x_i \neq x_0$ on the two branches).



$Y =$ cube with two wedges deleted:

$$\vec{T}(Y)(\mathbf{0}, \mathbf{1}) \simeq * \sqcup (S^1 \vee S^1).$$

(L_i two vertical cuts through the wedges; product is homotopy equivalent to torus; reachability \rightsquigarrow two components, one of which is contractible, the other a thickening of $S^1 \vee S^1 \subset S^1 \times S^1$.)

Inductive Calculations concerning $\vec{T}(X)(x_0, x_1)$

In many cases, one can establish the connectivity of $\vec{T}(X)(x_0, x_1)$ by studying the spaces of mutually reachable pairs $\{(x_{ki}, x_{ij}) \in L_{ki} \times L_{ij} \mid x_{ki} \preceq x_{ij}\}$.

Theorem

If all spaces of mutually reachable pairs are k -connected, then $\vec{T}(X)(x_0, x_1)$ is k -connected.

If not connected, one can often inductively determine the connected components (in particular their number).

Maximal cube paths

Let X denote the geometric realization of a finite pre-cubical complex (\square -set) M , i.e., $X = \coprod (M_n \times \vec{I}^n) / \simeq$.

X consists of “cells” e_α homeomorphic to I^{n_α} . A cell is called **maximal** if it is not in the image of a boundary map ∂^\pm .

D-path structure $\vec{P}(X)$ inherited from the $\vec{P}(\vec{I}^n)$ by “pasting”.

Definition

- A **maximal cube path** in a pre-cubical set is a sequence $S = (e_{\alpha_1}, \dots, e_{\alpha_k})$ of maximal cells such that $\partial^+ e_{\alpha_i} \cap \partial^- e_{\alpha_{i+1}}$ is a (nonempty) cell of maximal dimension. Its length $|S| = k$.
- A d-path p (or its trace) is **included** in a maximal cube path S if there is a partition of the parameter interval I such that $p(I_j) \subset e_{\alpha_j}$, $j \leq k$.

Maximal cube paths

Let X denote the geometric realization of a finite pre-cubical complex (\square -set) M , i.e., $X = \coprod (M_n \times \vec{I}^n) / \simeq$.

X consists of “cells” e_α homeomorphic to I^{n_α} . A cell is called **maximal** if it is not in the image of a boundary map ∂^\pm .

D-path structure $\vec{P}(X)$ inherited from the $\vec{P}(\vec{I}^n)$ by “pasting”.

Definition

- A **maximal cube path** in a pre-cubical set is a sequence $S = (e_{\alpha_1}, \dots, e_{\alpha_k})$ of maximal cells such that $\partial^+ e_{\alpha_j} \cap \partial^- e_{\alpha_{j+1}}$ is a (nonempty) cell of maximal dimension. Its length $|S| = k$.
- A d-path p (or its trace) is **included** in a maximal cube path S if there is a partition of the parameter interval I such that $p(I_j) \subset e_{\alpha_j}$, $j \leq k$.

Maximal cube paths

Let X denote the geometric realization of a finite pre-cubical complex (\square -set) M , i.e., $X = \coprod (M_n \times \vec{I}^n) / \simeq$.

X consists of “cells” e_α homeomorphic to I^{n_α} . A cell is called **maximal** if it is not in the image of a boundary map ∂^\pm .

D-path structure $\vec{P}(X)$ inherited from the $\vec{P}(\vec{I}^n)$ by “pasting”.

Definition

- A **maximal cube path** in a pre-cubical set is a sequence $S = (e_{\alpha_1}, \dots, e_{\alpha_k})$ of maximal cells such that $\partial^+ e_{\alpha_j} \cap \partial^- e_{\alpha_{j+1}}$ is a (nonempty) cell of maximal dimension. Its length $|S| = k$.
- A d-path p (or its trace) is **included** in a maximal cube path S if there is a partition of the parameter interval I such that $p(I_j) \subset e_{\alpha_j}$, $j \leq k$.

Simplicial complex representations of trace spaces

Consider the posets $\mathcal{C}_k(X)(e)$ consisting of *i*-tuples of maximal cube paths of length *k* in *X* starting with *e* and including a **common** *d*-path. The **nerve** of this poset (ordered by inclusion) is a simplicial set (complex) $\mathcal{S}_k(X)(e)$ with

Vertices maximal cube paths of length *k* in *X* (start at *e*);

***i*-simplices** (*i* + 1)-tuples of maximal cube paths of length *k* starting with *e* including a common *d*-path.

(Modified version: only cube paths that end with *e*)

Theorem

$$\vec{T}(X)(x_0, x_1) \simeq \coprod_k \mathcal{S}_k(X)(e_0, e_1); x_0 \in e_0, x_1 \in e_1.$$

Proof.

The subspace of *d*-paths included in a maximal cube path (or included in several of them) is **contractible**. Nerve lemma! \square

Simplicial complex representations of trace spaces

Consider the posets $\mathcal{C}_k(X)(e)$ consisting of ***i*-tuples** of maximal cube paths of length k in X starting with e and including a **common** d -path. The **nerve** of this poset (ordered by inclusion) is a simplicial set (complex) $\mathcal{S}_k(X)(e)$ with

Vertices maximal cube paths of length k in X (start at e);

***i*-simplices** $(i + 1)$ -tuples of maximal cube paths of length k starting with e including a common d -path.

(Modified version: only cube paths that end with e')

Theorem

$$\vec{T}(X)(x_0, x_1) \simeq \coprod_k \mathcal{S}_k(X)(e_0, e_1); x_0 \in e_0, x_1 \in e_1.$$

Proof.

The subspace of d -paths included in a maximal cube path (or included in several of them) is **contractible**. Nerve lemma! \square

Simplicial complex representations of trace spaces

Consider the posets $\mathcal{C}_k(X)(e)$ consisting of *i*-tuples of maximal cube paths of length k in X starting with e and including a **common** d -path. The **nerve** of this poset (ordered by inclusion) is a simplicial set (complex) $\mathcal{S}_k(X)(e)$ with

Vertices maximal cube paths of length k in X (start at e);

***i*-simplices** $(i + 1)$ -tuples of maximal cube paths of length k starting with e including a common d -path.

(Modified version: only cube paths that end with e')

Theorem

$$\vec{T}(X)(x_0, x_1) \simeq \coprod_k \mathcal{S}_k(X)(e_0, e_1); x_0 \in e_0, x_1 \in e_1.$$

Proof.

The subspace of d -paths included in a maximal cube path (or included in several of them) is **contractible**. Nerve lemma! \square

Simplicial complex representations of trace spaces

Consider the posets $\mathcal{C}_k(X)(e)$ consisting of *i*-tuples of maximal cube paths of length *k* in *X* starting with *e* and including a **common** *d*-path. The **nerve** of this poset (ordered by inclusion) is a simplicial set (complex) $\mathcal{S}_k(X)(e)$ with

Vertices maximal cube paths of length *k* in *X* (start at *e*);

***i*-simplices** (*i* + 1)-tuples of maximal cube paths of length *k* starting with *e* including a common *d*-path.

(Modified version: only cube paths that end with *e'*)

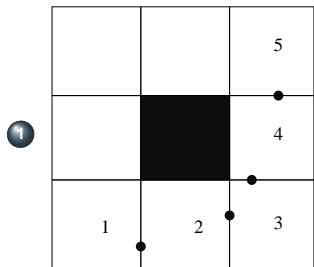
Theorem

$$\vec{T}(X)(x_0, x_1) \simeq \coprod_k \mathcal{S}_k(X)(e_0, e_1); x_0 \in e_0, x_1 \in e_1.$$

Proof.

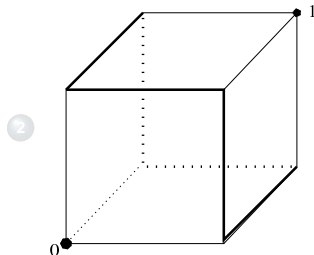
The subspace of *d*-paths included in a maximal cube path (or included in several of them) is **contractible**. Nerve lemma! \square

Simple examples



The two only maximal square paths from $\mathbf{0}$ to $\mathbf{1}$, each of them contributing a vertex. Empty intersection.

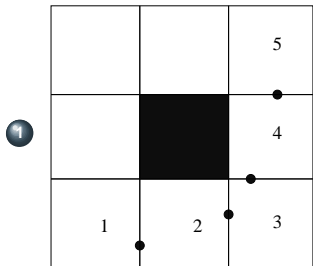
$$\mathcal{S}(X)(\mathbf{0}, \mathbf{1}) \simeq * \sqcup *$$



$X = \partial \vec{I}^n$. The maximal square paths from $\mathbf{0}$ to $\mathbf{1}$ have length 2.

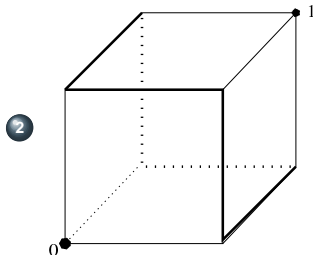
$$\mathcal{S}(\partial \vec{I}^n)(\mathbf{0}, \mathbf{1}) = \partial_{\pm} \vec{I}^n \simeq S^{n-2}$$

Simple examples



The two only maximal square paths from $\mathbf{0}$ to $\mathbf{1}$, each of them contributing a vertex. Empty intersection.

$$\mathcal{S}(X)(\mathbf{0}, \mathbf{1}) \simeq * \sqcup *$$



$X = \partial \vec{I}^n$. The maximal square paths from $\mathbf{0}$ to $\mathbf{1}$ have length 2.

$$\mathcal{S}(\partial \vec{I}^n)(\mathbf{0}, \mathbf{1}) = \partial_{\pm} \vec{I}^n \simeq \mathcal{S}^{n-2}$$

Future work

on the algebraic topology of trace spaces

- Is there an automatic way to place consecutive “antidiagonal cut” layers in complexes corresponding to PV-programs that allow to compare path spaces to **subspaces of the products of these layers?**
- Maximal cube paths and the d-paths included in them come in “rounds” (length). This gives hope for **inductive calculations** (as in the work of Herlihy, Rajsbaum and others in distributed computing).
- Explore the combinatorial alg. topology of trace spaces
 - with **fixed end points** and
 - what happens under **variations of end points.**
- Make this analysis useful for the determination of **components** and vice versa (extend the work of Fajstrup, Goubault, Haucourt, MR)
- **d-geodesics?** instead of d-paths?

Future work

on the algebraic topology of trace spaces

- Is there an automatic way to place consecutive “antidiagonal cut” layers in complexes corresponding to PV-programs that allow to compare path spaces to **subspaces of the products of these layers**?
- Maximal cube paths and the d-paths included in them come in “**rounds**” (length). This gives hope for **inductive calculations** (as in the work of Herlihy, Rajsbaum and others in distributed computing).
- Explore the combinatorial alg. topology of trace spaces
 - with **fixed end points** and
 - what happens under **variations of end points**.
- Make this analysis useful for the determination of **components** and vice versa (extend the work of Fajstrup, Goubault, Haucourt, MR)
- **d-geodesics?** instead of d-paths?

Future work

on the algebraic topology of trace spaces

- Is there an automatic way to place consecutive “antidiagonal cut” layers in complexes corresponding to PV-programs that allow to compare path spaces to **subspaces of the products of these layers**?
- Maximal cube paths and the d-paths included in them come in “**rounds**” (length). This gives hope for **inductive calculations** (as in the work of Herlihy, Rajsbaum and others in distributed computing).
- Explore the combinatorial alg. topology of trace spaces
 - with **fixed end points** and
 - what happens under **variations of end points**.
- Make this analysis useful for the determination of **components** and vice versa (extend the work of Fajstrup, Goubault, Haucourt, MR)
- **d-geodesics?** instead of d-paths?

Future work

on the algebraic topology of trace spaces

- Is there an automatic way to place consecutive “antidiagonal cut” layers in complexes corresponding to PV-programs that allow to compare path spaces to **subspaces of the products of these layers**?
- Maximal cube paths and the d-paths included in them come in “**rounds**” (length). This gives hope for **inductive calculations** (as in the work of Herlihy, Rajsbaum and others in distributed computing).
- Explore the combinatorial alg. topology of trace spaces
 - with **fixed end points** and
 - what happens under **variations of end points**.
- Make this analysis useful for the determination of **components** and vice versa (extend the work of Fajstrup, Goubault, Haucourt, MR)
- **d-geodesics?** instead of d-paths?

Future work

on the algebraic topology of trace spaces

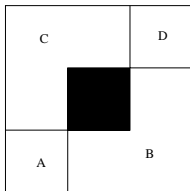
- Is there an automatic way to place consecutive “antidiagonal cut” layers in complexes corresponding to PV-programs that allow to compare path spaces to **subspaces of the products of these layers**?
- Maximal cube paths and the d-paths included in them come in “**rounds**” (length). This gives hope for **inductive calculations** (as in the work of Herlihy, Rajsbaum and others in distributed computing).
- Explore the combinatorial alg. topology of trace spaces
 - with **fixed end points** and
 - what happens under **variations of end points**.
- Make this analysis useful for the determination of **components** and vice versa (extend the work of Fajstrup, Goubault, Haucourt, MR)
- **d-geodesics**? instead of d-paths?

Categorical organization

First tool: The fundamental category

$\pi_1(X)$ of a d-space X [Grandis:03, FGHR:04]:

- **Objects:** points in X
- **Morphisms:** d- or dihomotopy classes of d-paths in X
- **Composition:** from concatenation of d-paths



Property: van Kampen theorem (M. Grandis)

Drawbacks: Infinitely many objects. Calculations?

Question: How much does $\pi_1(X)(x, y)$ depend on (x, y) ?

Remedy: Localization, component category. [FGHR:04, GH:06]

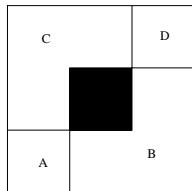
Problem: This “compression” works only for **loop-free** categories

Categorical organization

First tool: The fundamental category

$\vec{\pi}_1(X)$ of a d-space X [Grandis:03, FGHR:04]:

- **Objects:** points in X
- **Morphisms:** d- or dihomotopy classes of d-paths in X
- **Composition:** from concatenation of d-paths



Property: van Kampen theorem (M. Grandis)

Drawbacks: Infinitely many objects. Calculations?

Question: How much does $\vec{\pi}_1(X)(x, y)$ depend on (x, y) ?

Remedy: Localization, component category. [FGHR:04, GH:06]

Problem: This “compression” works only for **loopfree** categories

Preorder categories

Getting organised with indexing categories

A d-space structure on X induces the preorder \preceq :

$$x \preceq y \Leftrightarrow \vec{T}(X)(x, y) \neq \emptyset$$

and an indexing preorder category $\vec{D}(X)$ with

- **Objects:** (end point) pairs (x, y) , $x \preceq y$

- **Morphisms:**

$$\vec{D}(X)((x, y), (x', y')) := \vec{T}(X)(x', x) \times \vec{T}(X)(y, y')$$

$$x' \rightleftarrows x \xrightarrow{\preceq} y \rightleftarrows y'$$

- **Composition:** by pairwise contra-, resp. covariant concatenation.

A d-map $f : X \rightarrow Y$ induces a functor $\vec{D}(f) : \vec{D}(X) \rightarrow \vec{D}(Y)$.

Preorder categories

Getting organised with indexing categories

A d-space structure on X induces the preorder \preceq :

$$x \preceq y \Leftrightarrow \vec{T}(X)(x, y) \neq \emptyset$$

and an indexing preorder category $\vec{D}(X)$ with

- **Objects:** (end point) **pairs** (x, y) , $x \preceq y$

- **Morphisms:**

$$\vec{D}(X)((x, y), (x', y')) := \vec{T}(X)(x', x) \times \vec{T}(X)(y, y')$$

$$x' \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} x \xrightarrow{\preceq} y \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} y'$$

- **Composition:** by pairwise contra-, resp. covariant concatenation.

A d-map $f : X \rightarrow Y$ induces a functor $\vec{D}(f) : \vec{D}(X) \rightarrow \vec{D}(Y)$.

Preorder categories

Getting organised with indexing categories

A d-space structure on X induces the preorder \preceq :

$$x \preceq y \Leftrightarrow \vec{T}(X)(x, y) \neq \emptyset$$

and an indexing **preorder category** $\vec{D}(X)$ with

- **Objects:** (end point) **pairs** (x, y) , $x \preceq y$

- **Morphisms:**

$$\vec{D}(X)((x, y), (x', y')) := \vec{T}(X)(x', x) \times \vec{T}(X)(y, y')$$

$$x' \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} x \xrightarrow{\preceq} y \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} y'$$

- **Composition:** by pairwise contra-, resp. covariant concatenation.

A d-map $f : X \rightarrow Y$ induces a functor $\vec{D}(f) : \vec{D}(X) \rightarrow \vec{D}(Y)$.

The trace space functor

Preorder categories organise the trace spaces

The preorder category organises X via the trace space functor $\vec{T}^X : \vec{D}(X) \rightarrow Top$

- $\vec{T}^X(x, y) := \vec{T}(X)(x, y)$
- $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}(X)(x, y) \longrightarrow \vec{T}(X)(x', y')$

$$[\sigma] \longmapsto [\sigma_x * \sigma * \sigma_y]$$

Homotopical variant $\vec{D}_\pi(X)$ with morphisms

$$\vec{D}_\pi(X)((x, y), (x', y')) := \vec{\pi}_1(X)(x', x) \times \vec{\pi}_1(X)(y, y')$$

and trace space functor $\vec{T}_\pi^X : \vec{D}_\pi(X) \rightarrow Ho - Top$ (with homotopy classes as morphisms).

The trace space functor

Preorder categories organise the trace spaces

The preorder category organises X via the trace space functor $\vec{T}^X : \vec{D}(X) \rightarrow Top$

- $\vec{T}^X(x, y) := \vec{T}(X)(x, y)$
- $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}(X)(x, y) \longrightarrow \vec{T}(X)(x', y')$

$$[\sigma] \longmapsto [\sigma_x * \sigma * \sigma_y]$$

Homotopical variant $\vec{D}_\pi(X)$ with morphisms

$$\vec{D}_\pi(X)((x, y), (x', y')) := \vec{\pi}_1(X)(x', x) \times \vec{\pi}_1(X)(y, y')$$

and trace space functor $\vec{T}_\pi^X : \vec{D}_\pi(X) \rightarrow Ho - Top$ (with homotopy **classes** as morphisms).

Sensitivity with respect to variations of end points

Questions from a persistence point of view

- How much does (the homotopy type of) $\vec{T}^X(x, y)$ depend on (small) changes of x, y ?
- Which concatenation maps $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}^X(x, y) \rightarrow \vec{T}^X(x', y')$, $[\sigma] \mapsto [\sigma_x * \sigma * \sigma_y]$ are homotopy equivalences, induce isos on homotopy, homology groups etc.?
- The **persistence** point of view: Homology classes etc. are born (at certain branchings/mergings) and may die (analogous to the framework of G. Carlsson et al.)
- Are there **“components”** with (homotopically/homologically) stable dipath spaces (between them)? Are there borders (“walls”) at which changes occur?

Sensitivity with respect to variations of end points

Questions from a persistence point of view

- How much does (the homotopy type of) $\vec{T}^X(x, y)$ depend on (small) changes of x, y ?
- Which concatenation maps $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}^X(x, y) \rightarrow \vec{T}^X(x', y')$, $[\sigma] \mapsto [\sigma_x * \sigma * \sigma_y]$ are homotopy equivalences, induce isos on homotopy, homology groups etc.?
- The **persistence** point of view: Homology classes etc. are born (at certain branchings/mergings) and may die (analogous to the framework of G. Carlsson et al.)
- Are there **“components”** with (homotopically/homologically) stable dipath spaces (between them)? Are there borders (“walls”) at which changes occur?

Sensitivity with respect to variations of end points

Questions from a persistence point of view

- How much does (the homotopy type of) $\vec{T}^X(x, y)$ depend on (small) changes of x, y ?
- Which concatenation maps $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}^X(x, y) \rightarrow \vec{T}^X(x', y')$, $[\sigma] \mapsto [\sigma_x * \sigma * \sigma_y]$ are homotopy equivalences, induce isos on homotopy, homology groups etc.?
- The **persistence** point of view: Homology classes etc. are born (at certain branchings/mergings) and may die (analogous to the framework of G. Carlsson et al.)
- Are there “**components**” with (homotopically/homologically) stable dipath spaces (between them)? Are there borders (“walls”) at which changes occur?

Sensitivity with respect to variations of end points

Questions from a persistence point of view

- How much does (the homotopy type of) $\vec{T}^X(x, y)$ depend on (small) changes of x, y ?
- Which concatenation maps $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}^X(x, y) \rightarrow \vec{T}^X(x', y')$, $[\sigma] \mapsto [\sigma_x * \sigma * \sigma_y]$ are homotopy equivalences, induce isos on homotopy, homology groups etc.?
- The **persistence** point of view: Homology classes etc. are born (at certain branchings/mergings) and may die (analogous to the framework of G. Carlsson et al.)
- Are there “**components**” with (homotopically/homologically) stable dipath spaces (between them)? Are there borders (“walls”) at which changes occur?

Examples of component categories

Standard example

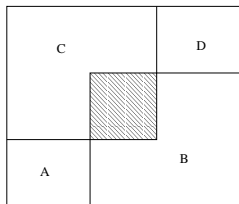
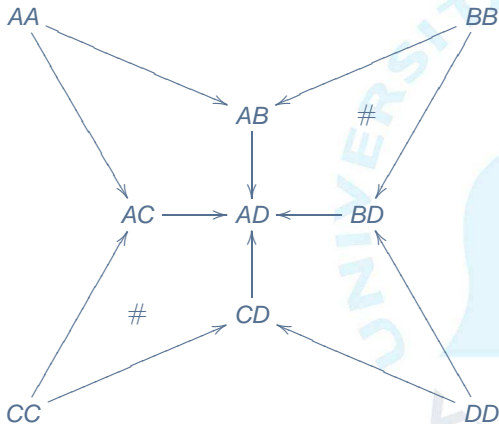


Figure: Standard example \uparrow and component category \rightarrow



Components A, B, C, D – or rather
 $AA, AB, AC, AD, BB, BD, CC, CD, DD$

Examples of component categories

Standard example

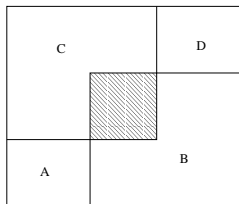
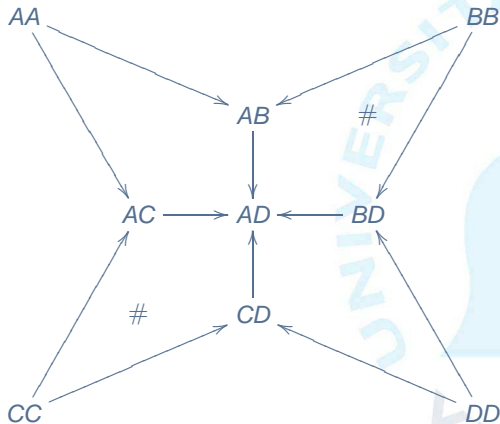


Figure: Standard example \uparrow and component category \rightarrow



Components A, B, C, D – or rather
 $AA, AB, AC, AD, BB, BD, CC, CD, DD$.

Examples of component categories

Oriented circle – with loops!

$$X = \vec{S}^1$$



$$\mathcal{C} : \Delta \begin{array}{c} \xrightarrow{a} \\ \xleftarrow{b} \end{array} \bar{\Delta}$$

Δ the diagonal, $\bar{\Delta}$ its complement.
 \mathcal{C} is the **free category** generated by a, b .

oriented circle

- Remark that the components are no longer products!
- It is essential in order to get a discrete component category to use an indexing category taking care of **pairs** (source, target).

Examples of component categories

Oriented circle – with loops!

$$X = \vec{S}^1$$



$$\mathcal{C} : \Delta \begin{array}{c} \xrightarrow{a} \\ \xleftarrow{b} \end{array} \bar{\Delta}$$

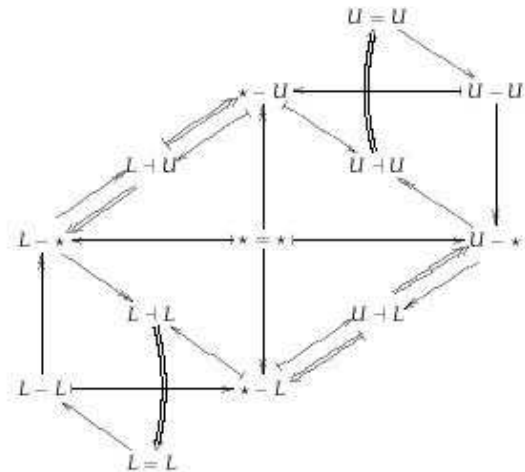
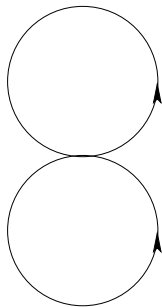
Δ the diagonal, $\bar{\Delta}$ its complement.
 \mathcal{C} is the **free category** generated by a, b .

oriented circle

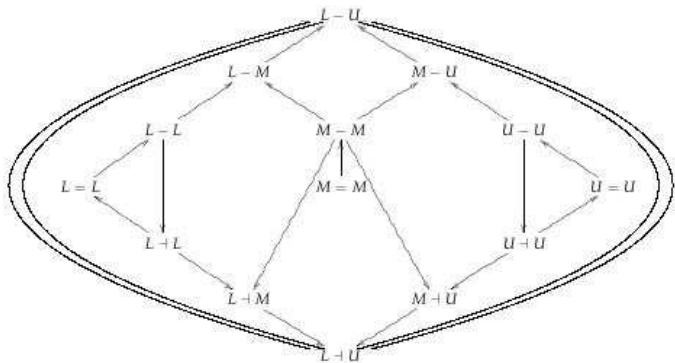
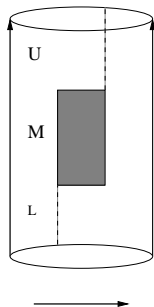
- Remark that the components are no longer products!
- It is essential in order to get a discrete component category to use an indexing category taking care of **pairs** (source, target).

The component category of a wedge of two oriented circles

$$X = \vec{S}^1 \vee \vec{S}^1$$



The component category of an oriented cylinder with a deleted rectangle



Concluding remarks

- **Component categories** contain the essential information given by (algebraic topological invariants of) d-path spaces
- Compression via component categories is an **antidote to the state space explosion problem**
- Some of the ideas (for the fundamental category) are **implemented** and have been tested for huge industrial software from EDF (Éric Goubault & Co., CEA)
- Much more theoretical and practical work remains to be done!

Thanks for your attention!
Questions? Comments?

Concluding remarks

- **Component categories** contain the essential information given by (algebraic topological invariants of) d-path spaces
- Compression via component categories is an **antidote to the state space explosion problem**
- Some of the ideas (for the fundamental category) are **implemented** and have been tested for huge industrial software from EDF (Éric Goubault & Co., CEA)
- Much more theoretical and practical work remains to be done!

Thanks for your attention!
Questions? Comments?

Concluding remarks

- **Component categories** contain the essential information given by (algebraic topological invariants of) d-path spaces
- Compression via component categories is an **antidote to the state space explosion problem**
- Some of the ideas (for the fundamental category) are **implemented** and have been tested for huge industrial software from EDF (Éric Goubault & Co., CEA)
- Much more theoretical and practical work remains to be done!

Thanks for your attention!
Questions? Comments?

Concluding remarks

- **Component categories** contain the essential information given by (algebraic topological invariants of) d-path spaces
- Compression via component categories is an **antidote to the state space explosion problem**
- Some of the ideas (for the fundamental category) are **implemented** and have been tested for huge industrial software from EDF (Éric Goubault & Co., CEA)
- Much more theoretical and practical work remains to be done!

Thanks for your attention!
Questions? Comments?

Concluding remarks

- **Component categories** contain the essential information given by (algebraic topological invariants of) d-path spaces
- Compression via component categories is an **antidote to the state space explosion problem**
- Some of the ideas (for the fundamental category) are **implemented** and have been tested for huge industrial software from EDF (Éric Goubault & Co., CEA)
- Much more theoretical and practical work remains to be done!

Thanks for your attention!
Questions? Comments?