Simplicial models for trace spaces

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Content

- Higher Dimensional Automata: Examples of state spaces and associated path spaces
- Motivation: Concurrency
- A simple case: State spaces and path spaces related to linear PV-programs
- Tool: Cutting up path spaces into contractible subspaces
- Homotopy type of path space described by a matrix poset category and realized by a prodsimplicial complex
- Algorithmics: Detecting dead and alive subcomplexes/matrices
- Outlook: How to handle general HDA.

Intro: State space and trace space

Problem: How are they related?

Example 1: State space and trace space for a semaphore space



State space = a 3D cube $\vec{I}^3 \setminus F$ minus 4 box obstructions Path space model contained in a torus $(\partial \Delta^2)^2$ – homotopy equivalent to a wedge of two circles and a point: $(S^1 \lor S^1) \sqcup *$ Pre-cubical set as state space

Example 2: State space and trace space for a non-looping semi-cubical complex



State space: Boundaries of two cubes glued together at common square $AB'C' \bullet$



Path space model: Prodsimplicial complex contained in torus $(\partial \Delta^2)^2$ homotopy equivalent to $S^1 \vee S^1$

Intro: State space and trace space with loops

Example 3: Torus with a hole



Path space model: Discrete infinite space of dimension 0 corresponding to $\{r, u\}^*$

State space with hole: 2D torus $\partial \Delta^2 \times \partial \Delta^2$ with a rectangle $\Delta^1 \times \Delta^1$ removed

Motivation: Concurrency Semaphores: A simple model for mutual exclusion

Mutual exclusion

occurs, when *n* processes P_i compete for *m* resources R_j .





Only k processes can be served at any given time.

Semaphores

Semantics: A processor has to lock a resource and to relinquish the lock later on! **Description/abstraction** $P_i : \dots PR_j \dots VR_j \dots$ (E.W. Dijkstra) *P*: pakken; *V*: vrijlaten

A geometric model: Schedules in "progress graphs"

The Swiss flag example



Executions are directed paths – since time flow is irreversible - avoiding a forbidden region (shaded). Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to equivalent executions. Deadlocks, unsafe and unreachable regions may occur.

Simple Higher Dimensional Automata

The state space

A linear PV-program is modeled as the complement of a forbidden region F consisting of a number of holes in an *n*-cube I^n :

Hole = isothetic hyperrectangle $R^i =]a_1^i, b_1^i [\times \cdots \times]a_n^i, b_n^i [, 1 \le i \le I, \text{ in an } n\text{-cube:}$ with minimal vertex \mathbf{a}^i and maximal vertex \mathbf{b}^i . State space $X = \overline{I}^n \setminus F$, $F = \bigcup_{i=1}^{I} R^i$ X inherits a partial order from \overline{I}^n .

More general (PV)-programs:

- Replace \vec{l}^n by a product $\Gamma_1 \times \cdots \times \Gamma_n$ of digraphs.
- Holes have then the form $p_1^i((0, 1)) \times \cdots \times p_n^i((0, 1))$ with $p_j^i : \vec{l} \to \Gamma_j$ a directed injective (d-)path.
- Pre-cubical complexes: like pre-simplicial complexes, with (partially ordered) hypercubes instead of simplices as building blocks.

Spaces of d-paths/traces – up to dihomotopy the interesting spaces

Definition

X a d-space, a, b ∈ X. p: I→ X a d-path in X (continuous and "order-preserving") from a to b.
P(X)(a, b) = {p: I→ X | p(0) = a, p(b) = 1, p a d-path}. Trace space T(X)(a, b) = P(X)(a, b) modulo increasing reparametrizations. In most cases: P(X)(a, b) ≃ T(X)(a, b).
A dipomotopy on P(X)(a, b) is a map H: I × I → X such

• A dihomotopy on $\vec{P}(X)(a, b)$ is a map $H: \vec{I} \times I \to X$ such that $H_t \in \vec{P}(X)(a, b), t \in I$; ie a path in $\vec{P}(X)(a, b)$.

Aim:

Description of the homotopy type of $\vec{P}(X)(a, b)$ as explicit finite dimensional prodsimplicial complex. In particular: its path components, ie the dihomotopy classes of d-paths (executions). Tool: Covers of X and of $\vec{P}(X)(\mathbf{0}, \mathbf{1})$

by contractible or empty subspaces

 $X = \vec{I}^n \setminus F$, $F = \bigcup_{i=1}^l R^i$; $R^i = [\mathbf{a}^i, \mathbf{b}^i]$; **0**, **1** the two corners in I^n .

Definition $X_{j_1,\ldots,j_l} = \{x \in X \mid \forall i : x_{j_i} \leq a_{j_i}^i \lor \exists k : x_k \geq b_k^i\}$ $= \{ x \in X | \forall i : x \leq \mathbf{b}^i \Rightarrow x_{j_i} \leq a_{j_i}^i \}, \quad 1 \leq j_i \leq n.$ Examples: A cover: $\vec{P}(X)(\mathbf{0},\mathbf{1}) = \bigcup \vec{P}(X_{j_1,...,j_l})(\mathbf{0},\mathbf{1}).$ $1 < j_1, ..., j_l < n$

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More intricate subspaces as intersections

either empty or contractible

Definition

Theorem

Every path space $\vec{P}(X_{J_1,...,J_l})(\mathbf{0},\mathbf{1})$ is either empty or contractible.

Proof.

relies on: Subspaces $X_{J_1,...,J_l}$ are closed under $\vee = I.u.b.$

Question:

For which $J_1, \ldots, J_l \subseteq [1:n]$ is $\vec{P}(X_{J_1,\ldots,J_l})(\mathbf{0},\mathbf{1}) \neq \emptyset$?

Combinatorics: Bookkeeping with binary matrices

Binary matrices

 $M_{l,n}$ poset (\leq) of binary $l \times n$ -matrices $M_{l,n}^R$ no row vector is the zero vector $M_{l,n}^C$ every column vector is a unit vector

Correspondences

 $\begin{array}{rcl} \mathsf{Index \ sets} & \leftrightarrow & \mathsf{Matrix \ sets} \\ (\mathcal{P}([1:n]))^I & \leftrightarrow & M_{I,n} \\ J = (J_1, \dots, J_l) & \mapsto & M^J = (m_{ij}), \ m_{ij} = 1 \Leftrightarrow j \in J \\ & J^M & \leftarrow & M \quad J_i^M = \{j \mid m_{ij} = 1\} \\ \mathsf{I-tuples \ of \ subsets} \neq \oslash & \leftrightarrow & M_{I,n}^R \\ \{(K_1, \dots, K_l) \mid [1:n] = \bigsqcup K_i\} & \leftrightarrow & M_{I,n}^C \end{array}$

Question rephrased

$$X_M := X_{J_M}$$

$$\vec{P}(X_M)(\mathbf{0},\mathbf{1})=\vec{P}(X_{J_M})(\mathbf{0},\mathbf{1})\neq\emptyset?$$

A combinatorial model and its geometric realization

Combinatorics: poset category – $C(X)(\mathbf{0}, \mathbf{1}) \subseteq M_{l,n}^R \subseteq M_{l,n}$ $J \leftrightarrow M \in C(X)(\mathbf{0}, \mathbf{1})$ Topology: prodsimplicial complex $\mathbf{T}(X)(\mathbf{0},\mathbf{1}) \subseteq (\Delta^{n-1})^{I}$ $\Delta_{J_{1}}^{|J_{1}|-1} \times \cdots \times \Delta_{J_{l}}^{|J_{l}|-1} \subseteq$ $\mathbf{T}(X)(\mathbf{0},\mathbf{1})$

 $\Leftrightarrow \vec{P}(X_M)(\mathbf{0},\mathbf{1}) \neq \emptyset.$

First examples



• $\mathbf{T}(X_1)(\mathbf{0},\mathbf{1}) = (\partial \Delta^1)^2$ = 4*

 $\supset \mathcal{C}(X)(\mathbf{0},\mathbf{1})$

Further examples

State spaces and "alive" matrices



Many more examples in Goubault's talk!

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Homotopy equivalence between trace space $\vec{T}(X)(\mathbf{0}, \mathbf{1})$ and the prodsimplicial complex $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$

Theorem

 $\vec{P}(X)(\mathbf{0},\mathbf{1})\simeq \mathbf{T}(X)(\mathbf{0},\mathbf{1})\simeq \Delta \mathcal{C}(X)(\mathbf{0},\mathbf{1}).$

Proof.

- Functors $\mathcal{D}, \mathcal{E}, \mathcal{T} : \mathcal{C}(X)(\mathbf{0}, \mathbf{1})^{(\mathbf{0}\mathbf{p})} \to \mathbf{Top}:$ $\mathcal{D}(M) = \vec{P}(X_M)(\mathbf{0}, \mathbf{1}),$ $\mathcal{E}(M) = \Delta_{J_1}^{|J_1|-1} \times \cdots \times \Delta_{J_l}^{|J_l|-1} = \Delta_{J_M},$ $\mathcal{T}(M) = *$
- colim $\mathcal{D} = \vec{P}(X)(\mathbf{0}, \mathbf{1})$, colim $\mathcal{E} = \mathbf{T}(X)(\mathbf{0}, \mathbf{1})$, hocolim $\mathcal{T} = \Delta \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$.
- The trivial natural transformations D ⇒ T, E ⇒ T yield: hocolim D ≅ hocolim T* ≅ hocolim T ≅ hocolim E.
- Projection lemma: hocolim D ≃ colim D, hocolim E ≃ colim E.

- We distinguish, for every obstruction, sets J_i of restrictions.
 A joint restriction is of type J₁ ×···× J_l, and not an arbitrary subset of [1 : n]^l.
- Prodsimplicial and simplicial model (nerve of category) have the same number of vertices ($\leq n^{l}$) and dimension ($\leq (n-1)(l-1) 1$).
- The number of cells is of different orders: prodsimplicial 2^{n/} simplicial 2^(n')

From $C(X)(\mathbf{0}, \mathbf{1})$ to properties of path space Questions answered by homology calculations using $T(X)(\mathbf{0}, \mathbf{1})$

Questions

- Is P(X)(0, 1) path-connected, i.e., are all (execution) d-paths dihomotopic (lead to the same result)?
- Determination of path-components?
- Are components simply connected? Other topological properties?

Strategies – Attempts

- Implementation of **T**(*X*)(**0**, **1**) in ALCOOL: Progress at CEA/LIX-lab.: Goubault etal
- The prodsimplicial structure on C(X)(0, 1) ↔ T(X)(0, 1) leads to an associated chain complex of vector spaces over a field.
- Use fast algorithms (eg Mrozek CrHom etc) to calculate the **homology** groups of these chain complexes even for very big complexes.
- Number of path-components: *rkH*₀(T(X)(0, 1)).
 For path-components alone, there are faster "discrete" methods, that also yield representatives in each path component: Goubault etal.
- Even when "exponential explosion" prevents precise calculations, inductive determination (round by round) of general properties ((simple) connectivity) may be possible.



Detection of dead and alive subcomplexes

An algorithm starts with deadlocks and unsafe regions!

Allow less = forbid more!

Remove **extended** hyperrectangles R_j^i := $[0, b_1^i [\times \cdots \times [0, b_{j-1}^i [\times] a_j^i, b_j^i [\times [0, b_{j+1}^i [\times \cdots \times [0, b_n^i [\supset R^i], X_M = X \setminus \bigcup_{m_{ij}=1} R_j^i]$

Theorem

The following are equivalent:

 $\overrightarrow{P}(X_M)(\mathbf{0},\mathbf{1}) = \mathbf{0} \Leftrightarrow M \notin \mathcal{C}(X)(\mathbf{0},\mathbf{1}).$

③ There is a map $i : [1 : n] \rightarrow [1 : l]$ such that $m_{i(j),j} = 1^a$ and such that $\bigcap_{1 \le j \le n} R_j^{i(j)} \ne \emptyset$ – giving rise to a deadlock unavoidable from **0**.

^{*a*} corresponding to a matrix $M(i) \in M_{l,n}^{C}$ with $M(i) \leq M$

Dead or alive?

Consider $\Psi: M_{l,n} \to \mathbb{Z}/2, \ \Psi(M) = 1 \Leftrightarrow \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) = \emptyset.$

- Ψ is order preserving, in particular: $\Psi^{-1}(0), \Psi^{-1}(1)$ are closed in opposite senses: $M \le N : \Psi(N) = 0 \Rightarrow \Psi(M) = 0; \Psi(M) = 1 \Rightarrow \Psi(N) = 1$ (thus T(X)(0, 1) prodsimplicial).
- $\Psi(M) = 1 \Leftrightarrow \exists N \in M_{l,n}^{C}$ such that $N \leq M, \Psi(N) = 1$

 $D(X)(0,1) = \{N \in M_{l,n}^{C} | \Psi(N) = 1\} - \text{dead}$ $C(X)(0,1) = \{M \in M_{l,n}^{R} | \Psi(M) = 0\} - \text{alive}$

Still alive - not yet dead

- $C_{\max}(X)(\mathbf{0},\mathbf{1}) \subset C(X)(\mathbf{0},\mathbf{1})$ maximal alive matrices.
- Matrices in C_{max}(X)(0, 1) correspond to maximal simplex products in T(X)(0, 1).
- $D_{\min}(X)(\mathbf{0},\mathbf{1}) = D(X)(\mathbf{0},\mathbf{1}) \cap M_{l,n}^{C}$ minimal dead matrices.
- Connection: *M* ∈ C_{max}(*X*)(**0**, **1**), *M* ≤ *N* a succesor (a single 0 replaced by a 1) ⇒ *N* ∈ D_{min}(*X*)(**0**, **1**).

A cube removed from a cube

- $X = \vec{I}^n \setminus \vec{J}^n$, $D(X)(0, 1) = \{[1, ..., 1]\};$
- $C_{\max}(X)(0, 1)$: vectors with a single 0;

•
$$C(X)(0, 1) = M_{l,n}^R \setminus \{[1, ..., 1]\};$$

• $\mathbf{T}(X)(\mathbf{0},\mathbf{1}) = \partial \Delta^{n-1}$.

Dead matrices in $D_{min}(X)(\mathbf{0}, \mathbf{1})$ Inequalities decide

Decisions: Inequalities

- Enough to decide among the Iⁿ matrices in M^C_{I.n}.
- A matrix $M \in M_{l,n}^C$ is described by a (choice) map

 $i: [1:n] \to [1:l], m_{i(j),j} = 1.$

• Deadlock algorithm ~>>> inequalities:

 $M \in D(X)(\mathbf{0},\mathbf{1}) \Leftrightarrow a_j^{i(j)} < b_j^{i(k)} ext{ for all } \mathbf{1} \leq j,k \leq n.$

 Algorithmic organisation: Choice maps with the same image give rise to the same upper bounds b^{*}_i. From D(X) to $C_{max}(X)$ Minimal transversals in hypergraphs (simplicial complexes)

Incremental search: comparisons

Construct $C_{max}(X)(\mathbf{0}, \mathbf{1})$ incrementally (checking for one matrix $N \in D(X)(\mathbf{0}, \mathbf{1})$ at a time), starting with matrix **1**:

② $N_{i+1} \le M \Rightarrow M$ is replaced by *n* matrices M^j with one additional 0. Example: $X = \vec{l}^n \setminus \vec{J}^n$.

Minimal transversals in a hypergraph

- A matrix in D(X)(0, 1) describes a hyperedge on the vertex set [1 : I] × [1 : n]; D(X)(0, 1) describes a hypergraph.
- A transversal in a hypergraph is a vertex set that has non-empty intersection with each hyperedge.
- Complements of minimal transversals correpond to matrices in C_{max}(0, 1) – algorithms well-developed.

More general linear semaphore state spaces

- More general semaphores (intersection with the boundary of *Iⁿ* allowed)
- *n* dining philosophers: Trace space has $2^n 2$ components
- Different end points: $\vec{P}(X)(\mathbf{c}, \mathbf{d})$ and iterative calculations
- End complexes rather than end points (allowing processes not to respond..., Herlihy & Cie)
- Same technique, modification of definition and calculation of C(X)(−,−), D(X)(−,−) etc. ; cf preprint, submitted.

State space components

New light on definition and determination of **components** of model space *X*.

Path spaces in product of digraphs

Products of digraphs instead of \vec{l}^n : $\Gamma = \prod_{j=1}^n \Gamma_j$, state space $X = \Gamma \setminus F$, *F* a product of generalized hyperrectangles R^i . • $\vec{P}(\Gamma)(\mathbf{x}, \mathbf{y}) = \prod \vec{P}(\Gamma_i)(x_i, y_i)$ – homotopy discrete!

Pullback to linear situation

Represent a path component $C \in \vec{P}(\Gamma)(\mathbf{x}, \mathbf{y})$ by(regular) d-paths $p_j \in \vec{P}(\Gamma_j)(x_j, y_j)$ – an interleaving. The map $c : \vec{l}^n \to \Gamma, c(t_1, \dots, t_n) = (c_1(t_1), \dots, c_n(t_n))$ induces a homeomorphism $\circ c : \vec{P}(\vec{l}^n)(\mathbf{0}, \mathbf{1}) \to C \subset \vec{P}(\Gamma)(\mathbf{x}, \mathbf{y}).$

Homotopy types of interleaving components

Pull back F via c: $\bar{X} = \bar{l}^n \setminus \bar{F}, \bar{F} = \bigcup \bar{R}^i, \bar{R}^i = c^{-1}(R^i)$ – honest hyperrectangles! $i_X : \vec{P}(X) \hookrightarrow \vec{P}(\Gamma)$. Given a component $C \subset \vec{P}(\Gamma)(\mathbf{x}, \mathbf{y})$. The d-map $c : \bar{X} \to X$ induces a homeomorphism $c_\circ : \vec{P}(\bar{X})(\mathbf{0}, \mathbf{1}) \to i_X^{-1}(C) \subset \vec{P}(X)(\mathbf{x}, \mathbf{y})$.

- *C* "lifts to X" $\Leftrightarrow \vec{P}(\bar{X})(\mathbf{0},\mathbf{1}) \neq \emptyset$; if so:
- Analyse $i_X^{-1}(C)$ via $\vec{P}(\bar{X})(\mathbf{0},\mathbf{1})$.
- Exploit relations between various components.

Special case: $\Gamma = (S^1)^n$ – a torus

State space: A torus with rectangular holes in *F*: Investigated by Fajstrup, Goubault, Mimram etal.: Analyse by **language** on the alphabet $C(X)(\mathbf{0}, \mathbf{1})$ of **alive** matrices for a one-fold delooping of $\Gamma \setminus F$.

HDA: Directed pre-cubical complex

Higher Dimensional Automaton: **Pre-cubical complex** – like simplicial complex but with **cubes** as building blocks – with preferred diretions.

Geometric realization *X* with d-space structure.

Branch points and branch cubes

These complexes have branch points and branch cells – more than one maximal cell with same lower corner vertex. At branch points, one can cut up a cubical complex in simpler pieces.

Trouble: Simpler pieces may have higher order branch points.

Non-branching complexes

Start with complex without directed loops: After finally many iterations: Subcomplex *Y* without branch points.

Theorem

 $\vec{P}(Y)(\mathbf{x}_0, \mathbf{x}_1)$ is empty or contractible.

Proof.

Such a subcomplex has a preferred diagonal flow and a contraction from path space to the flow line from start to end.

Results in a (complicated) finite category $\mathcal{M}(X)(\mathbf{x}_0, \mathbf{x}_1)$ on subsets of (iterated) branch cells.

Theorem

 $\vec{P}(X)(\mathbf{x}_0, \mathbf{x}_1)$ is homotopy equivalent to the nerve $\mathcal{N}(\mathcal{M}(X)(\mathbf{x}_0, \mathbf{x}_1))$ of that category.

Delooping HDAs

A pre-cubical complex comes with an L_1 -length 1-form $\omega = dx_1 + \cdots + dx_n$ on every *n*-cube. Integration: L_1 -length on rectifiable paths, homotopy invariant. Defines $I : P(X)(x_0, x_1) \to \mathbf{R}$ and $I_{\sharp} : \pi_1(X) \to \mathbf{R}$ with kernel *K*. The (usual) covering $\tilde{X} \downarrow X$ with $\pi_1(\tilde{X}) = K$ is a directed pre-cubical complex without directed loops.

Theorem (Decomposition theorem)

For every pair of points $\mathbf{x}_0, \mathbf{x}_1 \in X$, path space $\vec{P}(X)(\mathbf{x}_0, \mathbf{x}_1)$ is homeomorphic to the disjoint union $\bigsqcup_{n \in \mathbf{Z}} \vec{P}(\tilde{X})(\mathbf{x}_0^0, \mathbf{x}_1^n)^a$.

^{*a*} in the fibres over \mathbf{x}_0 , \mathbf{x}_1

- From a (rather compact) state space model to a finite dimensional trace space model.
- Calculations of invariants (Betti numbers) possible even for quite large state spaces.
- Dimension of trace space model reflects not the size but the complexity of state space (number of obstructions, number of processors) – linearly.
- Challenge: General properties of path spaces for algorithms solving types of problems in a distributed manner?

(Connection to the work of Herlihy and Rajsbaum)

Want to know more?

• Eric Goubault's talk this afternoon!

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Thank you for your attention!