

# The Feng–Rao bounds

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Linear code = a subspace.

Operations are:

- ▶ Vector addition.
- ▶ Scalar multiplication.

$[n, k, d]$  the usual parameters.

To deal with  $d$  (and  $k$  and even  $n$ ) the componentwise product is useful:

- ▶  $(c_1, \dots, c_n) * (d_1, \dots, d_n) = (c_1 d_1, \dots, c_n d_n)$ .

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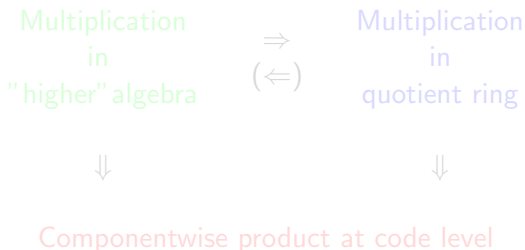
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Claim: Code constructions with a supporting algebra:

- ▶ algebraic geometric codes,
- ▶ Reed–Muller codes and relatives,
- ▶ affine variety codes,

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Multiplication  
in  
"higher" algebra  $\begin{matrix} \Rightarrow \\ (\Leftarrow) \end{matrix}$  Multiplication  
in  
quotient ring



Componentwise product at code level

Dual code  
Parity check matrix

Primary code  
Generator matrix

The usual Feng–Rao bound

The Andersen–G bound

(Feng–Rao bound  
for dual codes)



(Feng–Rao bound  
for primary codes)

Order bound

Footprint bound

Dual code  
Parity check matrix

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The Andersen–G bound

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$\Leftrightarrow$

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Order bound

Footprint bound



## This talk:

- ▶ connection between the levels of description,
- ▶ connection between dual and primary

## Results:

- ▶ Consequences of the above connections.
- ▶ Information derived from medium and low level descriptions.

## Important results that are not covered:

- ▶ Higher level results such as Beelen bound, Duursma–Kirov–Park bound and list decoding of algebraic geometric codes by Lee–Bras-Amorós–O’Sullivan’s method.

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Ideal  $J \subseteq \mathbb{F}[\vec{X}]$

The footprint:

$$\Delta_{\prec}(J) = \{\vec{X}^{\vec{\alpha}} \mid \vec{X}^{\vec{\alpha}} \text{ is not a leading monomial of any polynomial in } J\}$$

$$I \subseteq \mathbb{F}_q[\vec{X}], I_q = I + \langle X_1^q - X_1, \dots, X_m^q - X_m \rangle.$$

The footprint bound in a special case:

$$\#\mathbb{V}_{\mathbb{F}_q}(I_q) = \#\Delta_{\prec}(I_q).$$

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$$I_q = \langle X^q - X, Y^q - Y \rangle$$

$$\Delta_{\prec}(I_q) = \{X^i Y^j \mid 0 \leq i, j < q\}$$

$$\#\mathbb{V}_{\mathbb{F}_q}(I_q) = q^2$$

$$I_{q^2} = \langle X^{q+1} - Y^q - Y, X^{q^2} - X, Y^{q^2} - Y \rangle.$$

Choose monomial ordering with  $x^{q+1} \prec Y^q$ ,

$$\Delta_{\prec}(I_{q^2}) \subseteq \{X^i Y^j \mid 0 \leq i < q^2, 0 \leq j < q\}$$

$$\#\mathbb{V}_{\mathbb{F}_{q^2}}(I_{q^2}) \leq q \cdot q^2 = q^3$$

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Gröbner basis for  $J$  w.r.t.  $\prec$  is a basis for  $J$  such that  $\Delta_{\prec}(J)$  can easily be read off.

$\mathcal{G} = \{G_1, \dots, G_s\} \subseteq J$  is Gröbner basis for  $J$  w.r.t.  $\prec$  iff any monomial in  $\text{Im}(J)$  is divisible by some  $\text{Im}(G_i)$ .

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Gröbner basis for  $I_q$  gives exact information on  $\#\mathbb{V}_{\mathbb{F}_q}(I_q)$ .

$$\mathbb{V}_{\mathbb{F}_q}(I_q) = \{P_1, \dots, P_n\}.$$

Codeword  $\vec{c} = (F(P_1), \dots, F(P_n))$ .

$w_H(\vec{c}) = n - \#\Delta_{\prec}(I_q + \langle F \rangle)$  ( $n$  minus number of common zeros).

Information on which leading monomials occur in the code construction gives information on minimum distance.

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$\{M + J \mid M \in \Delta_{\prec}(J)\}$  is a basis for  $\mathbb{F}[\vec{X}]/J$  as a vectorspace over  $\mathbb{F}$ .

$$G = \begin{bmatrix} M_1(P_1) & \cdots & M_1(P_n) \\ M_2(P_1) & \cdots & M_2(P_n) \\ \vdots & \ddots & \vdots \\ M_k(P_1) & \cdots & M_k(P_n) \end{bmatrix}, \quad M_1, \dots, M_k \in \Delta_{\prec}(I_q), M_i \neq M_j$$

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Reed–Muller codes:

Let  $I_5 = \langle X^5 - X, Y^5 - Y \rangle$  and

$\vec{c} = (F(P_1), \dots, F(P_{n=25}))$ , with  $\text{Im}(F) = X^i Y^j$ .

We get  $w_H(\vec{c}) = n - \#\Delta_{\prec}(I_5 + \langle F \rangle) \geq (5 - i)(5 - j)$ .

$Y^4$	$XY^4$	$X^2Y^4$	$X^3Y^4$	$X^4Y^4$
$Y^3$	$XY^3$	$X^2Y^3$	$X^3Y^3$	$X^4Y^3$
$Y^2$	$XY^2$	$X^2Y^2$	$X^3Y^2$	$X^4Y^2$
$Y$	$XY$	$X^2Y$	$X^3Y$	$X^4Y$
$1$	$X$	$X^2$	$X^3$	$X^4$

5	4	3	2	1
10	8	6	4	2
15	12	9	6	3
20	16	12	8	4
25	20	15	10	5

$\text{RM}_5(4, 2)$  is  $[25, 15, 5]$

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Hermitian codes:

$$I = \langle X^{q+1} - Y^q - Y \rangle, I_{q^2} = I + \langle X^{q^2} - X, Y^{q^2} - Y \rangle.$$

$$w(X^i Y^j) = iq + j(q + 1)$$

$$X^s Y^t \prec_w X^u Y^v$$

- ▶ if  $w(X^s Y^t) < w(X^u Y^v)$
- ▶ or  $w(X^s Y^t) = w(X^u Y^v)$  and  $t < v$

Weighted degree lexicographic ordering.

$$I_4 = \langle X^3 - Y^2 - Y, X^4 - X, Y^4 - Y \rangle.$$

 $\Delta_{\prec_w}(I_4)$ 

$Y$	$XY$	$X^2Y$	$X^3Y$
$1$	$X$	$X^2$	$X^3$

$3$	$5$	$7$	$9$
$0$	$2$	$4$	$6$

$$\vec{c} = (F(P_1), \dots, F(P_8))$$

$$\text{Im}(F) = Y$$

$$w_H(\vec{c}) = \#\{M \in \Delta_{\prec_w}(I_4) \mid M \notin \Delta_{\prec_w}(I_4 + \langle F \rangle)\}.$$

$$YF \text{ rem } X^3 - Y^2 - Y = Y(Y + \dots) \text{ rem } X^3 - Y^2 - Y = X^3 + \dots$$

$$w_H(\vec{c}) \geq \#w(\Delta_{\prec_w}(I_4) \cap (w(Y) + w(\Delta_{\prec_w}(I_4)))).$$

(what we hit is what we get).

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$$I_9 = \langle X^4 - Y^3 - Y, X^9 - X, Y^9 - Y \rangle. \quad w(X) = 3, w(Y) = 4.$$

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$Y$	$XY$	$X^2Y$	$X^3Y$	$X^4Y$	$X^5Y$	$X^6Y$	$X^7Y$	$X^8Y$
1	$X$	$X^2$	$X^3$	$X^4$	$X^5$	$X^6$	$X^7$	$X^8$

8	<u>11</u>	<u>14</u>	<u>17</u>	<u>20</u>	<u>23</u>	<u>26</u>	<u>29</u>	<u>32</u>
4	7	10	13	16	<u>19</u>	<u>22</u>	<u>25</u>	<u>28</u>
0	3	6	9	12	<u>15</u>	<u>18</u>	<u>21</u>	<u>24</u>

19	<u>16</u>	13	10	7	4	3	2	1
23	20	17	14	11	8	6	4	2
27	24	21	18	15	12	9	6	3

## One-point algebraic geometric codes:

$P_1, \dots, P_n, Q$  rational places of function field over  $\mathbb{F}_q$ .

To construct  $C_{\mathcal{L}}(D = P_1 + \dots + P_n, \nu Q)$  we need basis for:  
 $\bigcup_{s=0}^{\nu} \mathcal{L}(sQ) \subseteq \bigcup_{s=0}^{\infty} \mathcal{L}(sQ)$ .

Everything, can be translated into affine variety description:

$$\bigcup_{s=0}^{\infty} \mathcal{L}(sQ) = \mathbb{F}_q[X_1, \dots, X_m]/I \quad \{P_1, \dots, P_n\} \subseteq \mathbb{V}_{\mathbb{F}_q}(I).$$

Affine variety description includes determination of minimum distance via footprint bound.

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Weierstrass semigroup:

$$H(Q) = -\nu_Q\left(\bigcup_{s=0}^{\infty} \mathcal{L}(sQ)\right) = \langle w_1, \dots, w_m \rangle.$$

Definition: Given weights  $w_1, \dots, w_m$  define

$w(\vec{X}^{\vec{\alpha}}) = \alpha_1 w_1 + \dots + \alpha_m w_m$ . Define  $\prec_w$  by  $\vec{X}^{\vec{\alpha}} \prec_w \vec{X}^{\vec{\beta}}$  if

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( $\prec_{\mathcal{M}}$  can be anything, for instance  $\prec_{lex}$ )

Example:  $w(X) = q$ ,  $w(Y) = q + 1$ ,  $\prec_{\mathcal{M}} = \prec_{lex}$  with  $X \prec_{lex} Y$ .

$F(X, Y) = X^{q+1} - Y^q - Y$ ,  $w(X^{q+1}) = w(Y^q) = q(q + 1)$  and  $\text{Im}(F) = Y^q$ .



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## Order domain conditions:

$I = \langle F_1(\vec{X}), \dots, F_s(\vec{X}) \rangle \subseteq \mathbb{F}[\vec{X}]$  and  $w_1, \dots, w_m$  satisfy ODC if:

1.  $\{F_1, \dots, F_s\}$  is a Gröbner basis w.r.t.  $\prec_w$ .
2.  $F_i, i = 1, \dots, s$  contains exactly two monomials of highest weight.
3. No two monomials in  $\Delta_{\prec_w}(\langle F_1, \dots, F_s \rangle)$  are of the same weight.

Example:  $I = \langle X^{q+1} - Y^q - Y \rangle \subseteq \mathbb{F}_{q^2}[X, Y]$

1. OK
2. OK
3.  $\Delta_{\prec_w}(I) = \{X^i Y^j \mid 0 \leq j < q, 0 \leq i\}$  OK

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Theorem (Miura–1997, Pellikaan–2001):

$\bigcup_{s=0}^{\infty} \mathcal{L}(sQ) = \mathbb{F}[\vec{X}]/I$  where  $I$  and corresponding weights satisfy order domain conditions.

Corollary:

$$\begin{aligned} & C_{\mathcal{L}}(P_1 + \cdots + P_n, vQ) \\ = & \text{Span}_{\mathbb{F}_q} \{ (M(P_1), \dots, M(P_n)) \mid M \in \Delta_{\prec_w}(I_q), w(M) \leq v \}. \end{aligned}$$

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Weierstrass semigroup  $\Lambda = \langle \lambda_1, \dots, \lambda_m \rangle$ .

Corollary: (G–Matsumoto 2009)

A function field having  $\Lambda$  as a Weierstrass semigroup can at most have

$$\# \left( \Lambda \setminus \bigcup_{i=1}^m (q\lambda_i + \Lambda) \right) + 1$$

rational places.

- ▶ Term “ $q\lambda_i$ ” comes from  $X_i^q - X_i$ .
- ▶ Term “+1” corresponds to the place with Weierstrass semigroup  $\Lambda$ .
- ▶ Better than Serre–bound for small  $q$ .
- ▶ Gives a way for excluding possible Weierstrass semigroups when genus and number of zeros are known.

- ▶ Order domains are generalizations of  $U_{s=0}^{\infty} \mathcal{L}(sQ)$ .
- ▶ For transcendence degree  $r$ , weights are in  $\mathbb{N}_0^r$  (when finitely generated) G–Pellikaan 2002.
- ▶ Gives a way of generalizing algebraic geometric codes to higher transcendence degree. Think of Reed–Muller code as higher transcendence degree version of Reed–Solomon code.
- ▶ Order domain conditions and Pellikaan–Miura correspondence also work for higher transcendence degrees G–Pellikaan 2002.
- ▶ So does methods for estimating parameters.
- ▶ Descriptions can be abstract or be given as concrete quotient ring.



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The footprint-method applied to order domain conditions:

$$I = \langle F_1(\vec{X}), \dots, F_s(\vec{X}) \rangle, \Delta_{\prec_w}(I_q) = \{M_1, \dots, M_n\}.$$

$$\vec{c} = \text{ev}(F), \text{Im}(F) = M_i.$$

$$\begin{aligned} w_H(\vec{c}) &= \#(\Delta_{\prec_w}(I_q) \setminus \Delta_{\prec_w}(I_q + \langle F \rangle)) \\ &= \#\{M \in \Delta_{\prec_w}(I_q) \mid M \text{ is a leading monomial} \\ &\quad \text{of a polynomial in } I_q + \langle F \rangle\} \\ &\geq \# \text{ monomials in } \Delta_{\prec_w}(I_q) \text{ hit by } M_i \\ &\quad \text{(using } F_1, \dots, F_s) \\ &= \#(w(\Delta_{\prec_w}(I_q)) \cap (w(M_i) + w(\Delta_{\prec_w}(I_q))))). \end{aligned}$$

Linear code level:

$\mathcal{B} = \{\vec{b}_1, \dots, \vec{b}_n\}$  and  $\mathcal{U} = \{\vec{u}_1, \dots, \vec{u}_n\}$  bases for  $\mathbb{F}_q^n$ .

$\{\vec{0}\} = L_0 \subsetneq L_1 = \text{Span}\{\vec{b}_1\} \subsetneq L_2 = \text{Span}\{\vec{b}_1, \vec{b}_2\} \subsetneq \dots \subsetneq L_n = \mathbb{F}_q^n$ .

$\bar{\rho}_{\mathcal{B}}(\vec{c}) = i$ , if  $\vec{c} \in L_i \setminus L_{i-1}$ .

$(i, j)$  is OWB if  $\bar{\rho}_{\mathcal{B}}(\vec{b}_{i'} * \vec{u}_j) < \bar{\rho}_{\mathcal{B}}(\vec{b}_i * \vec{u}_j)$  for  $i' = 1, \dots, i-1$ .

If a supporting algebra is given then information can be extracted regarding above.

Think of  $\mathcal{B} = \mathcal{U}$  corresponding to  $\{1, X, X^2, \dots, X^{q-1}\}$ .

$\text{ev}(X^i) * \text{ev}(X^j) = \text{ev}(X^{i+j})$  applied when  $i+j < q$ .

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To hit:

$$\bar{\sigma}(i) = \#\{l \mid \exists j \text{ such that } (i,j) \text{ is OWB} \\ \text{and } \bar{\rho}_B(\vec{b}_i * \vec{u}_j) = l\}$$

Theorem:

If  $\bar{\rho}_B(\vec{c}) = i$  then  $w_H(\vec{c}) \geq \bar{\sigma}(i)$ .

*Proof:* Assume  $(i, j_1), (i, j_2), \dots, (i, j_\sigma)$  hits  $l_1, l_2, \dots, l_\sigma$ .

$\{\vec{c} * \vec{u}_{j_1}, \dots, \vec{c} * \vec{u}_{j_\sigma}\}$  is linearly independent.

Hence,  $\vec{c} * \text{Span}\{\vec{u}_{j_1}, \dots, \vec{u}_{j_\sigma}\}$  is of dimension  $\sigma$ .

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$$\bar{\mu}(l) = \#\{i \mid \exists j \text{ such that } (i,j) \text{ is OWB} \\ \text{and } \bar{\rho}_B(\vec{b}_i * \vec{u}_j) = l\}$$

Theorem:

Let  $l$  be such that  $\vec{c} \cdot \vec{b}_l \neq 0$  but  $\vec{c} \cdot \vec{b}_{l'} = 0$  for all  $l' < l$ . Then  $w_H(\vec{c}) \geq \bar{\mu}(l)$ .

*Proof:* Same type of arguments as before. □

Primary code: minimum distance  $\geq$  smallest  $\bar{\sigma}(i)$  value among generating vectors.

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$$\begin{bmatrix} \vec{g}_1^T \\ \vdots \\ \vec{g}_n^T \end{bmatrix} \begin{bmatrix} \vec{h}_n \cdots \vec{h}_1 \end{bmatrix} = I$$

then very nice translation between  $\bar{\rho}_{\mathcal{G}}$ ,  $\bar{\sigma}$  with respect to  $(\mathcal{G}, \mathcal{U})$  on the one side and  $\bar{\rho}_{\mathcal{H}}$ ,  $\bar{\mu}$  with respect to  $(\mathcal{H}, \mathcal{U})$  on the other side.

Primary code description  $\Leftrightarrow$  dual code description.

- ▶ Feng–Rao majority decoding algorithm for dual codes (usually described by means of algebra) can be formulated in linear code set-up (Matsumoto–Miura 2000). Works for WB.
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$$R = \mathbb{F}_5[X, Y].$$

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Information from

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- ▶ algebra,
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translates easily to information on  $\bar{\rho}$  and OWB, WWB or WB.

Multiplication corresponds to componentwise product.

Recent list decoding algorithms for algebraic geometric codes decode beyond the bound for primary codes.

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Everything said so far regarding minimum distance can be lifted to generalized Hamming weights.

$$\text{Supp}(D) = \{i \in \{1, \dots, n\} \mid c_i \neq 0 \text{ for some } \vec{c} \in D\}.$$

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## Generalized Reed–Muller codes:

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$Y$	$XY$	$X^2Y$	$X^3Y$	$X^4Y$
1	$X$	$X^2$	$X^3$	$X^4$

5	4	3	2	1
<u>10</u>	8	6	4	2
15	<u>12</u>	9	6	3
20	16	<u>12</u>	8	4
25	20	15	<u>10</u>	5

- ▶ Minimum distance corresponds to value on border (can be realized as product of linear factors).
- ▶ Second smallest weight: What happens if leading monomial is on the border, but minimal value is not realized?
- ▶ Use Buchberger's algorithm at a theoretical level. Second smallest weight IS second smallest number above for degrees up to  $q^{m-1}$ . G-2008
- ▶ For  $m = 2$  the degrees  $> q$  are easily solved. G-2008
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## Conclusion:

- ▶ The variety of levels can sometimes help in realizing what is “really” going on.
- ▶ Lower level descriptions often captures what is going on, but might appear technical.