

# On the second weight of generalized Reed-Muller codes

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# Error correcting codes - Linear codes

Purpose: Reliable communication over noisy channels.

## **Definition:**

A linear code  $C$  is a  $k$ -dimensional subspace of  $\mathbf{F}_q^n$ .

Choose an isomorphism from  $\mathbf{F}_q^k$  to  $C$ .

Encode message  $\vec{m} = (m_1, \dots, m_k) \in \mathbf{F}_q^k$  to its image  $\vec{c} = (c_1, \dots, c_n) \in C$  (called a codeword).

# Error correction

$\vec{c}$  is sent over channel, but noise  $\vec{e}$  is added.

Receiver gets:  $\vec{r} = \vec{c} + \vec{e}$ .

## Idea:

If only few  $e_i$ 's are non-zero and if codewords in  $C$  are “far” from each other, then receiver can recover  $\vec{c}$  by choosing codeword “nearest” to  $\vec{r}$ .

# Weights and distances

$$\vec{a}, \vec{b} \in \mathbf{F}_q^n.$$

$$w_H(\vec{a}) = \#\{i \mid a_i \neq 0\}.$$

$$\text{dist}_H(\vec{a}, \vec{b}) = \#\{i \mid a_i \neq b_i\} = w_H(\vec{a} - \vec{b}).$$

$$\begin{aligned} d &= \min\{w_H(\vec{c}) \mid \vec{c} \in \mathbf{C} \setminus \{\vec{0}\}\} \\ &= \min\{\text{dist}_H(\vec{a}, \vec{b}) \mid \vec{a}, \vec{b} \in \mathbf{C}, \vec{a} \neq \vec{b}\} \end{aligned}$$

is called minimum distance (or minimum weight).

If  $w_H(\vec{e}) \leq \lfloor \frac{d-1}{2} \rfloor$  then nearest codeword to  $\vec{r} = \vec{c} + \vec{e}$  is  $\vec{c}$ .

# Decoding strategies

- ▶ Minimum distance decoding. Radius is  $\lfloor (d - 1)/2 \rfloor$ .
- ▶ List decoding. Radius is larger than  $\lfloor (d - 1)/2 \rfloor$ .
- ▶ Maximum likelihood decoding. Look for nearest codeword.

Weight distribution is  $\{W_1, \dots, W_n\}$  where  
 $W_i = \#\{\vec{c} \in C \mid w_H(\vec{c}) = i\}$ .

Weight distribution = Distance distribution.

Weight distribution tells you how good is List decoding and Maximum likelihood decoding.

# Generalized Reed-Muller codes

$$\mathbf{F}_q^m = \{P_1, \dots, P_{q^m}\}.$$

$$\begin{aligned} \text{RM}_q(s, m) = \{ & (F(P_1), \dots, F(P_{q^m})) \mid F \in \mathbf{F}_q[X_1, \dots, X_m], \\ & \deg(F) \leq s, \deg_{X_i}(F) < q, \text{ for } i = 1, \dots, m\} \end{aligned}$$

**Question 1a:**

How many zeros can a multivariate  $q$ -ary polynomial of total degree  $s$  possibly have?

**Question 1b:**

What is the minimum distance of  $RM_q(s, m)$ ?

**Question 2a:**

What is the second highest number of attainable zeros for a multivariate  $q$ -ary polynomial of total degree  $s$ ?

**Question 2b:**

What is the second weight of  $RM_q(s, m)$ ?

## Parameters for $RM_q(s, m)$

- ▶ Minimum distance. Kasami, Lin, Peterson 1968. The BCH-bound.
- ▶ Various results on weight distribution for  $q = 2$ .
- ▶ For  $s \leq 2$  weight distribution. McEliece 1969.
- ▶ Second weight for small dimensions. Cherdieu, Rolland 1996. Geometric arguments.
- ▶ Second weight for  $s < q/2$ . Sboui 2007. Geometric arguments.
- ▶ Some results on third weight. Rodier, Sboui in 2008. Geometric arguments.
- ▶ Second weight for  $s < q$  (and for high values of  $s$ ). O.G. in 2008. Ideal-variety approach.
- ▶ Second weight for all  $s$  (except  $s \equiv 1 \pmod{(q-1)}$ ). Rolland, recent preprint. Ideal-variety approach.



# The ideal-variety approach

Find (or estimate)

$$\begin{aligned} & \#\mathbf{V}_{\mathbf{F}_q}(\langle F(X_1, \dots, X_m) \rangle) \\ = & \#\mathbf{V}_{\mathbf{F}_q}(\langle F(X_1, \dots, X_m), X_1^q - X_1, \dots, X_m^q - X_m \rangle) \\ = & \#\mathbf{V}_{\overline{\mathbf{F}}_q}(\langle F(X_1, \dots, X_m), X_1^q - X_1, \dots, X_m^q - X_m \rangle). \end{aligned}$$

# General set-up

**Problem:** Given  $I \subseteq \mathbf{F}_q[X_1, \dots, X_m]$  find  $\#\mathbf{V}_{\mathbf{F}_q}(I)$ .

**Solution:**

$$\Delta_{\prec}(J) = \{X_1^{i_1} \cdots X_m^{i_m} \mid X_1^{i_1} \cdots X_m^{i_m} \text{ is not leading monomial of any polynomial in } J\}$$

$$I_q = I + \langle X_1^q - X_1, \dots, X_m^q - X_m \rangle.$$

$$\#\mathbf{V}_{\mathbf{F}_q}(I) = \#\Delta_{\prec}(I_q).$$

## An easy proof of $\#\mathbf{V}_{\mathbf{F}_q}(I_q) \leq \#\Delta_{\prec}(I_q)$

Given Gröbner basis  $\mathcal{G}$  then division with remainder mod  $\mathcal{G}$  is unique. Hence,

$$\{M + J \mid M \in \Delta_{\prec}(J)\}$$

is a basis for  $\mathbf{F}_q[X_1, \dots, X_m]/J$ .

Let  $\mathbf{V}_{\mathbf{F}_q}(I_q) = \{P_1, \dots, P_n\}$ . The map

$$\text{ev} : \mathbf{F}_q[X_1, \dots, X_m]/I_q \rightarrow \mathbf{F}_q^n$$

$$\text{ev}(F + I_q) = (F(P_1), \dots, F(P_n))$$

is a surjective homomorphism.

QED

## To see surjective...

Write  $P_j = (P_j^{(1)}, \dots, P_j^{(m)})$ . Then

$$\text{ev}\left(\left(\prod_{s=1, \dots, m} \prod_{\substack{j=1, \dots, n \\ P_j^{(s)} \neq P_i^{(s)}}} (X_s - P_j^{(s)})\right) + I_q\right)$$

is nonzero in position  $i$ , but zero elsewhere.

# Proof of $\#\mathbf{V}_{\mathbf{F}_q}(I_q) = \#\Delta_{\prec}(I_q)$

Proof of injectivity involves

- ▶ radical ideals
- ▶ algebraic closure
- ▶ strong Nullstellensatz.

# Monomial ordering

Mostly for simplicity, assume  $\prec$  is degree lexicographic ordering.

$X_1^{a_1} \cdots X_m^{a_m} \prec X_1^{b_1} \cdots X_m^{b_m}$  if either 1. or 2.

1.  $a_1 + \cdots + a_m < b_1 + \cdots + b_m$
2.  $a_1 + \cdots + a_m = b_1 + \cdots + b_m$  but  
 $X_1^{a_1} \cdots X_m^{a_m} \prec_{lex} X_1^{b_1} \cdots X_m^{b_m}$

## Minimum distance of $\text{RM}_q(s, m)$

$$F(X_1, \dots, X_m) \in \mathbf{F}_q[X_1, \dots, X_m]$$

$$\deg_{X_i}(F) < q, i = 1, \dots, m.$$

$$\text{Im}(F) = X_1^{i_1} \cdots X_m^{i_m}, i_1 + \cdots + i_m \leq s.$$

$$\begin{aligned} & \#\Delta(\langle F(X_1, \dots, X_m), X_1^q - X_1, \dots, X_m^q - X_m \rangle) \\ & \leq \#\Delta(\langle X_1^{i_1} \cdots X_m^{i_m}, X_1^q, \dots, X_m^q \rangle) \\ & = q^m - \prod_{l=1}^m (q - i_l) \end{aligned}$$

## Minimum distance - cont

Let  $s = a(q - 1) + b$ ,  $0 \leq b < q - 1$ .

$$i_1 + \cdots + i_m \leq s, i_1 < q, \dots, i_m < q$$

$$\max\{q^m - \prod_{l=1}^m (q - i_l)\} = q^m - (q - b)q^{m-a-1}.$$

On the other hand, polynomial

$$(X_1^{q-1} - 1) \cdots (X_a^{q-1} - 1)(X_{a+1} - \alpha_1) \cdots (X_{a+1} - \alpha_b)$$

has this amount of zeros.



## Second weight for $s < q$

### Proposition:

If  $F(X_1, \dots, X_m)$  is of total degree  $s$  then either  $sq^{m-1}$  zeros or at most  $sq^{m-1} - (s-1)q^{m-2}$  zeros. Both values can be realized.

$$\text{Im}(F) = X_1^{i_1} \cdots X_m^{i_m}$$

Case 1:  $i_1 < s, \dots, i_m < s$

$$\begin{aligned} & \#\Delta(\langle X_1^{i_1} \cdots X_m^{i_m}, X_1^q, \dots, X_m^q \rangle) \\ &= q^m - \prod_{l=1}^m (q - i_l) \end{aligned}$$

is at most  $sq^{m-1} - (s-1)q^{m-2}$

## Second weight for $s < q$ , cont.

Case 2:  $i_1 = s, i_2 = \dots = i_m = 0$

$$\begin{aligned} H(X_1, \dots, X_m) &= S(X_1^q - X_1, F(X_1, \dots, X_m)) \\ &= X_1^q - X_1 - X_1^{q-s} F(X_1, \dots, X_m) \end{aligned}$$

Total degree of  $H$  at most  $q$ .

$$\begin{aligned} R(X_1, \dots, X_m) &= \\ H(X_1, \dots, X_m) \text{ rem } (F(X_1, \dots, X_m), X_1^q - X_1, \dots, X_m^q - X_m). \end{aligned}$$

If  $R(X_1, \dots, X_m) = 0$  then  $\{F, X_1^q - X_1, \dots, X_m^q - X_m\}$  a GB and  $sq^{m-1}$  zeros.

## case 2 - cont

$$\text{Im}(R) = X_1^{v_1} \cdots X_m^{v_m}.$$

$$\sum_{i=1}^m v_i \leq q, v_1 < s, v_2 < q, \dots, v_m < q.$$

$$\begin{aligned} & \#\Delta(\langle F(X_1, \dots, X_m), X_1^q - X_1, \dots, X_m^q - X_m \rangle) \\ & \leq \#\Delta(\langle X_1^s, X_2^q, \dots, X_m^q, X_1^{v_1} \cdots X_m^{v_m} \rangle) \\ & = sq^{m-1} - (s - v_1) \prod_{i=2}^m (q - v_i) \\ & \leq sq^{m-1} - (s - 1)q^{m-2} \end{aligned}$$

There are simple polynomials with exactly  $sq^{m-1} - (s - 1)q^{m-2}$  zeros.

$$(m - 1)(q - 1) < s$$

$$d(\text{RM}_q(s - 1, m)) = d(\text{RM}_q(s, m)) + 1$$

Hence, second weight is  $d + 1$

Second weight for  $\text{RM}_q(s, 2)$  covered.

...very recently

... Robert Rolland used method from this talk plus a technical lemma to find remaining second weights

...except for the case  $s = a(q - 1) + 1$ ...