

# Algebraic Geometry Codes In a Pure Gröbner Basis Theoretical Setting

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# Outline

Basic coding theory

The Reed-Solomon codes

Strategies for generalizing Reed-Solomon codes

Some results from Gröbner basis theory

Generalized Reed-Muller codes and hyperbolic codes

Codes from the Hermitian curve

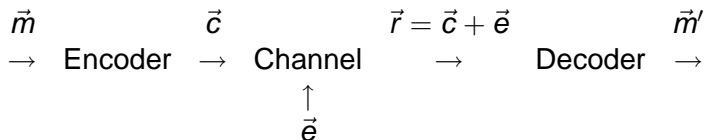
Order domains

Evaluation codes from order domains

Computer experiments

Invitation

# Model



$$\vec{m} = (m_1, \dots, m_k) \in \mathbb{F}_q^k, k < n, \vec{c} = (c_1, \dots, c_n) \in \mathbb{F}_q^n$$

$$\vec{e} = (e_1, \dots, e_n) \in \mathbb{F}_q^n, \vec{m}' \in \mathbb{F}_q^k$$

$P_i(e_i = 0) = p$  is large,  $P_i(e_i = \alpha) = (1 - P)/(1 - q)$  for  $\alpha \neq 0$   
and  $P_i, P_j$  are independent

# Linear code

A (linear) code  $C$  is a subspace  $C \subseteq \mathbb{F}_q^n$   
 $k = \dim(C)$ ,  $C \simeq \mathbb{F}_q^k$ .

## Encoding:

Choose basis  $\{\vec{g}_1, \dots, \vec{g}_k\}$  for  $C$ . The generator matrix is

$$G = \begin{bmatrix} \vec{g}_1 \\ \vdots \\ \vec{g}_k \end{bmatrix}$$

Encode by  $\vec{c} = \vec{m}G$ .

## Minimum distance

$$w_H((w_1, \dots, w_n)) = \#\{i \mid w_i \neq 0\}$$

$$\text{dist}_H(\vec{w}_1, \vec{w}_2) = w_H(\vec{w}_1 - \vec{w}_2)$$

$$d = \min\{\text{dist}_H(\vec{c}_1, \vec{c}_2) \mid \vec{c}_1, \vec{c}_2 \in \mathbf{C}, \vec{c}_1 \neq \vec{c}_2\}$$

Within the distance  $\lfloor \frac{d-1}{2} \rfloor$  of a word  $\vec{w}$  there can be at most one codeword.

$$d = \min\{w_H(\vec{c}) \mid \vec{c} \in \mathbf{C}, \vec{c} \neq \vec{0}\}.$$

# Minimum distance decoding

## Decoding procedure:

When we receive  $\vec{r}$  we investigate if there exist a code word  $\vec{c}$  with

$$\text{dist}_H(\vec{c}, \vec{r}) \leq \left\lfloor \frac{d-1}{2} \right\rfloor$$

If positive we decode to  $\vec{c}$ .

Minimum distance decoding corrects errors with high probability.

# The three parameters

The length  $n$ , the dimension  $k$  and the minimum distance  $d$ .

$[n, k, d]$

If  $\frac{k}{n}$  is high then fast transmission.

If  $\frac{d}{n}$  is high then good protection against noise.

The challenge is to get  $\frac{k}{n}$  as well as  $\frac{d}{n}$  high simultaneously.

# Reed-Solomon Codes

$$R = \mathbb{F}_q[X], \quad R_s = \{F \in \mathbb{F}_q[X] \mid \deg(F) \leq s\}$$

$$\{P_1, \dots, P_n\} = \mathbb{F}_q$$

$$\varphi: \begin{cases} R & \rightarrow \mathbb{F}_q^n \\ F & \mapsto (F(P_1), \dots, F(P_n)) \end{cases}$$

$$C(s) = \varphi(R_s) = \{(F(P_1), \dots, F(P_n)) \mid \deg F \leq s\}, \quad s \in \{0, \dots, n-1\}$$

One possible generator matrix is  $G = \begin{bmatrix} \varphi(1) \\ \varphi(X) \\ \vdots \\ \varphi(X^s) \end{bmatrix}$



# The parameters of RS codes

A polynomial of degree less than  $s + 1$  can have at most  $s$  zeros. Hence,  $d \geq n - s$  (Singleton bound gives equality).

$$[n, k, d] = [q, s + 1, n - s].$$

- + Large minimum distance
- + Well-structured
- + Simple description
- Short

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## Dual description

$C^\perp$  the dual space of  $C$  (may often have more elements in common).

A parity check matrix  $H$  for  $C$  is a generator matrix for  $C^\perp$ .

$$C = \{\vec{c} \mid H\vec{c} = \vec{0}\}.$$

For Reed-Solomon codes simple correspondence:

$$C(s) = (C(n - s - 2))^\perp.$$

# Generalizing Reed-Solomon Codes

Some nice algebraic structure  $R$  and map  $\varphi$ .

$C = \varphi(R')$  or  $C = (\varphi(R'))^\perp$  for some  $R' \subseteq R$ .

If being set up cleverly, information on  $R$  reveals information on  $C$ .

# Strategies

- ▶ Well-established theory
  - ▶ (Generalized) Reed-Muller codes ( $G$  or  $H$ )
  - ▶ Geometric Goppa codes through algebraic geometry or/and function field theory ( $G$  or  $H$ )
- ▶ More recent approaches
  - ▶ Codes from order domains ( $G$  or  $H$ ).
    - ▶ (Generalized) Reed-Muller codes
    - ▶ One-point geometric Goppa codes and their duals
    - ▶ Codes from surfaces
    - ▶ Improved constructions of all the above codes

**This talk: Order domain codes ( $G$ ) from pure Gröbner basis theoretical point of view**

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# Gröbner basis tools

Footprint ( $\Delta$ -set):

$$\Delta_{\prec}(\mathbf{J}) = \{M \in \mathcal{M}(X_1, \dots, X_m) \mid \\ M \text{ is not a leading monomial of any polynomial in } \mathbf{J}\}$$

$$\#\mathbb{V}_{\mathbb{F}}(\mathbf{J}) \leq \#\Delta_{\prec}(\mathbf{J}).$$

$\{M + \mathbf{J} \mid M \in \Delta_{\prec}(\mathbf{J})\}$  a basis for  $\mathbb{F}[X_1, \dots, X_m]/\mathbf{J}$ .



# The map $\varphi$

**Assume**  $\mathbb{V}_{\mathbb{F}_q}^-(J)$  **is finite** and write  $\{P_1, \dots, P_n\} = \#\Delta_{\prec}(J)$

$$\varphi : \begin{cases} \mathbb{F}[X_1, \dots, X_m]/J & \rightarrow & \mathbb{F}^n \\ F + J & \mapsto & (F(P_1), \dots, F(P_n)) \end{cases}$$

$\varphi$  is surjective homomorphism of vectorspaces.

**Assume further that  $J$  is radical.**

Then  $\#\mathbb{V}_{\mathbb{F}}^-(J) = \#\Delta_{\prec}(J)$ .

Hence,  $\varphi$  is injective as well.  $\varphi$  is a vector space isomorphism.

# Main observations

## Main observation 1:

$$w_H(\varphi(F + J)) \geq n - \#\Delta_{\prec}(\langle F \rangle + J)$$

## Main observation 2: (assuming $J$ is radical and $\Delta_{\prec}(J)$ is finite)

If  $R' \subseteq \mathbb{F}[X_1, \dots, X_m]/J$  is of dimension  $k$  then  $\varphi(R')$  is of dimension  $k$ .

If  $\mathbb{F} = \mathbb{F}_q$  we can “make”  $J$  radical by assuming

$$X_1^q - X_1, \dots, X_m^q - X_m \in J.$$

# To be explored in this talk...

## **Question:**

How do we estimate  $\#\Delta_{\prec}(\langle F \rangle + J)$  ?

## **Answer:**

By choosing clever  $J$  and proper  $\prec$  accordingly. This is the core of order domain theory.

## Reed-Solomon codes revisited

$$J = \langle X^q - X \rangle. \{P_1, \dots, P_q\} = \mathbb{F}_q(J).$$

$$\Delta_{<}(J) = \{1, X, \dots, X^{q-1}\}.$$

$$\{M(P_1), M(P_2), \dots, M(P_q)\} \mid M \in \{1, X, \dots, X^{q-1}\}$$

is a basis for  $\mathbb{F}_q^q$ .

For  $F$  with  $\text{Im}(F) = X^i$  we have

$$\Delta_{<}(\langle F, X^q - X \rangle) \subseteq \Delta_{<}(\langle X^i, X^q \rangle).$$

Hence,

$$w_H((F(P_1), F(P_2), \dots, F(P_q))) \geq q - i.$$

$$\begin{array}{cccccccccccc}
 & & \Delta_{<}(\langle X^q - X \rangle) & & & & & & \# \Delta_{<}(\langle X^i, X^q \rangle) & & & & \\
 1 & X & X^2 & \dots & X^{q-2} & X^{q-1} & 0 & 1 & 2 & \dots & q-2 & q-1
 \end{array}$$

$\dim(C(s)) = s + 1$  and  $d(C(s)) \geq q - s$  follows.

# Generalized Reed-Muller codes and hyperbolic codes

$$J = \langle X^5 - X, Y^5 - Y \rangle. \mathbb{V}_{\mathbb{F}_5}(J) = \{P_1, \dots, P_{25}\}.$$

$$\varphi(F + J) = (F(P_1) \dots, F(P_{25})).$$

Let  $\prec$  ANY monomial ordering.

$$w_H(\varphi(F + J)) = 25 - \#\Delta_{\prec}(\langle F \rangle + J)$$

$$\geq 25 - \#\Delta_{\prec}(\langle \text{Im}(F) \rangle + J) = 25 - \#\Delta_{\prec}(\langle \text{Im}(F), X^5, Y^5 \rangle)$$

$$\Delta_{\prec}(\langle X^5 - X, Y^5 - Y \rangle)$$

$$\#\Delta_{\prec}(\langle X^i Y^j, X^5, Y^5 \rangle)$$

$Y^4$	$XY^4$	$X^2Y^4$	$X^3Y^4$	$X^4Y^4$	20	21	22	23	24
$Y^3$	$XY^3$	$X^2Y^3$	$X^3Y^3$	$X^4Y^3$	15	17	19	21	23
$Y^2$	$XY^2$	$X^2Y^2$	$X^3Y^2$	$X^4Y^2$	10	13	16	19	22
$Y$	$XY$	$X^2Y$	$X^3Y$	$X^4Y$	5	9	13	17	21
1	$X$	$X^2$	$X^3$	$X^4$	0	5	10	15	20

$F(X, Y) = XY + aX^2 + bY + cX + d$ . Choose  $\prec$  with  $X^2 \prec XY$ .

$$w_H(\varphi(F + J)) \geq 16$$

# Generalized Reed-Muller codes

$$\text{RM}_5(4, 2) = \text{Span}_{\mathbb{F}_5} \{ \varphi(X^i Y^j + J) \mid i + j \leq 4 \}$$

$$\Delta_{\prec}(\langle X^5 - X, Y^5 - Y \rangle) \quad \# \Delta_{\prec}(\langle X^5, Y^5, X^i Y^j \rangle)$$

$Y^4$	*	*	*	*	20	*	*	*	*
$Y^4$	$XY^3$	*	*	*	15	17	*	*	*
$Y^2$	$XY^2$	$X^2 Y^2$	*	*	10	13	16	*	*
$Y$	$XY$	$X^2 Y$	$X^3 Y$	*	5	9	13	17	*
1	$X$	$X^2$	$X^3$	$X^4$	0	5	10	15	20

Worstcase code word:  $\text{Im} = Y^4$  or  $\text{Im} = X^4$

$$w_H(\varphi((Y^4 + \dots) + J)) \geq 25 - 20 = 5$$

$$[n, k, d] = [25, 15, 5]$$

# Hyperbolic codes

Choose  $X^i Y^j$ 's with  $\#\Delta(\langle X^5, Y^5, X^i Y^j \rangle)$  small.

	[25, 17, 5]					[25, 15, 6]				
20	*	*	*	*		*	*	*	*	*
15	17	19	*	*		15	17	19	*	*
10	13	16	19	*		10	13	16	19	*
5	9	13	17	*		5	9	13	17	*
0	5	10	15	20		0	5	10	15	*

$$J = \langle X^8 - X, Y^8 - Y \rangle, \mathbb{V}_{\mathbb{F}_8}(I) = \{P_1, \dots, P_{64}\}$$

56	57	58	59	60	61	62	63
48	50	52	54	56	58	60	62
40	43	46	49	52	55	58	61
32	36	40	44	48	52	56	60
24	29	34	39	44	49	54	59
16	22	28	34	40	46	52	58
8	15	22	29	36	43	50	57
0	8	16	24	32	40	48	56

$\text{RM}_8(7, 2)$  is  $[64, 36, 8]$

Hyperbolic codes with  $[64, 48, 8 = 64 - 56]$  and  
 $[64, 37, 14 = 64 - 50]$



# Generalized Reed-Muller codes and Hyperbolic codes

$$J = \langle X_1^q - X_1, \dots, X_m^q - X_m \rangle \subseteq \mathbb{F}_q[X_1, \dots, X_m].$$

$$\mathbb{V}_{\mathbb{F}_q}(J) = \{P_1, \dots, P_{q^m}\}.$$

For  $X_1^{i_1} \cdots X_m^{i_m} \in \text{Span}_{\mathbb{F}_q}\{M \in \Delta_{\prec}(J)\}$  define

$$\begin{aligned} D(X_1^{i_1} \cdots X_m^{i_m}) &= \#\Delta_{\prec}(\langle X_1^{i_1} \cdots X_m^{i_m}, X_1^q, \dots, X_m^q \rangle) \\ &= \#\left(\Delta_{\prec}(\langle X_1^{i_1} \cdots X_m^{i_m} \rangle) \cap \Delta_{\prec}(I)\right) \\ &= q^m - \prod_{s=1}^m (q - i_s) \end{aligned}$$

If  $\text{Im}(F(X_1, \dots, X_m)) = X_1^{i_1} \cdots X_m^{i_m}$  then

$$w_H(\varphi(F)) \geq q^m - D(X_1^{i_1} \cdots X_m^{i_m}) = \prod_{s=1}^m (q - i_s)$$

The polynomial  $\prod_{t=1}^m \prod_{s=1}^{i_t} (X_t - P_s)$  has leading monomial equal to  $X_1^{i_1} \cdots X_m^{i_m}$  (for ANY ordering) and has  $D(X_1^{i_1} \cdots X_m^{i_m})$  zeros.

# Generalized Reed-Muller codes and hyperbolic codes

For any  $s$ ,  $0 \leq s \leq (q-1)m$  we have

$$\text{RM}_q(s, m) = \text{Span}_{\mathbb{F}_q} \{ \varphi(X^{i_1} \cdots X^{i_m} + \mathcal{J}) \mid i_1, \dots, i_m < q \\ \text{and } i_1 + \cdots + i_m \leq s \}$$

$$d(\text{RM}_q(s, m)) = \min \{ q^m - D(X_1^{i_1} \cdots X_m^{i_m}) \mid i_1, \dots, i_m < q \\ \text{and } i_1 + \cdots + i_m \leq s \}$$

And for any  $s \in D(\Delta_{\prec}(\mathcal{J}))$  we have

$$\text{Hyp}_q(s, m) = \text{Span}_{\mathbb{F}_q} \{ \varphi(X^{i_1} \cdots X^{i_m} + \mathcal{J}) \mid i_1, \dots, i_m < q \\ \text{and } D(X_1^{i_1} \cdots X_m^{i_m}) \leq s \}.$$

$$d(\text{Hyp}_q(s, m)) = n - s$$

Corresponding dimensions easily found by simple counting.

## Codes from Hermitian curve

$$J = \langle X^{q+1} - Y^q - Y, X^{q^2} - X, Y^{q^2} - Y \rangle.$$

$$\mathbb{V}_{\mathbb{F}_{q^2}}(J) = \{P_1, \dots, P_{q^3}\}.$$

Let  $w(X^i Y^j) = iq + j(q + 1)$  and define  $\prec_w$  by:  $X^\alpha Y^\beta \prec_w X^\gamma Y^\delta$  if (1) or (2) holds

$$(1) \quad w(X^\alpha Y^\beta) < w(X^\gamma Y^\delta)$$

$$(2) \quad w(X^\alpha Y^\beta) = w(X^\gamma Y^\delta) \text{ and } \beta < \delta$$

To estimate  $w_H(\varphi(F + J))$  we consider

$$\begin{aligned} & \#(\Delta_{\prec_w}(\langle F(X, Y) \rangle + J)) \\ & \leq \# \left( \Delta_{\prec_w}(\langle X^{q+1} - Y^q - Y, F(X, Y) \rangle) \cap \Delta_{\prec_w}(J) \right) \end{aligned}$$

We next show that last expression is at most equal to

$$\# \left( \Delta_{\prec_w}(\langle X^{q+1} - Y^q, \text{Im}(F(X, Y)) \rangle) \cap \Delta_{\prec_w}(J) \right)$$

## Run 1:

Apply Buchberger's algorithm to  $\{X^{q+1} - Y^q, \text{Im}(F)\}$  with respect to  $\prec_w$ .

By induction any polynomial produced in any step of the algorithm is either 0 or is a monomial.

## Run 2:

Apply **simultaneously and in a similar manner** Buchberger's algorithm to  $\{X^{q+1} - Y^q - Y, F(X, Y)\}$ .

Every time a monomial  $N$  is produced in "Run 1" a polynomial having  $N$  as unique monomial of highest weight is produced in "Run 2".

This is due to the fact that  $F$  has a unique monomial of highest weight and that  $X^{q+1} - Y^q - Y$  has exactly two monomials of highest weight.

"Run 2" may continue after termination of "Run 1".

# $D(X^i Y^j)$

For  $X^i Y^j \in \Delta_{\prec_w}(\mathbf{J})$  define

$$D(X_1^{i_1} \cdots X_m^{i_m}) = \# \left( \Delta_{\prec_w}(\langle X^{q+1} - Y^q, \text{Im}(F(X, Y)) \rangle) \cap \Delta_{\prec_w}(\mathbf{J}) \right)$$

We have shown  $w_H(\varphi(F)) \geq n - D(\text{Im}(F))$ .

$$J = \langle X^3 - Y^2 - Y, X^4 - X, Y^4 - Y \rangle$$

$$\mathbb{V}_{\mathbb{F}_4}(J) = \{P_1, \dots, P_8\}.$$

$w(X^i Y^j)$				$D(X^i Y^j)$			
3	5	7	9	3	5	6	7
0	2	4	6	0	2	4	6

Let  $F(X, Y) = Y + aX + b$  then  $w_H(\varphi(F + J)) \geq 8 - 3 = 5$ .

	$\Delta_{\prec_w}(J)$			$w(X^i Y^j)$				$n - D(X^i Y^j)$			
$Y$	$XY$	$X^2 Y$	$X^3 Y$	3	5	7	9	5	3	2	1
1	$X$	$X^2$	$X^3$	0	2	4	6	8	6	4	2

$$\begin{aligned}
 E(s) &= \text{Span}_{\mathbb{F}_4} \{ \varphi(X^i Y^j + J) \mid w(X^i Y^j) \leq s, X^i Y^j \in \Delta_{\prec_w}(J) \} \\
 &= \text{Span}_{\mathbb{F}_4} \{ \varphi(X^i Y^j + J) \mid w(X^i Y^j) \leq s \}
 \end{aligned}$$

$$\tilde{E}(s) = \text{Span}_{\mathbb{F}_4} \{ \varphi(X^i Y^j + J) \mid n - D(X^i Y^j) \geq s, X^i Y^j \in \Delta_{\prec_w}(J) \}$$

$E(0)$  is  $[8, 1, 8]$ ,  $E(2)$  is  $[8, 2, 6]$ , ...,  $E(6)$  is  $[8, 6, 2]$ ,  $E(7)$  is  $[8, 7, 2]$  and  $E(9)$  is  $[8, 8, 1]$

...,  $\tilde{E}(5)$  is  $[8, 5, 3]$ ,  $\tilde{E}(6)$  is  $[8, 7, 2]$ , ...

## Some observations on $D(X^i Y^j)$

### Observation 1:

$w(X^i Y^j)$				$D(X^i Y^j)$			
3	5	7	9	3	5	6	7
0	2	4	6	0	2	4	6

$$w(X^i Y^j) \geq D(X^i Y^j)$$

### Observation 2:

$w(X^i Y^j)$				$8 - D(X^i Y^j)$			
3	5	7	9	5	3	2	1
0	2	4	6	8	6	4	2

$8 - D(X^i Y^j)$  counts what  $w(X^i Y^j)$  can hit. Meaning that:

$$8 - D(Y) = 5 \text{ as } 3 + 0 = 3, 3 + 2 = 5, 3 + 3 = 6, 3 + 4 = 7 \text{ and } 3 + 6 = 9$$

$$8 - D(XY) = 3 \text{ as } 5 + 0 = 5, 5 + 2 = 7 \text{ and } 5 + 4 = 9$$



# Some observations on $D(X^i Y^j)$ - continued

## Observation 1:

$$w(X^i Y^j) \geq D(X^i Y^j)$$

## Observation 2:

$n - D(X^i Y^j)$  counts what  $w(X^i Y^j)$  can hit.

These observations can be shown to hold for general  $I = \langle X^{q+1} - Y^q - Y, X^{q^2} - X, Y^{q^2} - Y \rangle$  as a consequence of the following facts:

## Fact 1:

The polynomial  $\{X^{q+1} - Y^q - Y\}$  has precisely two monomials of highest weight.

**Fact 2:** In  $\Delta_{\prec_w}(\langle X^{q+1} - Y^q - Y, X^{q^2} - X, Y^{q^2} - Y \rangle)$  there are no two monomials of the same weight.

$J = \langle X^9 - X, Y^9 - Y, X^4 - Y^3 - Y \rangle$  has 27 common points.

$$w(X) = 3, w(Y) = 4$$

$Y^2$	$XY^2$	$X^2Y^2$	$X^3Y^2$	$X^4Y^2$	$X^5Y^2$	$X^6Y^2$	$X^7Y^2$	$X^8Y^2$
$Y$	$XY$	$X^2Y$	$X^3Y$	$X^4Y$	$X^5Y$	$X^6Y$	$X^7Y$	$X^8Y$
1	$X$	$X^2$	$X^3$	$X^4$	$X^5$	$X^6$	$X^7$	$X^8$

8	11	14	17	20	23	26	29	32
4	7	10	13	16	19	22	25	28
0	3	6	9	12	15	18	21	24

19	16	13	10	7	4	3	2	1
23	20	17	14	11	8	6	4	2
27	24	21	18	15	12	9	6	3

$$n - D(X^4Y^2) =$$

$$\#\{20 + 0, 20 + 3, 20 + 4, 20 + 6, 20 + 8, 20 + 9, 20 + 12\} = 7$$

8	11	14	17	20	23	24	25	26
4	7	10	13	16	19	21	23	25
0	3	6	9	12	15	18	21	24

19	16	13	10	7	4	3	2	1
23	20	17	14	11	8	6	4	2
27	24	21	18	15	12	9	6	3

$E(23)$  is  $[27, 21, 4]$

but

$\tilde{E}(4)$  is  $[27, 22, 4]$

# Hermitian codes

Our method gives true minimum distance for all codes  $E(s)$  and all codes  $\tilde{E}(s)$  coming from the Hermitian curve.

The estimations are even tight in general case of norm-trace curves.

# Generalized RM codes and hyperbolic codes revisited

$w(X^i Y^j) = (i, j) \in \mathbb{N}_0^2$ . Choose some monomial ordering  $\prec_{\mathbb{N}_0^2}$  on  $\mathbb{N}_0^2$ . Choose some monomial ordering  $\prec_{\mathcal{M}}$  on  $\mathcal{M}(X, Y)$  and define  $\prec_w$  by:  $X^\alpha Y^\beta \prec_w X^\gamma Y^\delta$  if (1) or (2) holds

$$(1) \quad w(X^\alpha Y^\beta) \prec_{\mathbb{N}_0^2} w(X^\gamma Y^\delta)$$

$$(2) \quad w(X^\alpha Y^\beta) = w(X^\gamma Y^\delta) \text{ and } X^\alpha Y^\beta \prec_{\mathcal{M}} X^\gamma Y^\delta$$

$w(X^i, Y^j)$					$\#\Delta_{\prec}(\langle X^5, Y^5, X^i Y^j \rangle)$				
(0, 4)	(1, 4)	(2, 4)	(3, 4)	(4, 4)	20	21	22	23	24
(0, 3)	(1, 3)	(2, 3)	(3, 3)	(4, 3)	15	17	19	21	23
(0, 2)	(1, 2)	(2, 2)	(3, 2)	(4, 2)	10	13	16	19	22
(0, 1)	(1, 1)	(2, 1)	(3, 1)	(4, 1)	5	9	13	17	21
(0, 0)	(1, 0)	(2, 0)	(3, 0)	(4, 0)	0	5	10	15	20

$$\begin{aligned} \#\Delta(\langle X^5, Y^5, X^3 Y^3 \rangle) = \\ 25 - \#\{(3, 3) + (0, 0), (3, 3) + (1, 0), (3, 3) + (0, 1), (3, 3) + (1, 1)\} \end{aligned}$$

# Generalized RM codes and hyperbolic codes revisited

$w(X^i Y^j) = (i, j) \in \mathbb{N}_0^2$ . Choose some monomial ordering  $\prec_{\mathbb{N}_0^2}$  on  $\mathbb{N}_0^2$ . Choose some monomial ordering  $\prec_{\mathcal{M}}$  on  $\mathcal{M}(X, Y)$  and define  $\prec_w$  by:  $X^\alpha Y^\beta \prec_w X^\gamma Y^\delta$  if (1) or (2) holds

$$(1) \quad w(X^\alpha Y^\beta) \prec_{\mathbb{N}_0^2} w(X^\gamma Y^\delta)$$

$$(2) \quad w(X^\alpha Y^\beta) = w(X^\gamma Y^\delta) \text{ and } X^\alpha Y^\beta \prec_{\mathcal{M}} X^\gamma Y^\delta$$

$w(X^i, Y^j)$					$\#\Delta_{\prec}(\langle X^5, Y^5, X^i Y^j \rangle)$				
(0, 4)	(1, 4)	(2, 4)	(3, 4)	(4, 4)	20	21	22	23	24
(0, 3)	(1, 3)	(2, 3)	(3, 3)	(4, 3)	15	17	19	21	23
(0, 2)	(1, 2)	(2, 2)	(3, 2)	(4, 2)	10	13	16	19	22
(0, 1)	(1, 1)	(2, 1)	(3, 1)	(4, 1)	5	9	13	17	21
(0, 0)	(1, 0)	(2, 0)	(3, 0)	(4, 0)	0	5	10	15	20

$$\#\Delta(\langle X^5, Y^5, X^3 Y^3 \rangle) = 25 - \#\{(3, 3) + (0, 0), (3, 3) + (1, 0), (3, 3) + (0, 1), (3, 3) + (1, 1)\}$$

Forgetting about the  $X^q - X, Y^q - Y$ -part.

$$J = \langle X^q - X, Y^q - Y \rangle \text{ and } I = \langle \quad \rangle$$

$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\cdot$
$Y^4$	$XY^4$	$X^2Y^4$	$X^3Y^4$	$X^4Y^4$	$\dots$
$Y^3$	$XY^3$	$X^2Y^3$	$X^3Y^3$	$X^4Y^3$	$\dots$
$Y^2$	$XY^2$	$X^2Y^2$	$X^3Y^2$	$X^4Y^2$	$\dots$
$Y$	$XY$	$X^2Y$	$X^3Y$	$X^4Y$	$\dots$
$1$	$X$	$X^2$	$X^3$	$X^4$	$\dots$

$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\cdot$
$(0, 4)$	$(1, 4)$	$(2, 4)$	$(3, 4)$	$(4, 4)$	$\dots$
$(0, 3)$	$(1, 3)$	$(2, 3)$	$(3, 3)$	$(4, 3)$	$\dots$
$(0, 2)$	$(1, 2)$	$(2, 2)$	$(3, 2)$	$(4, 2)$	$\dots$
$(0, 1)$	$(1, 1)$	$(2, 1)$	$(3, 1)$	$(4, 1)$	$\dots$
$(0, 0)$	$(1, 0)$	$(2, 0)$	$(3, 0)$	$(4, 0)$	$\dots$

Forgetting about the  $X^q - X, Y^q - Y$ -part.

$$J = \langle X^3 - Y^2 - Y, X^q - X, Y^q - Y \rangle \text{ and}$$

$$I = \langle X^3 - Y^2 - Y \rangle$$

$$\begin{array}{cccccc} Y & XY & X^2Y & X^3Y & X^4Y & \dots \\ 1 & X & X^2 & X^3 & X^4 & \dots \end{array}$$

$$\begin{array}{cccccc} 3 & 5 & 7 & 9 & 11 & \dots \\ 0 & 2 & 4 & 6 & 8 & \dots \end{array}$$



## Forgetting about the $X_1^q - X_1, \dots, X_m^q - X_m$

- ▶  $\emptyset$  is a Gröbner basis for  $\langle 0 \rangle$  and  $\{X^{q+1} - Y^q - Y\}$  is a Gröbner basis for  $\langle X^{q+1} - Y^q - Y \rangle$ . Both with respect to some weighted degree monomial ordering.
- ▶ In examples so far the set of defining polynomials are  $\emptyset$  respectively  $\{X^{q+1} - Y^q - Y\}$ . “All” defining polynomials have exactly two monomials of the same highest weight.
- ▶ Monomials in the big footprint are of different weights implying that so are the monomials in the small footprint.
- ▶  $\mathbb{F}_q[X, Y]$  and  $\mathbb{F}_{q^2}[X, Y]/\langle X^{q+1} - Y^q - Y \rangle$  are examples of order domains.

**Definition:**

$w(X_1), \dots, w(X_m) \in \mathbb{N}_0^r \setminus \{\vec{0}\}$ ,  $\prec_{\mathbb{N}_0^r}$  a monomial ordering on  $\mathbb{N}_0^r$ ,  $\prec_{\mathcal{M}}$  a monomial ordering on  $\mathcal{M}(X_1, \dots, X_m)$ . The generalized weighted degree ordering  $\prec_w$  is given by:  $M_1 \prec_w M_2$  if and only if one of the following two conditions holds:

- (1)  $w(M_1) \prec_{\mathbb{N}_0^r} w(M_2)$     (2)  $w(M_1) = w(M_2)$  and  $M_1 \prec_{\mathcal{M}} M_2$ .

$$\text{wdeg}(F) = \max_{\prec_{\mathbb{N}_0^r}} \{w(M) \mid M \in \text{Sup}(F)\}$$

### Order domain assumptions:

Given  $\prec_w$ ,  $I \subset \mathbb{F}[X_1, X_2, \dots, X_m]$  and corresponding Gröbner basis  $\mathcal{G}$ . Suppose that the elements of the footprint  $\Delta_{\prec_w}(I)$  have mutually distinct weights and that every element of  $\mathcal{G}$  has exactly two monomials of highest weight in its support.

## More defining polynomials

Let  $I = \langle X^5 + Y^4 + Y, Y^5 + Z^4 + Z \rangle \subseteq \mathbb{F}_{16}[X, Y, Z]$ .

Definition of  $\prec_w$ :  $w(X) = 16, w(Y) = 20, w(Z) = 25 \in \mathbb{N}_0$ .  
 $\prec_{\mathbb{N}_0} = <$  (the usual (and unique) monomial ordering on  $\mathbb{N}_0$ ).  
 $\prec_{\mathcal{M}}$  the lexicographic ordering with  $X \prec_{\mathcal{M}} Y \prec_{\mathcal{M}} Z$ .

$\{X^5 + Y^4 + Y, Y^5 + Z^4 + Z\}$  is a Gröbner basis w.r.t.  $\prec_w$ .  
Every defining monomial has precisely two monomials of highest weight.

Monomials in footprint  $\Delta_{\prec}(I) = \{X^i Y^j Z^l \mid j < 4, l < 4\}$  is of different weights.

The order domain assumption is satisfied.

# Weights in $\mathbb{N}_0^2$

$$H_1 = X^q + YZ^q - Y^qZ - X, H_2 = U^q - Z^{q+1} + aX^q - aY^qZ + bY^{q+1} + U$$

where  $a, b \in \mathbb{F}_q$ .  $I = \langle H_1, H_2 \rangle \subseteq \mathbb{F}_{q^2}[X, Y, Z, U]$ .

Definition of  $\prec_w$ :

$$w(X) = (q, 1), w(Y) = (0, q), w(Z) = (q, 0), w(U) = (q + 1, 0) \in \mathbb{N}_0^2$$

$\prec_{\mathbb{N}_0^2}$  any fixed monomial ordering on  $\mathbb{N}_0^2$  with

$$(q^2 + q, 0) \succ_{\mathbb{N}_0^2} (q^2, q), (q, q^2), (0, q^2 + q).$$

$\prec_{\mathcal{M}}$  any fixed monomial ordering on  $\mathcal{M}(X, Y, Z, U)$  with  $X^q \succ_{\mathcal{M}} YZ^q$  and  $U^q \succ_{\mathcal{M}} Z^{q+1}$ .

$$H_1: w(X^q) = (q^2, q), w(YZ^q) = (q^2, q), w(Y^qZ) = (q, q^2), w(X) = (q, 1)$$

$$H_2: w(U^q) = (q^2 + q, 0), w(Z^{q+1}) = (q^2 + q, 0), w(X^q) = (q^2, q), w(Y^qZ) = (q, q^2), w(Y^{q+1}) = (0, q^2 + q), w(U) = (q + 1, 0).$$

## Weights in $\mathbb{N}_0^2$ - continued

$\text{Im}(H_1) = X^q$  and  $\text{Im}(H_2) = U^q$  are relatively prime. Hence,  $\{H_1(X, Y, Z, U), H_2(X, Y, Z, U)\}$  is a Gröbner basis.

$H_1$  and  $H_2$  have exactly two polynomials of highest weight.

No two monomials in footprint are of same weight.

The order domain assumption is satisfied.

## Putting $X_1^q - X_1, \dots, X_m^q - X_m$ back in place

Assume  $I \subseteq \mathbb{F}_q[X_1, \dots, X_m]$  satisfy the order domain assumption.

Let  $J = I + \langle X_1^q - X_1, \dots, X_m^q - X_m \rangle$

$\mathbb{V}_{\mathbb{F}_q}(J) = \{P_1, \dots, P_n\}$

$$\varphi : \begin{cases} \mathbb{F}_q[X_1, \dots, X_m]/J & \rightarrow \mathbb{F}_q^n \\ F + J & \mapsto (F(P_1), \dots, F(P_n)) \end{cases}$$

is an isomorphism

$$D(X_1^{i_1} \cdots X_m^{i_m})$$

Assume

$$I = \langle F_1, \dots, F_s \rangle \subseteq \mathbb{F}_q[X_1, \dots, X_m]$$

satisfy the order domain assumption.

Let  $B_1, \dots, B_s$  be binomials,  $B_j$  being the difference of the two monomials of highest weight in  $F_j$

Given  $F \in \text{Span}\{M \mid M \in \Delta_{\prec_w}(J)\}$  with  $\text{Im}(F) = N$  we have

$$\Delta_{\prec_w}(\langle N, B_1, \dots, B_s \rangle) \supseteq \Delta_{\prec_w}(\langle F, F_1, \dots, F_s \rangle)$$

Define

$$D(N) = \#(\Delta_{\prec_w}(\langle N, B_1, \dots, B_s \rangle) \cap \Delta_{\prec_w}(J)).$$

We have

$$w_H(\varphi(F + J)) \geq n - D(N).$$



# Some nice results

## Result 1:

$$n - D(X_1^{i_1} \cdots X_m^{i_m}) = \#\{s \in w(\Delta_{\prec_w}(\mathcal{J})) \mid \\ s - w(X_1^{i_1} \cdots X_m^{i_m}) \in w(\Delta_{\prec_w}(\mathcal{J}))\}$$

(we count what can be “hit”)

## Result 2:

If weights are **numerical**, then

$$D(X_1^{i_1} \cdots X_m^{i_m}) \leq w(X_1^{i_1} \cdots X_m^{i_m}).$$

## Code constructions

**For weights being numerical:**

For any  $s \in \mathbb{N}_0$  we have

$$E(s) = \text{Span}_{\mathbb{F}_q} \{ \varphi(M + J) \mid M \in \Delta_{\prec_w}(J) \\ \text{and } w(M) \leq s \}$$

$$d(E(s)) \geq \min \{ n - D(M) \mid M \in \Delta_{\prec_w}(J) \\ \text{and } w(M) \leq s \}$$

**For weights being numerical or not:**

For any  $s \in D(\Delta_{\prec}(J))$  we have

$$\tilde{E}(S) = \text{Span}_{\mathbb{F}_q} \{ \varphi(m + J) \mid m \in \Delta_{\prec_w}(J) \\ \text{and } n - D(M) \geq s \}.$$

$$d(E(s)) \geq s$$

## Some features of the theory

- ▶ Works for any one-point geometric Goppa code
- ▶ Gives improved one-point geometric Goppa codes
- ▶ Generalizations of one-point geometric Goppa codes to surfaces
- ▶ Easily extended to deal with generalized Hamming weights
- ▶ Connects nicely to Shibuya and Sakaniwa's nice theory
- ▶ Theory can be reformulated directly in “code-domain”.  
Doing this allows for even more codes to be treated.
- ▶ Strong connection to Feng-Rao theory

# Feng-Rao theory

Are concerned with  $H$  instead of  $G$ .

Feng-Rao counts what can hit the weight under consideration.

We count what the weight under consideration can hit.

Feng-Rao investigate weights not used in code construction

We investigate weights used in code construction

When  $\Delta_{\prec_w}(J)$  has the shape of a box (in some dimension) the two methods produce same estimates for the two classes of codes under consideration.

When not form of a box we get typically not similar estimates as Feng-Rao.

## Open question:

Are the two classes of codes the same when  $\Delta_{\prec_w}(J)$  has the shape of a box?

$I = \langle X^5 + Y^4 + Y, Y^5 + Z^4 + Z \rangle$  revisited

$J = \langle X^5 + Y^4 + Y, Y^5 + Z^4 + Z, X^{16} - X, Y^{16} - Y, Z^{16} - Z \rangle \subseteq \mathbb{F}_{16}[X, Y, Z]$  has Gröbner basis  $\{X^5 + Y^4 + Y, Y^5 + Z^4 + Z, X^{16} - X, Y^{16} - Y, Z^{16} - Z\}$  with respect to  $\prec_w$ .

Footprint has the shape of box.

Codes will be of length  $n = \#\Delta_{\prec_w}(J) = 256$ .

## $I = \langle H_1, H_2 \rangle$ revisited

$$J = \langle H_1, H_2, X^{q^2} - X, Y^{q^2} - Y, Z^{q^2} - Z, U^{q^2} - U \rangle \subseteq \mathbb{F}_{q^2}[X, Y, Z, U]$$

has Gröbner basis

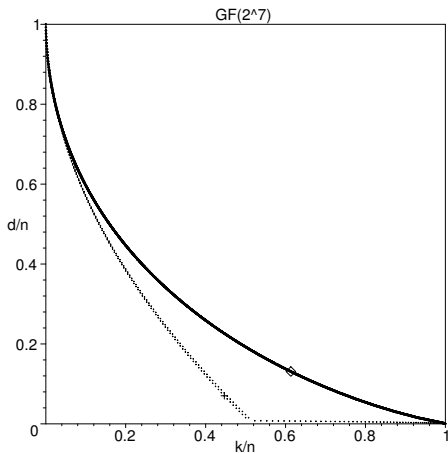
$$\{H_1, H_2, X^{q^2} - X, Y^{q^2} - Y, Z^{q^2} - Z, U^{q^2} - U\}$$

with respect to  $\prec_w$ .

Footprint is a box.

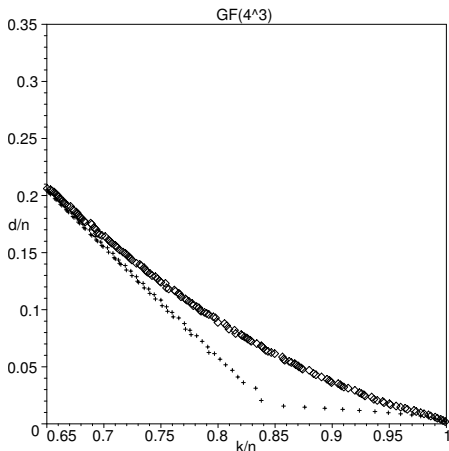
Codes will be of length  $n = \#\Delta_{\prec_w}(J) = q^6$

$$I = \langle X^{(q^r-1)/(q-1)} - Y^{q^{r-1}} - Y^{q^{r-2}} - \dots - Y \rangle \subseteq \mathbb{F}_{q^r}[X, Y]$$



Alphabet =  $\mathbb{F}_{q^r} = \mathbb{F}_{2^7}$ ,  $n = 2^{13}$  Improved versus non-improved.

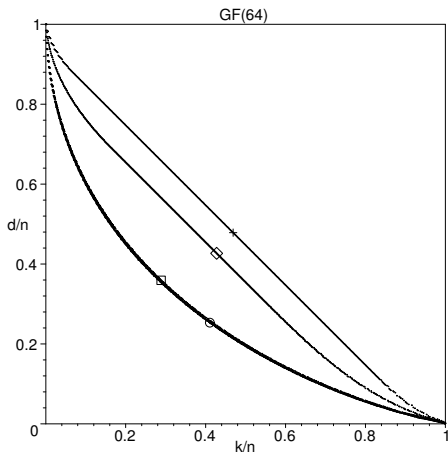
$$I = \langle X^{(q^r-1)/(q-1)} - Y^{q^{r-1}} - Y^{q^{r-2}} - \dots - Y \rangle \subseteq \mathbb{F}_{q^r}[X, Y]$$



Alphabet =  $\mathbb{F}_{q^r} = \mathbb{F}_{4^3}$ ,  $n = 4^5$  Improved versus non-improved.



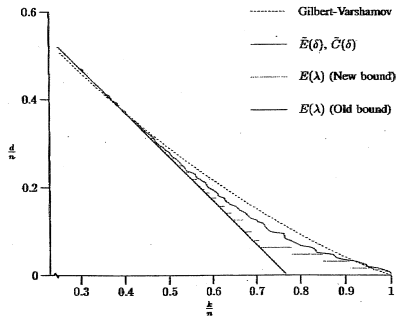
$$I = \langle X^{(q^r-1)/(q-1)} - Y^{q^{r-1}} - Y^{q^{r-2}} - \dots - Y \rangle \subseteq \mathbb{F}_{q^r}[X, Y]$$



Alphabet= $\mathbb{F}_{64}$ . From above:  $64 = 8^2$  gives  $n = 2^9$ ,  $64 = 4^3$   
 gives  $n = 2^{10}$ ,  $64 = 2^6$  gives  $n = 2^{11}$ ,  $\text{Hyp}_{64}(s, 2)$  gives  $n = 2^{12}$

$$I = \langle X^5 - Y^4 - Y, Y^5 - Z^4 - Z \rangle \in \mathbb{F}_{16}[X, Y, Z]$$

$$\omega(X) = 16, \quad \omega(Y) = 20, \quad \omega(Z) = 25$$

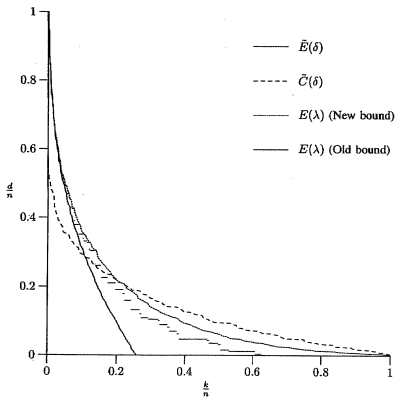


Alphabet =  $\mathbb{F}_{16}$ ,  $n = 256$

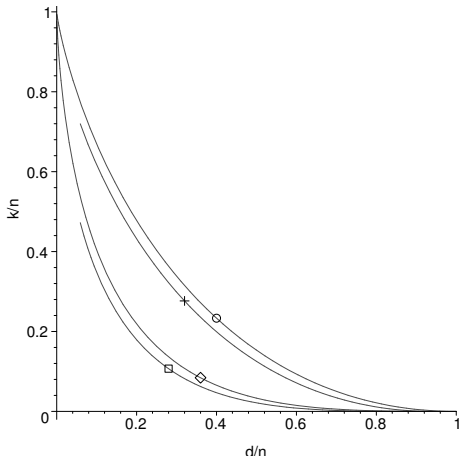
$$I = \langle x^5 - y^4 - y, y^5 - z^4 - z, z^5 - u^4 - u^2 \rangle \in \mathbb{F}_6[x, y, z, u]$$

$$\omega(x) = 64, \omega(y) = 80, \omega(z) = 100, \omega(u) = 125$$

Alphabet =  $\mathbb{F}_6$ ,  $n = 512$



Tensor product of  $m$  Hermitian order domains involves weights in  $\mathbb{N}_0^m$ .

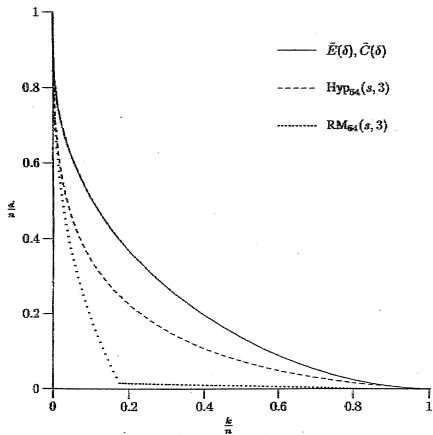


Alphabet= $\mathbb{F}_{256}$ . From above:  $\text{Hyp}_{256}(s, 2)$  of length  $n = 65536$ ,  $\text{Herm}_{256}(s, 2)$  of length  $n = 16777216$ ,  $\text{Hyp}_{256}(s, 3)$  of length  $n = 16777216$ ,  $\text{Herm}_{256}(s, 3)$  of length  $n = 68719476736$ .

$$I = \langle X^q + YZ^q - Y^q Z - X, U^q - Z^{q+1} + aX^q - aY^q Z + bY^{q+1} + U \rangle$$

$$\in \mathbb{F}_{q^2}[X, Y, Z, U], \quad a, b \in \mathbb{F}_q$$

$$\omega(x) = (q, 1), \quad \omega(y) = (q, q), \quad \omega(z) = (q, 0), \quad \omega(u) = (q+1, 0)$$



alphabet =  $\mathbb{F}_{64}$ ,  $n = 262144$

# Invitation

Code constructions related to some of the asymptotic good towers are one-point geometric Goppa codes.

That is, order domain codes.

Invitation 1: Is it possible to find defining equations for corresponding  $I$  and corresponding  $I_q$ ?

Invitation 2: Only a few have looked at surfaces. More examples would be desirable

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