

Concurrency and directed algebraic topology

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Outline

1. Motivations, mainly from Concurrency Theory
2. Directed topology: algebraic topology with a twist
3. A categorical framework (with examples)
4. “Compression” of ditopological categories:
generalized congruences via homotopy flows

Main Collaborators:

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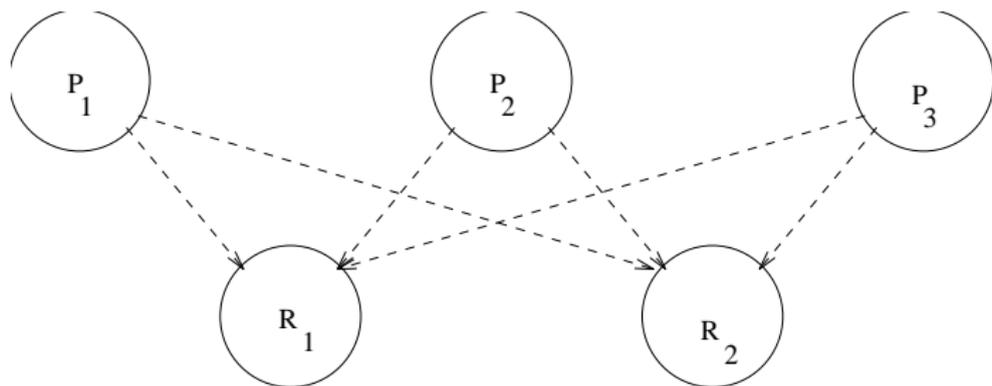
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Motivation: Concurrency

Mutual exclusion

Mutual exclusion occurs, when n processes P_i compete for m resources R_j .



Only k processes can be served at any given time.

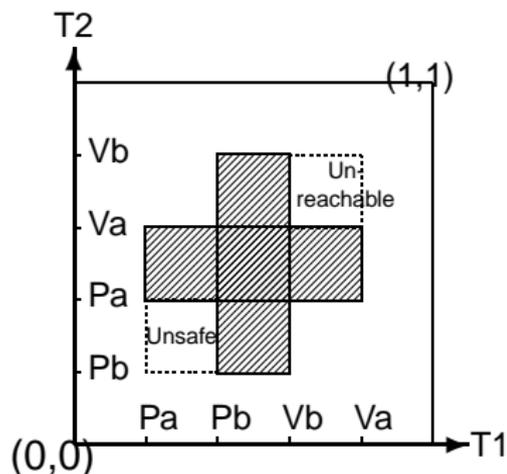
Semaphores!

Semantics: A processor has to lock a resource and relinquish the lock later on!

Description/abstraction $P_i : \dots PR_j \dots VR_j \dots$ (Dijkstra)

Schedules in "progress graphs"

The Swiss flag example



PV-diagram from

$P_1 : P_a P_b V_b V_a$

$P_2 : P_b P_a V_a V_b$

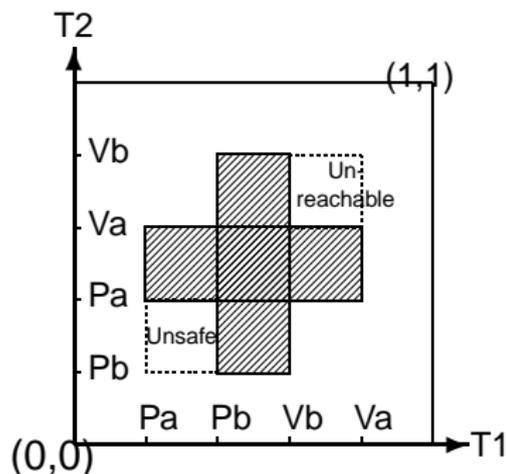
Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded).

Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to **equivalent** executions.

Deadlocks, unsafe and unreachable regions may occur.

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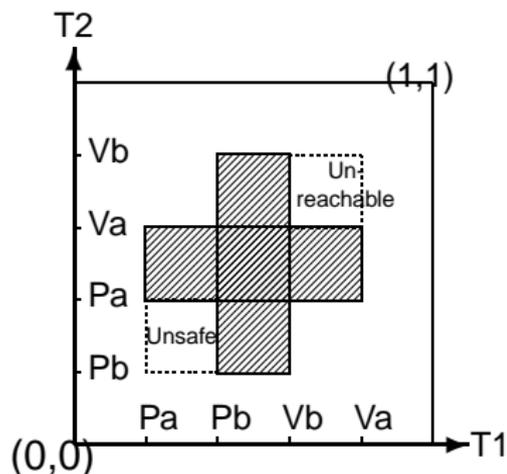
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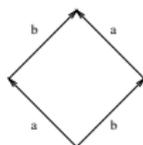
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Higher dimensional automata

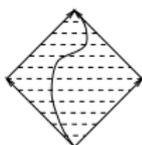
seen as (geometric realizations of) cubical sets

Vaughan Pratt, Rob van Glabbeek, Eric Goubault...

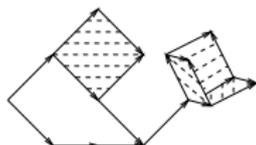
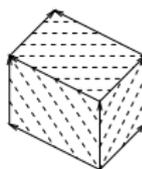
2 processes, 1 processor



2 processes, 3 processors

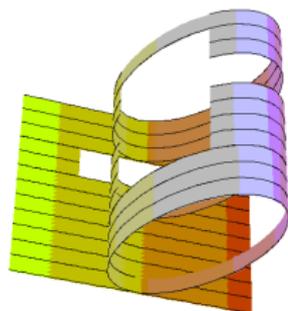


3 processes, 3 processors



cubical complex

bicomplex



Squares/cubes/hypercubes are filled in iff actions on boundary are **independent**.

Higher dimensional automata are **cubical sets**:

- ▶ like simplicial sets, but modelled on (hyper)cubes instead of simplices; glueing by **face maps** (and degeneracies)
- ▶ additionally: **preferred directions** – not all paths allowable.

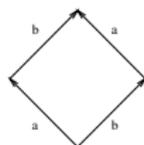


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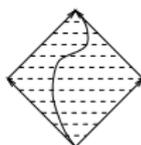
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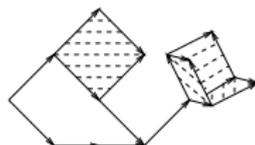
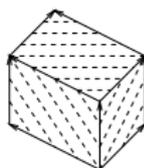
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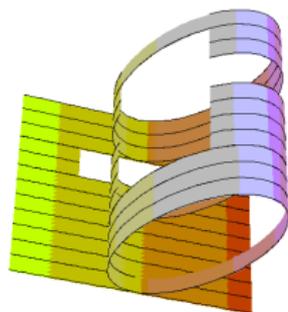


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Discrete versus continuous models

How to handle the state-space explosion problem?

The **state space explosion problem** for discrete models for concurrency (transition graph models): The number of states (and the number of possible schedules) grows **exponentially** in the number of processors and/or the length of programs. Need clever ways to find out which of the schedules yield **equivalent** results for general reasons – e.g., to check for correctness.

Alternative: **Infinite continuous** models allowing for well-known equivalence relations on paths (**homotopy** = 1-parameter deformations) – but with an important twist!

Analogy: Continuous physics as an approximation to (discrete) quantum physics.

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A framework for directed topology

The twist in general: d-spaces, M. Grandis (03)

X a topological space. $\vec{P}(X) \subseteq X^I = \{p : I = [0, 1] \rightarrow X \text{ cont.}\}$
a set of **d**-paths ("directed" paths \leftrightarrow executions) satisfying

- ▶ $\{\text{constant paths}\} \subseteq \vec{P}(X)$
- ▶ $\varphi \in \vec{P}(X)(x, y), \psi \in \vec{P}(X)(y, z) \Rightarrow \varphi * \psi \in \vec{P}(X)(x, z)$
- ▶ $\varphi \in \vec{P}(X), \alpha \in I'$ a **nondecreasing** reparametrization
 $\Rightarrow \varphi \circ \alpha \in \vec{P}(X)$

The pair $(X, \vec{P}(X))$ is called a **d-space**.

Observe: $\vec{P}(X)$ is in general **not** closed under **reversal**:

$$\alpha(t) = 1 - t, \varphi \in \vec{P}(X) \not\Rightarrow \varphi \circ \alpha \in \vec{P}(X)!$$

Examples:

- ▶ An HDA with directed execution paths.
- ▶ A space-time(relativity) with **time-like** or **causal** curves.



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D-maps, Dihomotopy, d-homotopy

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special case: $\vec{P}(I) = \{\sigma \in I' \mid \sigma \text{ nondecreasing reparametrization}\}$, $\vec{I} = (I, \vec{P}(I))$.

Then $\vec{P}(X) = \text{set of d-maps from } \vec{I} \text{ to } X$.

- ▶ **Dihomotopy** $H : X \times I \rightarrow Y$, every H_t a d-map
- ▶ **elementary d-homotopy** = d-map $H : X \times \vec{I} \rightarrow Y$ –
 $H_0 = f \xrightarrow{H} g = H_1$
- ▶ **d-homotopy**: symmetric and transitive closure ("zig-zag")

L. Fajstrup, 05: In cubical models (for concurrency, e.g., HDAs), the two notions agree for d-paths ($X = \vec{I}$). In general, they do not.

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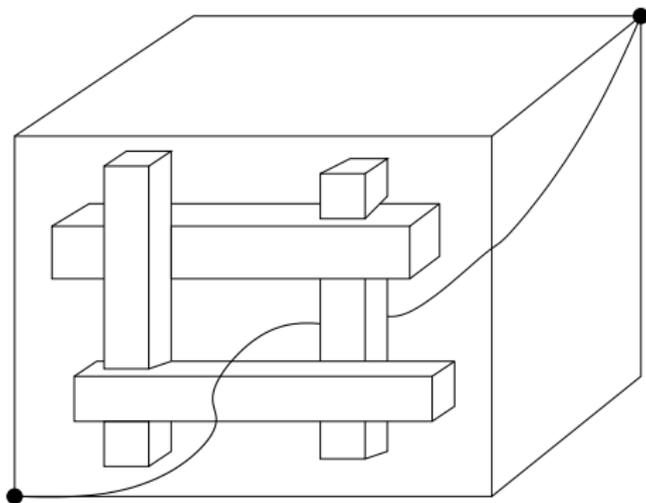
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Dihomotopy is finer than homotopy with fixed endpoints

Example: Two wedges in the forbidden region



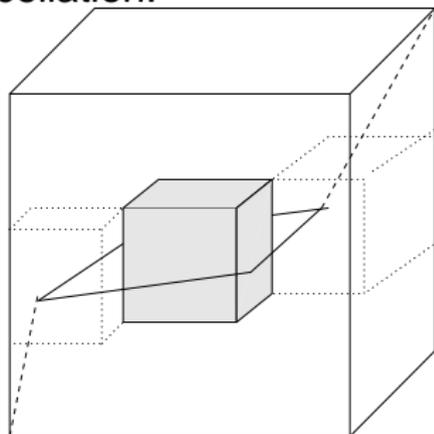
All dipaths from minimum to maximum are homotopic.
A dipath through the “hole” is **not dihomotopic** to a dipath on the boundary.

The twist has a price

Neither homogeneity nor cancellation nor group structure

Ordinary topology: Path space = loop space (within each path component).

A loop space is an H -space with concatenation, inversion, cancellation.



“Birth and death” of
dihomotopy classes

Directed topology:

Loops do not tell much;
concatenation ok, cancellation not!

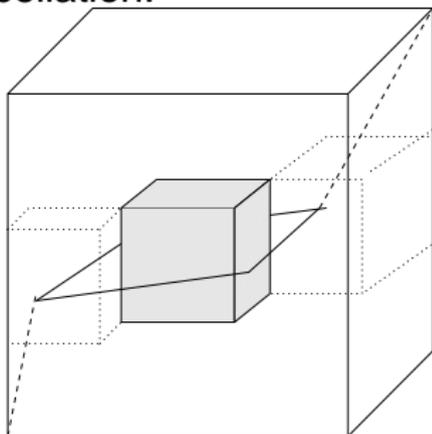
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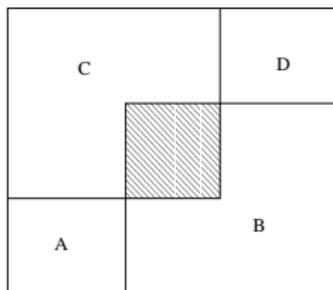
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A first remedy: the fundamental category

$\vec{\pi}_1(X)$ of a d-space X [Grandis:03, FGHR:04]:

- ▶ **Objects:** points in X
- ▶ **Morphisms:** d- or dihomotopy classes of d-paths in X
- ▶ **Composition:** from concatenation of d-paths



Property: van Kampen theorem (M. Grandis)

Drawbacks: Infinitely many objects. Calculations?

Question: How much does $\vec{\pi}_1(X)(x, y)$ depend on (x, y) ?

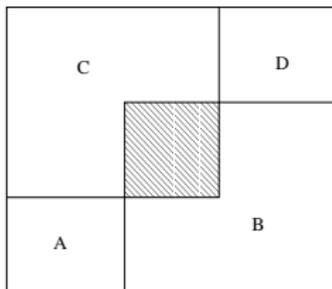
Remedy: Localization, component category. [FGHR:04, GH:06]

Problem: This “compression” works only for **loopfree** categories

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Technique: Traces – and trace categories

Getting rid of increasing reparametrizations

X a (saturated) d-space.

$\varphi, \psi \in \vec{P}(X)(x, y)$ are called **reparametrization equivalent** if there are $\alpha, \beta \in \vec{P}(I)$ such that $\varphi \circ \alpha = \psi \circ \beta$ (“same oriented trace”).

(Fahrenberg-R., 07): Reparametrization equivalence is an equivalence relation (transitivity).

$\vec{T}(X)(x, y) = \vec{P}(X)(x, y) / \simeq$ makes $\vec{T}(X)$ into the (topologically enriched) **trace category** – composition **associative**.

A d-map $f : X \rightarrow Y$ induces a **functor** $\vec{T}(f) : \vec{T}(X) \rightarrow \vec{T}(Y)$.

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Topology of trace spaces

Results and examples

Variant: $\vec{R}(X)(x, y)$ consists of **regular** d-paths (not constant on any non-trivial interval $J \subset I$). The **contractible group** $\text{Homeo}_+(I)$ of increasing homeomorphisms acts on these – freely if $x \neq y$.

Theorem (FR:07)

- ▶ $\vec{R}(X)(x, y) / \simeq \rightarrow \vec{P}(X)(x, y) / \simeq$ is a homeomorphism.
- ▶ $\vec{R}(X)(x, y) \rightarrow \vec{R}(X)(x, y) / \simeq$ is a (weak) homotopy equivalence.

For X the geometric realisation of a cubical complex, all trace spaces $\vec{T}(X)(x, y)$ are **locally contractible**.

Examples I^n the unit cube, ∂I^n its boundary.

- ▶ $\vec{T}(I^n; \mathbf{x}, \mathbf{y})$ is contractible for all $\mathbf{x} \preceq \mathbf{y} \in I^n$;
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Preorder categories

Getting organised with indexing categories

A d-space structure on X induces the preorder \preceq :

$$x \preceq y \Leftrightarrow \vec{T}(X)(x, y) \neq \emptyset$$

and an indexing preorder category $\vec{D}(X)$ with

▶ **Objects:** (end point) pairs $(x, y), x \preceq y$

▶ **Morphisms:**

$$\vec{D}(X)((x, y), (x', y')) := \vec{T}(X)(x', x) \times \vec{T}(X)(y, y')$$

$$x' \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} x \xrightarrow{\preceq} y \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} y'$$

▶ **Composition:** by pairwise contra-, resp. covariant concatenation.

A d-map $f : X \rightarrow Y$ induces a functor $\vec{D}(f) : \vec{D}(X) \rightarrow \vec{D}(Y)$.

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The trace space functor

Preorder categories organise the trace spaces

The preorder category organises X via the trace space functor $\vec{T}^X : \vec{D}(X) \rightarrow \mathit{Top}$

- ▶ $\vec{T}^X(x, y) := \vec{T}(X)(x, y)$
- ▶ $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}(X)(x, y) \longrightarrow \vec{T}(X)(x', y')$

$$[\sigma] \longmapsto [\sigma_x * \sigma * \sigma_y]$$

Homotopical variant $\vec{D}_\pi(X)$ with morphisms $\vec{D}_\pi(X)((x, y), (x', y')) := \vec{\pi}_1(X)(x', x) \times \vec{\pi}_1(X)(y, y')$ and trace space functor $\vec{T}_\pi^X : \vec{D}_\pi(X) \rightarrow \mathit{Ho-Top}$ (with homotopy classes as morphisms).

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Homotopical variant $\vec{D}_\pi(X)$ with morphisms

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and trace space functor $\vec{T}_\pi^X : \vec{D}_\pi(X) \rightarrow \mathit{Ho-Top}$ (with homotopy classes as morphisms).

Sensitivity with respect to variations of end points

A persistence point of view

- ▶ How much does (the homotopy type of) $\vec{T}^X(x, y)$ depend on (small) changes of x, y ?
- ▶ Which concatenation maps $\vec{T}^X(\sigma_x, \sigma_y) : \vec{T}^X(x, y) \rightarrow \vec{T}^X(x', y'), [\sigma] \mapsto [\sigma_x * \sigma * \sigma_y]$ are homotopy equivalences, induce isos on homotopy, homology groups etc.?
- ▶ The **persistence** point of view: Homology classes etc. are born (at certain branchings/mergings) and may die (analogous to the framework of G. Carlsson et al.)
- ▶ Are there **“components”** with (homotopically/homologically) stable dipath spaces (between them)? Are there borders (“walls”) at which changes occur?

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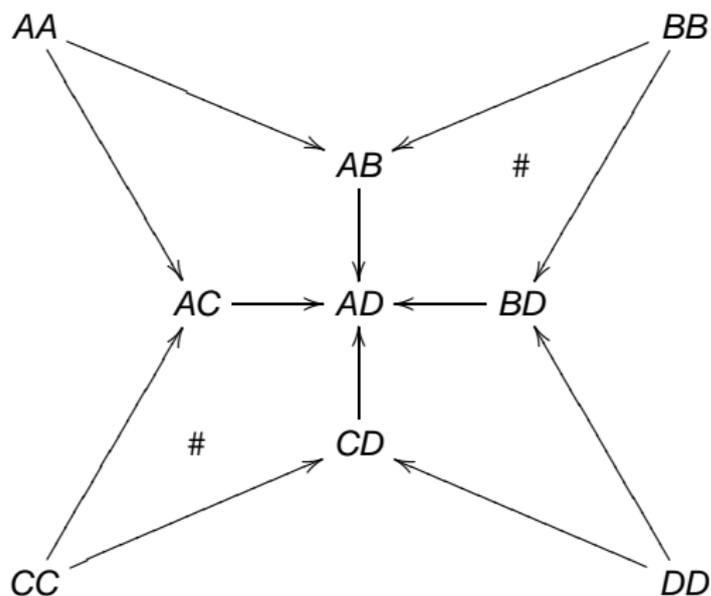
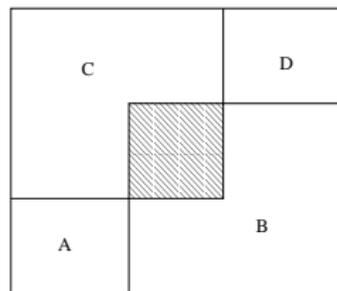
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Examples of component categories

Standard example



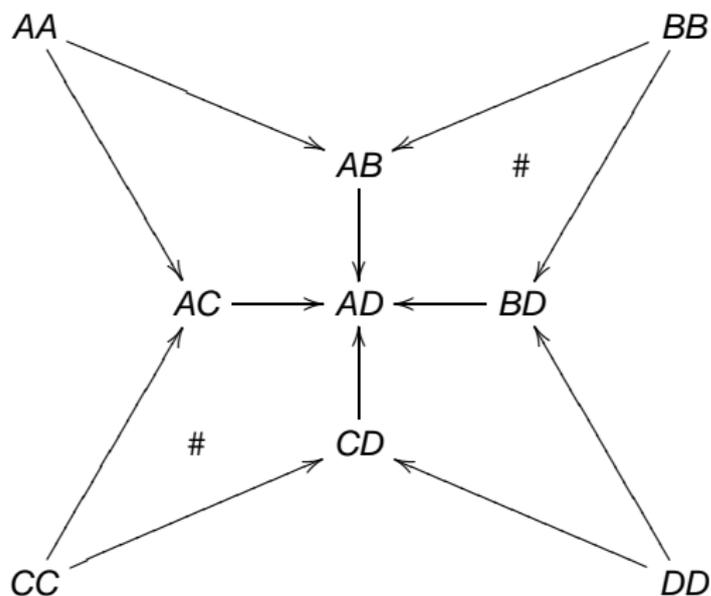
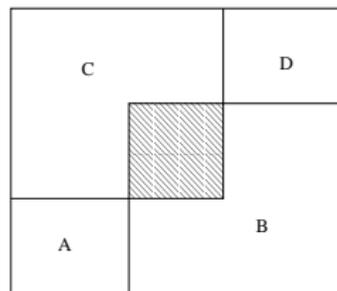
Figur: Standard example and component category

Components A, B, C, D – or rather $AA, AB, AC, AD, BB, BD, CC, CD, DD \subseteq X \times X$.

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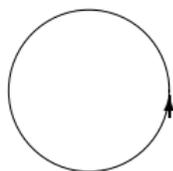
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Oriented circle

$$X = \vec{S}^1$$



$$\mathcal{C} : \Delta \begin{array}{c} \xrightarrow{a} \\ \xleftarrow{b} \end{array} \bar{\Delta}$$

Δ the diagonal, $\bar{\Delta}$ its complement.
 \mathcal{C} is the free category generated by a, b .

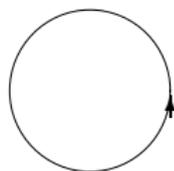
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Component categories

via generalized congruences and homotopy flows

- ▶ How to identify morphisms in a category **between different objects** in an organised manner?

Generalized congruence (Bednarczyk, Borzyszkowski, Pawlowski, TAC 1999) \rightsquigarrow quotient category.

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- ▶ Homotopy flows give rise to significant generalized congruences. Corresponding component category $\vec{D}_\pi(X) / \simeq$ identifies pairs of points on the same “homotopy flow line” and (chains of) morphisms.

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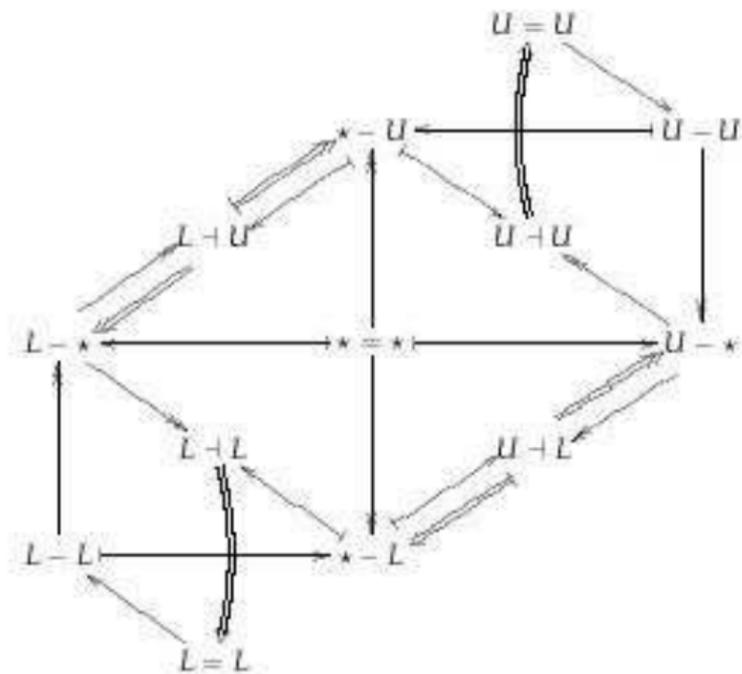
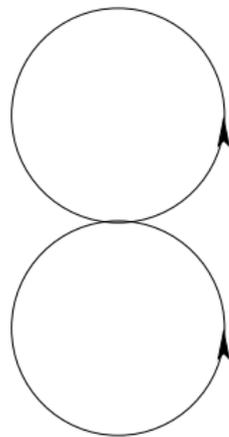
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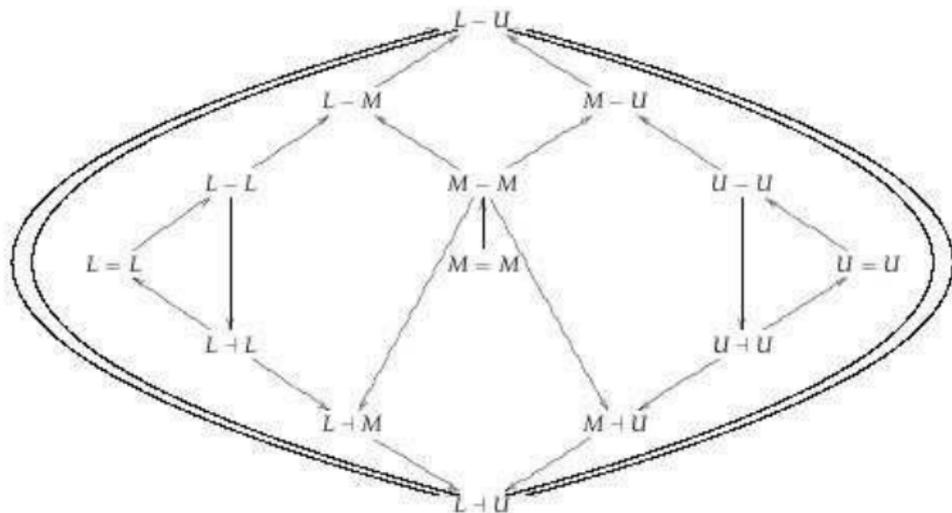
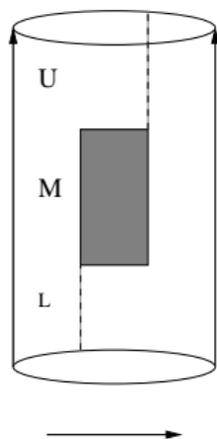
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The component category of a wedge of two oriented circles

$$X = \vec{S}^1 \vee \vec{S}^1$$



The component category of an oriented cylinder with a deleted rectangle



Concluding remarks

- ▶ **Component categories** contain the essential information given by (algebraic topological invariants of) path spaces
- ▶ Compression via component categories is an **antidote to the state space explosion problem**
- ▶ Some of the ideas (for the fundamental category) are **implemented** and have been tested for huge industrial software from EDF (Éric Goubault & Co., CEA)
- ▶ **Dihomotopy equivalence**: Definition uses automorphic homotopy flows to ensure homotopy equivalences

$$\vec{T}(f)(x, y) : \vec{T}(X)(x, y) \rightarrow \vec{T}(Y)(fx, fy) \text{ for all } x \preceq y.$$

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