

# Cox process analysis of clustered point patterns

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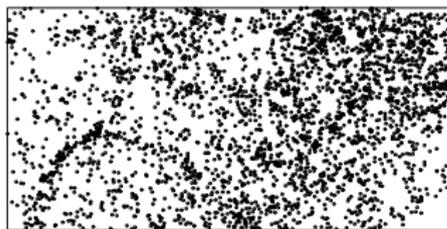
July 30, 2010

# Background: Tropical rain forest ecology

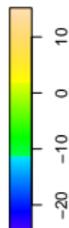
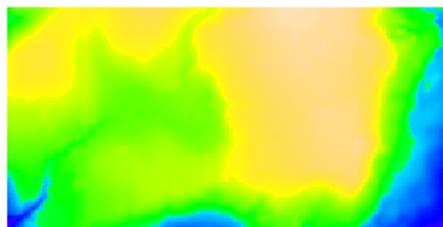
Fundamental questions: which factors influence the spatial distribution of rain forest trees and what is the reason for the high biodiversity of rain forests ?

- ▶ environment: topography, soil composition,...
- ▶ seed dispersal limitation: by wind, birds or mammals...
- ▶ competition between species

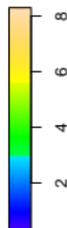
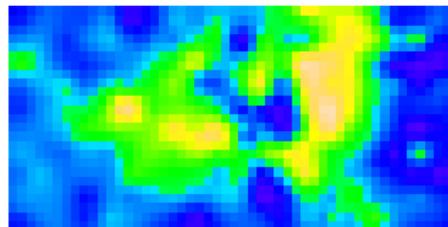
## Example: *Capparis Frondosa*



- ▶ observation window  $W$   
= 1000 m  $\times$  500 m
- ▶ seed dispersal  $\Rightarrow$  *clustering*
- ▶ environment  $\Rightarrow$  *inhomogeneity*



Elevation



Potassium content in soil.

Quantify dependence on environmental variables and seed dispersal using statistics for spatial point processes.

# Outline of talk

## Outline:

- ▶ Background on spatial point processes
  - ▶ Summary statistics: intensity, pair correlation,...
  - ▶ Cox and cluster point process models
- ▶ Estimation
- ▶ Application: clustering and seed dispersal
- ▶ Work in progress: decomposition of variance for Cox point processes

# Intensity function and product density

Point process  $\mathbf{X}$ : random point pattern

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Second order product density

$$\rho^{(2)}(u, v)|A||B| \approx P(\mathbf{X} \text{ has a point in each of } A \text{ and } B) \quad u \in A, v \in B$$

# Pair correlation and $K$ -function

Pair correlation function

$$g(u, v) = \frac{\rho^{(2)}(u, v)}{\rho(u)\rho(v)}$$

NB: independent points  $\Rightarrow \rho^{(2)}(u, v) = \rho(u)\rho(v) \Rightarrow g(u, v) = 1$

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$K$ -function

$$K(t) = \int_{\|h\| \leq t} g(h) dh$$

(provided  $g(u, v) = g(u - v)$  i.e. **X** second-order reweighted stationary, Baddeley, Møller, Waagepetersen, 2000)

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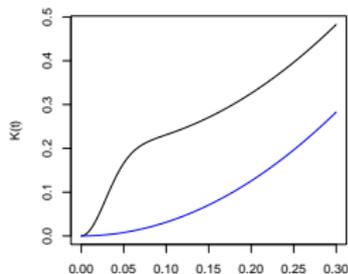
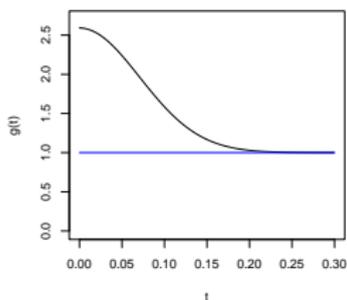
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Examples of pair correlation and  $K$ -functions:



# Parametric models for intensity and pair correlation

Study influence of covariates

$$Z(u) = (Z_1(u), \dots, Z_p(u))$$

using log-linear model for intensity function:

$$\log \rho(u; \beta) = \beta Z(u)^T \Leftrightarrow \rho(u; \beta) = \exp(\beta Z(u)^T)$$

where

$$\beta Z(u)^T = \beta_1 Z_1(u) + \beta_2 Z_2(u) + \dots + \beta_p Z_p(u)$$

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Quantify clustering using parameter  $\psi$  in parametric model

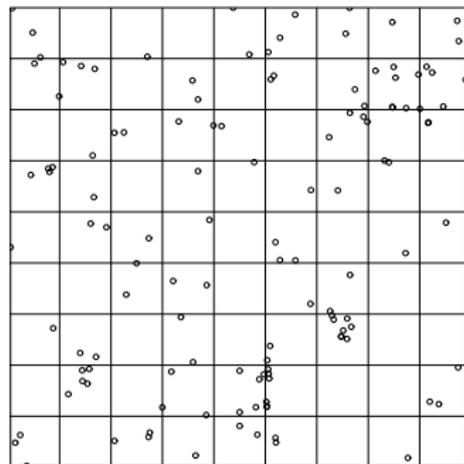
$$K(t; \psi) = \int_{\|h\| \leq t} g(h; \psi) dh$$

for  $K/g$ -function.

## Point processes and count variables

Random count variables:  $N_i = \#\mathbf{X} \cap C_i$   
number of points in  $C_i$ .

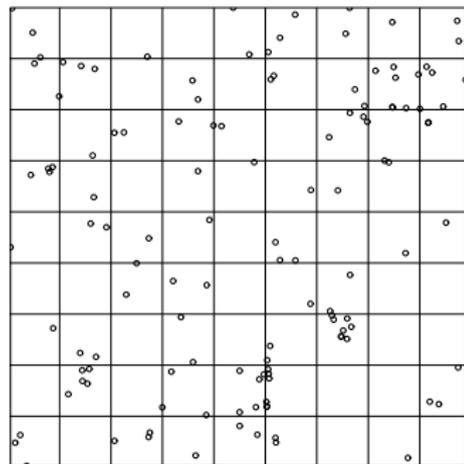
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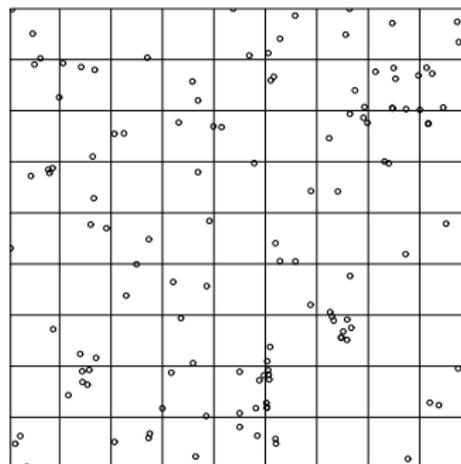
$$\mathbb{E}N_i \approx \rho(u_i)|C_i| \quad \mathbb{E}[N_i N_j] \approx \rho^{(2)}(u_i, u_j)|C_i||C_j|$$

$$\text{Cov}(N_i, N_j) = (\rho^{(2)}(u_i, u_j) - \rho(u_i)\rho(u_j))|C_i||C_j|$$

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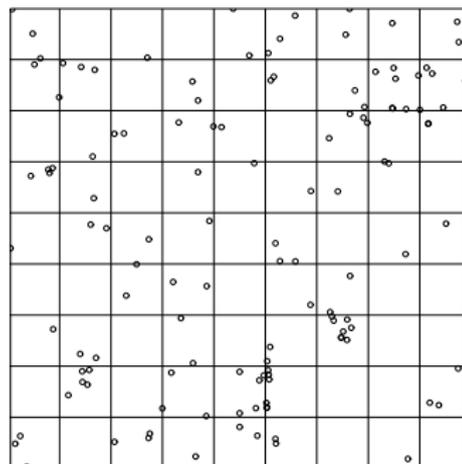
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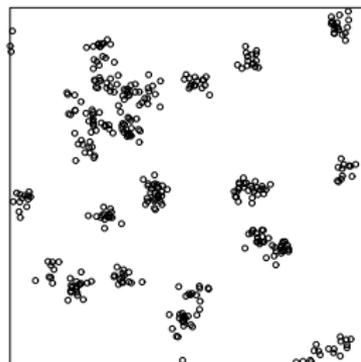
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Independence often unrealistic - e.g. clustering due to seed dispersal

# Cluster process: Inhomogeneous Thomas process (Waa, 2007)



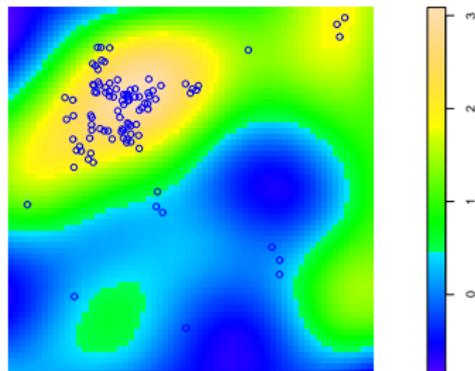
Parents stationary Poisson point process  
intensity  $\kappa$

Offspring distributed around mothers  
according to Gaussian density with  
standard deviation  $\omega$

Inhomogeneity: offspring survive  
according to probability

$$p(u) \propto \exp(Z(u)\beta^T)$$

depending on covariates (independent  
thinning).



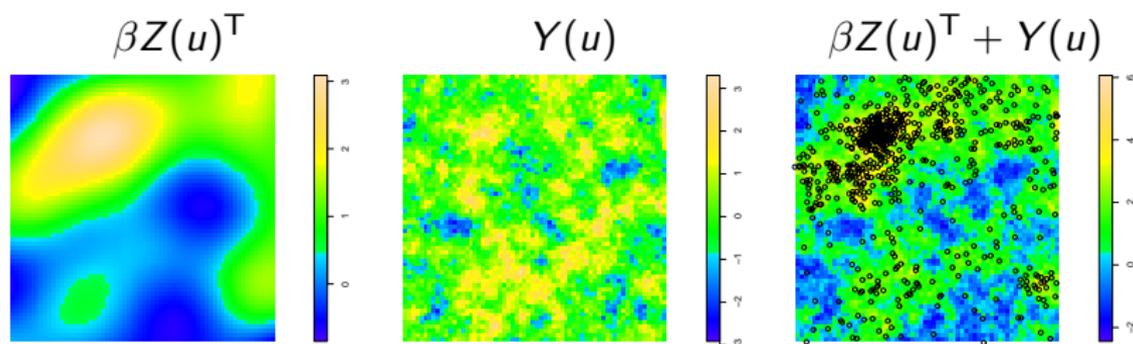
## Cox processes

$\mathbf{X}$  is a *Cox process* driven by the random intensity function  $\Lambda$  if, conditional on  $\Lambda = \lambda$ ,  $\mathbf{X}$  is a Poisson process with intensity function  $\lambda$ .

Example: log Gaussian Cox process (Møller, Syversveen, Waa, 1998)

$$\log \Lambda(u) = \beta Z(u)^T + Y(u)$$

where  $\{Y(u)\}$  Gaussian random field.



## Intensity and product density for a Cox process

Given  $\Lambda$ , Cox process  $\mathbf{X}$  becomes Poisson process with intensity function  $\Lambda(u)$  and product density  $\Lambda(u)\Lambda(v)$ .

Hence intensity and product density for  $\mathbf{X}$  obtained by averaging with respect to  $\Lambda$ :

$$\rho(u) = \mathbb{E}\Lambda(u)$$

$$\rho^{(2)}(u, v) = \mathbb{E}\Lambda(u)\Lambda(v)$$

$$g(u, v) = \frac{\mathbb{E}\Lambda(u)\Lambda(v)}{\mathbb{E}\Lambda(u)\mathbb{E}\Lambda(v)}$$

# Intensity and pair correlation function for specific Cox processes

Log linear intensity (both log Gaussian Cox and inhomogeneous Thomas):

$$\log \rho(u; \beta) = \mu + Z(u)\beta^T$$

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Pair correlation function for log Gaussian Cox process:

$$g(u; \psi) = \exp(\sigma^2 c(u; \alpha)), \quad \psi = (\sigma^2, \alpha)$$

where  $\sigma^2$  variance of Gaussian field and  $c(\cdot; \alpha)$  correlation function.

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Pair correlation function for inhomogeneous Thomas:

$$g(t; \psi) = 1 + \exp(-t^2/(4\omega)^2)]/(4\omega^2\kappa\pi), \quad \psi = (\omega, \kappa)$$

# Parameter estimation

Possibilities:

1. Maximum likelihood estimation (Monte Carlo computation of likelihood function)
2. Simple estimating functions based on intensity function and pair correlation function - inspired by methods for count variables: least squares, composite likelihood, quasi-likelihood,...

## Maximum likelihood estimation for Cox processes

Likelihood (probability density) for Cox process given observed point pattern  $\mathbf{x}$ :

$$f_{\theta}(\mathbf{x}) = \mathbb{E}_{\theta}[\exp(-\int_W \Lambda(u) du) \prod_{u \in \mathbf{x}} \Lambda(u)]$$

Problem for Monte Carlo approximation:  $\Lambda = \{\Lambda(u)\}_{u \in W}$  infinitely dimensional quantity.

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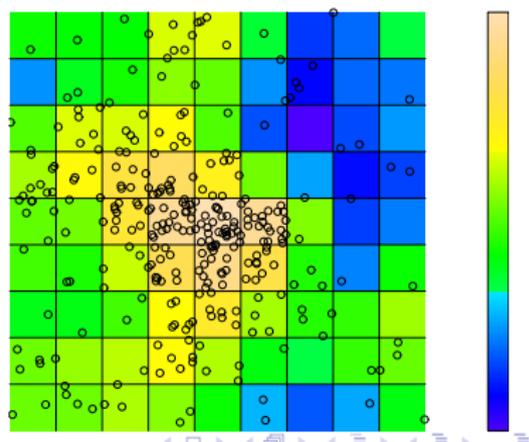
LCGP: approximate inference by discretizing random field

$$\Lambda(u) = \exp(\beta Z(u)^T + Y(u))$$

Counts  $N_i$  Poisson with mean

$$\exp(\beta Z(u_i)^T + Y(u_i)) | C_i |$$

(Poisson GLMM, Benes, Bodlak, Møller, Waa, 2005)



Big covariance matrix of highdimensional Gaussian random vector

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( $n \approx 100000$ ) can be handled using FFT (fast Fourier transformation).

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Cox-cluster process (e.g. inhomogeneous Thomas):

$$\Lambda(u) = \kappa \exp(\beta Z(u)^T) \sum_{c \in C} k(u - c)$$

Hence need Markov chain Monte Carlo simulation of parent points  $C$  given observed points  $\mathbf{X} \cap W = \mathbf{x}$ .

Monte Carlo estimation of likelihood possible for Cox processes but MCMC computation may be very time-consuming.

## Example: composite likelihood I (Schoenberg, 2005; Waa, 2007)

Consider indicators  $X_i = 1[N_i > 0]$  for presence of points in cells  $C_i$ .  $P(X_i = 1) = \rho_\beta(u_i)|C_i|$ .

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Composite Bernoulli likelihood

$$\prod_{i=1}^n (P(X_i = 1))^{X_i} (1 - P(X_i = 1))^{1 - X_i} \equiv \prod_{i=1}^n \rho_\beta(u_i)^{X_i} (1 - \rho_\beta(u_i)|C_i|)^{1 - X_i}$$

has limit ( $|C_i| \rightarrow 0$ )

$$L(\beta) = \left[ \prod_{u \in \mathbf{X} \cap W} \rho(u; \beta) \right] \exp\left(- \int_W \rho(u; \beta) du\right)$$

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Estimate  $\hat{\beta}$  maximizes  $L(\beta)$ .

NB:  $L(\beta)$  formally equivalent to likelihood function of a Poisson process with intensity function  $\rho_\beta(\cdot)$ .

## Composite likelihood II (Waa, 2007)

Composite likelihood based on  $\rho_{\beta}(\cdot)$  only involves  $\beta$  - what about  $\psi$  ?

Proceed as before but for indicators

$$X_{ij} = 1[N_i > 0, N_j > 0] \quad (= N_i N_j \text{ when } C_i \text{ and } C_j \text{ small})$$

for joint presence of points in pairs of cells  $C_i$  and  $C_j$ .

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Then

$$P(X_{ij} = 1) = \mathbb{E}N_i N_j = \rho^{(2)}(u, v; \beta, \psi) |C_i| |C_j|$$

and Bernoulli composite likelihood based on  $X_{ij}$  converges to

$$L_2(\beta, \psi) = \left[ \prod_{u, v \in \mathbf{X} \cap W}^{\neq} \rho^{(2)}(u, v; \beta, \psi) \right] \exp\left(- \int_{W^2} \rho^{(2)}(u, v; \beta, \psi) \, du dv\right)$$

## Minimum contrast estimation for $\psi$ I

Computationally easy alternative if  $\mathbf{X}$  second-order reweighted stationary so that  $K$ -function well-defined:

$$K(t) = \int_{\|h\| \leq t} g(h) dh = \frac{1}{|W|} \mathbb{E} \sum_{u \in \mathbf{X} \cap W, v \in \mathbf{X}} \frac{1[0 < \|u - v\| \leq t]}{\rho(u; \beta) \rho(v; \beta)}$$

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Estimate of  $K$ -function (Baddeley, Møller and Waa, 2000):

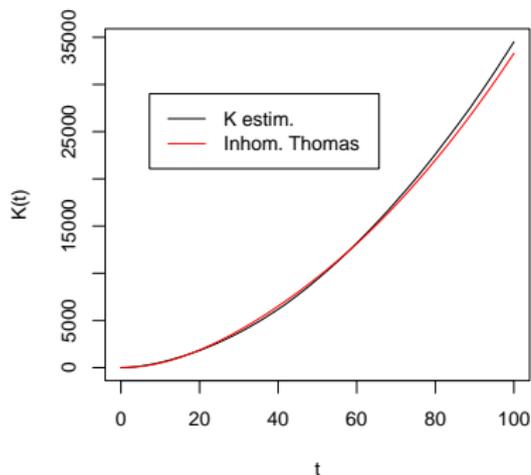
$$\hat{K}_\beta(t) = \frac{1}{|W|} \sum_{u, v \in \mathbf{X} \cap W} \frac{1[0 < \|u - v\| \leq t]}{\rho(u; \beta) \rho(v; \beta)} e_{u, v}$$

Unbiased if  $\beta$  'true' regression parameter

## Minimum contrast estimation for $\psi$ II

Estimate  $\psi$  by minimizing squared distance between theoretical  $K$  and  $\hat{K}$ :

$$\hat{\psi} = \underset{\psi}{\operatorname{argmin}} \int_0^r (\hat{K}_{\hat{\beta}}(t) - K(t; \psi))^2 dt$$



## Two-step estimation

Obtain estimates  $(\hat{\beta}, \hat{\psi})$  in two steps

1. obtain  $\hat{\beta}$  using composite likelihood I
2. obtain  $\hat{\psi}$  using minimum contrast or composite likelihood II  
 $L_2(\hat{\beta}, \psi)$

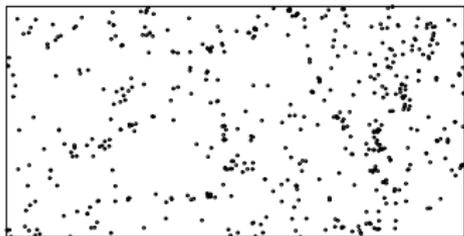
Waa (2007), Waa and Guan (2009): consistency and asymptotic normality of  $(\hat{\beta}, \hat{\psi})$  for *infinitely divisible* or *mixing* point processes (e.g. Poisson cluster processes):

$$|W|^{-1/2}((\hat{\beta}, \hat{\psi}) - (\hat{\beta}, \hat{\psi})) \xrightarrow{d} N(0, V)$$

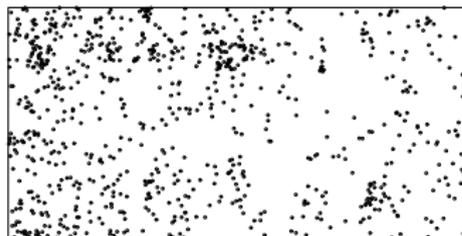
## Example: modes of seed dispersal and clustering

Three species with different modes of seed dispersal:

*Acalypha Diversifolia* explosive  
capsules



*Loncocharpus Heptaphyllus* wind



*Capparis Frondosa* bird/mammal



Is degree of clustering related to  
mode of seed dispersal ?

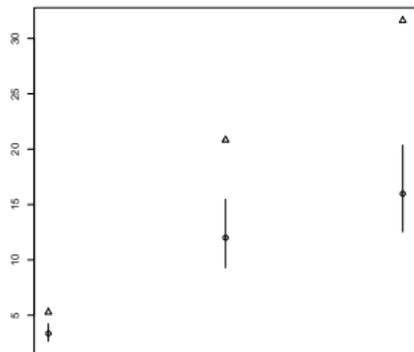
Fit Thomas cluster process with log linear model for intensity function.

*Acalypha* and *Capparis*: positive dependence on elevation and potassium (significant positive values of  $\hat{\beta}$ ).

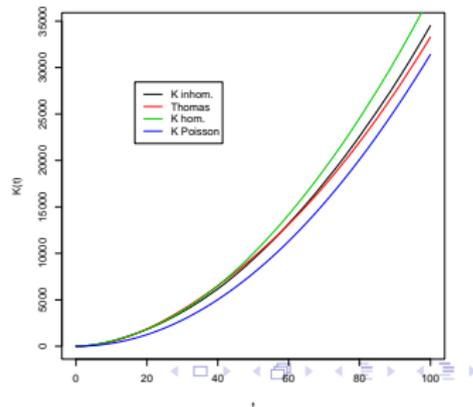
*Loncocharpus*: negative dependence on nitrogen and phosphorous.

Recall  $\omega$  = 'width' of clusters.

Estimates of  $\omega$  for explosive, wind and bird/mammal:



Estimates of  $K$ -functions for bird/mammal dispersed species



# Decomposition of variance for rain forest tree point patterns (Shen, Jalilian, Waa, in progress)

Question: how much of the spatial variation for rain forest trees is due to environment ?

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Variance=Poisson variance

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Summary of extra Poisson variation:

$$\frac{\rho^2 [g(u, v) - 1]}{\rho} = \rho [g(u, v) - 1]$$

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Variance=Environment

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Variance=Environment+Seed dispersal

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Estimate  $\beta$  and  $\sigma_Y^2$  using two-step approach.

Simple empirical estimate of  $\sigma_Z^2$  :

$$\hat{\sigma}_Z^2 = \frac{1}{n_G} \sum_{u \in G} (\tilde{Z}(u) - \bar{\tilde{Z}})^2$$

Compute

$$\frac{\hat{\sigma}_Z^2}{\hat{\sigma}_Z^2 + \hat{\sigma}_Y^2} \quad \text{and} \quad \frac{\hat{\sigma}_Y^2}{\hat{\sigma}_Z^2 + \hat{\sigma}_Y^2}$$

Example (*Capparis Frondosa*):  $\hat{\sigma}_Z^2 = 0.10$  and  $\hat{\sigma}_Y^2 = 0.69$ . Hence 12.5% of variance due to environment.

# Additive model for random intensity function (Jalilian, Guan, Waa, in progress)

Alternative to log linear model:

$$\Lambda(u) = \beta Z(u)^T + Y(u)$$

Cox process superposition of point processes with (random) intensity functions  $\tilde{Z}(u) = \beta Z(u)^T$  and  $Y(u)$

Intensity function:

$$\rho(u) = \rho_Y + \beta Z(u)^T \quad (\rho_Y = \mathbb{E}Y(u))$$

Least squares estimation:

$$(\hat{\rho}_Y, \hat{\beta}) = \underset{(\rho_Y, \beta)}{\operatorname{argmin}} \sum_i (N_i - \rho(u_i)|C_i|)^2 =$$

$$\underset{(\rho_Y, \beta)}{\operatorname{argmin}} \sum_i (N_i/|C_i| - \rho_Y - \beta Z(u_i)^T)^2$$

NB: for  $|C_i| \rightarrow 0$  least squares estimate solves estimating equation

$$\sum_{u \in \mathbf{X}} Z(u) - \int_W Z(u)(\rho_Y + \beta Z(u)^T) du = 0$$

Further possibilities: weighted least squares using covariance matrix of counts.

$$\text{Cov}[N_i, N_j] = \rho_Y^2(g_Y(u_i, u_j; \psi) - 1)$$

NB: estimated  $\hat{\beta}Z(u)^T$  not necessarily positive.

Finally estimate parameter  $\psi$  for  $Y$  using composite likelihood II since

$$\rho^{(2)}(u, v) = \rho(u)\rho(v) + \rho_Y^2(g_Y(u, v; \psi) - 1)$$

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Thanks for your attention